

STIC-LL

From: Canella, Karen  
Sent: Thursday, February 13, 2003 7:37 PM  
To: STIC-ILL  
Subject: ill order 10/033,577

*Handwritten:* 2.10.02/14

*Handwritten:* 432354

Art Unit 1642 Location 8E12(mail)

Telephone Number 308-8362

Application Number 10/033,577

1. Cancer Treatment and Research, 1988, Vol. 37, pp. 113-122
2. J of Neurosurgery, 1989 Feb, 70(2):240-248
3. Journal of Biological Chemistry:  
1988 Jan 25, 263(3):1295-1300  
1986 Mar 5, 261(7):3030-3035
4. Science, 1987 Oct 23, 238(4826):536-539
5. Journal of the National Cancer Institute, 2002 Apr 17, 94(8):597-608

COMPLETED

Scientific and Technical  
Information Center

FEB 20 RECD

PAT. & T.M. OFFICE

*Handwritten:* 9659657

- (35) Day R, Ganz PA, Costantino JP, Cronin WM, Wickerham DL, Fisher B. Health-related quality of life and tamoxifen in breast cancer prevention: a report from the National Surgical Adjuvant Breast and Bowel Project P-1 Study. *J Clin Oncol* 1999;17:2659-69.
- (36) Paganini-Hill A, Clark LJ. Preliminary assessment of cognitive function in breast cancer patients treated with tamoxifen. *Breast Cancer Res Treat* 2000;64:165-76.
- (37) Goldman WP, Bary JD, Buckles VD, Sahrman S, Morris JC. Cognitive and motor functioning in Parkinson disease: subjects with and without questionable dementia. *Arch Neurol* 1998;55:674-80.

## NOTES

*Editor's note:* R. Chlebowski is a consultant for AstraZeneca (Wilmington, DE), manufacturer of Tamoxifen and Nolvadex.

Supported in part by the UCLA Cancer Center, the U.S. Department of Defense Breast Cancer Research Program (BC981057), and Public Health Service grant GCRC MO1-RR00425 (National Center for Research Resources), National Institutes of Health, Department of Health and Human Services.

Manuscript received July 24, 2001; revised February 8, 2002; accepted February 15, 2002.

# Targeting Urokinase-Type Plasminogen Activator Receptor on Human Glioblastoma Tumors With Diphtheria Toxin Fusion Protein DTAT

Daniel A. Vallera, Chunbin Li, Ni Jin, Angela Panoskaltis-Mortari, Walter A. Hall

**Background:** The prognosis for patients with brain cancer is poor, and new therapies are urgently needed. Recombinant toxic proteins that specifically target tumor cells appear to be promising. Urokinase-type plasminogen activator (uPA) receptor (uPAR) is expressed on the surface of glioblastoma and some other tumor cells and endothelial cells. We synthesized a recombinant fusion protein, DTAT, which contains the catalytic portion of diphtheria toxin (DT) for cell killing fused to the noninternalizing amino-terminal (AT) fragment of uPA, and investigated its effectiveness in targeting uPAR-positive tumor cells. **Methods:** *In vitro* cytotoxicity of DTAT was measured by cell proliferation assays. For *in vivo* studies, athymic nude mice (four to five animals/group) bearing uPAR-expressing human glioblastoma (U118MG) cell-induced tumors were injected with DTAT or control protein. Tumor volume was assessed over time, and differences between treatments were analyzed by Student's *t* test. Effects of DTAT on body organ systems were evaluated in normal, tumor-free C57BL/6 mice histologically and functionally by serum enzyme tests. All statistical tests were two-sided. **Results:** *In vitro*, DTAT was highly potent and selective in killing uPAR-expressing glioblastoma cells (U118MG, U373MG, and U87MG) and human umbilical vein endothelial cells. *In vivo*, compared with mice treated with control proteins, DTAT caused a statistically significant ( $P = .05$ ) regression of small U118MG cell-induced tumors in all mice. Control fusion proteins that did not react with glioblastoma cells had no effect on tumor growth. DTAT given to tumor-free C57BL/6 mice had little effect on kidney, liver, heart, lung, and spleen histologies. Serum analysis in the same mice showed no elevation in blood urea nitrogen, indicating lack of effect on kidney function but a statistically significant ( $P = .046$ ), albeit non-life-threatening, elevation in liver alanine aminotransferase levels. **Conclusion:** DTAT may have potential for intracranial glioblastoma therapy because of its ability to target tumor cells and tumor vasculature simultaneously and its apparent lack of systemic effects. [*J Natl Cancer Inst* 2002;94:597-606]

Among adolescents and adults in the age range of 15-34 years, central nervous system malignancies are the third leading cause of cancer-related death (1). Moreover, the 2-year survival rate of patients is extremely low at less than 20% (2). Therapeutic approaches have been complicated by the fact that there has been no tumor-specific marker that could be targeted in the majority of patients (3). The discovery that certain receptors are selectively overexpressed on glioblastoma multiforme (GBM), an aggressive form of brain cancer, has raised new interest in targeting this cancer with fusion proteins (FPs). These FPs are experimental therapeutic agents consisting of a targeting ligand coupled to a potent toxin (4). Although attractive in concept, these FPs have had limited clinical promise because of their failure to concentrate at the site of tumor (5). Unfavorable tumor vasculature dynamics (high interstitial pressures) and distance needed to be traveled by the injected protein result in its inefficient distribution to target tissue (6). Injection of FP directly into the tumor may circumvent these problems because therapy can be concentrated at the site of the tumor, thereby diminishing the risk to nontarget organs. Brain tumor anatomy lends itself to intratumoral therapy, and clinical response to intratumoral FP therapy for brain cancer has far exceeded that of other cancer types—in excess of 50% (7).

Investigators have studied interleukin 13 (IL-13) as an FP ligand and have shown that although normal cells may express the receptor, they remain poorly responsive to IL-13-FP, probably because of limited receptor expression and different receptor structures (8). IL-13-FP made with *Pseudomonas* exotoxin is

**Affiliations of authors:** D. A. Vallera, C. Li, N. Jin, Section on Molecular Cancer Therapeutics, Department of Therapeutic Radiology-Radiation Oncology, University of Minnesota Cancer Center, Minneapolis; A. Panoskaltis-Mortari (Department of Pediatrics) and W. A. Hall (Department of Neurosurgery), University of Minnesota.

**Correspondence to:** D. A. Vallera, Ph.D., University of Minnesota Cancer Center, Mayo Mail Code 367, Harvard St. at East River Rd., Minneapolis, MN 55455 (e-mail: valle001@tc.umn.edu).

See "Notes" following "References."

© Oxford University Press

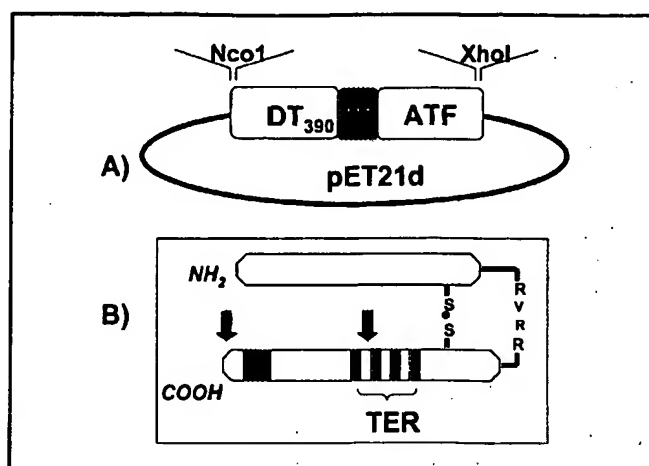
specifically cytotoxic (9). Recently, we showed that diphtheria toxin (DT)-IL-13 fusion toxin was effective against gliomas that express high levels of IL-13 receptor (IL-13R) (10). Despite its well-documented potency and selectivity, use of IL-13-FP is limited because all glioblastomas do not express IL-13R. Data in this paper, including nude mice studies, indicate that certain GBM tumors are unaffected by therapy with IL-13-FP. Therefore, based on an extensive body of literature supporting the expression of urokinase-type plasminogen activator (uPA) receptor (uPAR) on glioblastoma [reviewed in (11)], we devised an alternative strategy for DT-IL-13-unresponsive tumors. The uPAR was targeted because, in addition to its expression on tumor cells (12-16), it is also expressed on endothelial cells [reviewed in (17)]. Endothelial cell expression of uPAR is an advantage because other investigators have successfully targeted tumor neovasculature with FP, thus demonstrating that destruction of the tumor microvasculature inhibits tumor growth (18).

To simplify our approach, we cloned a hybrid molecule by using the amino-terminal (AT) fragment (ATF) of uPA. Urokinase is sequestered at the cancer cell surface by its receptor uPAR, thereby activating circulating plasminogen protease [reviewed in (19)]. This enhances proteolysis of extracellular adhesion molecules such as collagen and fibronectin, thus promoting tumor invasion. We chose the ATF domain because it includes the molecular binding region and a fusion protein made with ATF and saporin-inhibited human uPAR-expressing cells (20). The ATF domain completely lacks the catalytic domain of uPA but possesses an endothelial growth factor (EGF)-like or growth factor domain that comprises the receptor binding sequence of human uPA (21). Upstream of the ATF, DT's catalytic region was attached to render the molecule cytotoxic. A single DT molecule in the cytosol can kill a cell (22). The translocation-enhancing region of DT was included in the design to enhance the toxicity of the FP. To test the idea that an agent with the ability to bind to both tumor and tumor vasculature would have potent anti-glioblastoma effects, we synthesized and tested *in vivo* and *in vitro* the effectiveness of DTAT, which can target uPAR on the cell surface. We hypothesize that the DTAT molecule will be highly active against glioblastoma cells.

## MATERIALS AND METHODS

### Recombinant DTAT

Recombinant DTAT was synthesized by a technique previously described (23). The hybrid gene was constructed by the method of gene splicing (Fig. 1, A and B). An *Nco*I/*Xho*I gene fragment was cloned by polymerase chain reaction (with a splice overlap extension encoding the 390-amino-acid portion of DT [DT<sub>390</sub>], an EASGGPE linker [black box in Fig. 1, panel A], and the downstream 135-amino-acid ATF from uPA) and ligated into the pET21d expression vector forming plasmid pDTAT.pET21 (Novagen, Madison, WI). The DT<sub>390</sub> consists of a 193-amino-acid N-terminal A chain, the 342-amino-acid B chain, a disulfide bond between chains A and B, and the native binding region (region between the two black arrows in Fig. 1, panel B) that has been genetically removed (145 amino acids). The B chain has a hydrophobic translocation enhancing region (TER) (shown by four black bands in Fig. 1, panel B). The RVRR is a protease-sensitive site that must be nicked in low pH endosomes to activate the toxic protein. Restriction endonuclease digestion and DNA sequencing analysis (University of Minnesota Micro-



**Fig. 1.** A) Construct encoding the DTAT (diphtheria toxin [DT]-amino terminal [AT] fragment of urokinase-type plasminogen activator [uPA]) gene fragment used in these studies. An *Nco*I/*Xho*I gene fragment was cloned by polymerase chain reaction and splice overlap extension encoding DT<sub>390</sub>, an EASGGPE linker (black box), and the downstream 135-amino-acid AT fragment (ATF) from uPA. The gene was cloned into the pET21d expression vector forming the plasmid pDTAT.pET21d. B) Cartoon depicts DT<sub>390</sub>, the 193-amino-acid N-terminal A chain, the 342-amino-acid B chain, a disulfide bond between the two chains, and the native binding region that has been genetically removed (145 amino acids). The region between the two black arrows depicts the native binding region. The four bands on the B chain depict the hydrophobic translocation enhancing region (TER). The RVRR is a protease-sensitive site that must be nicked in low pH endosomes to activate the toxic protein.

chemical Facility) were used to verify that the hybrid gene had been cloned in frame. Plasmid was transformed into the *Escherichia coli* strain BL21(DE3) (Novagen). Expression was induced and protein was refolded and purified from inclusion bodies. The pellets were washed three times with Triton X-100 buffer and four times with wash buffer (50 mM Tris, 50 mM NaCl, and 5 mM EDTA buffer) by briefly homogenizing with a tissue homogenizer and incubating for 5-10 minutes. Inclusion bodies were collected by centrifugation at 24 000g for 50 minutes. Solubilization of the inclusion body pellet was achieved by sonicating in denaturant buffer consisting of 7 M guanidine, 0.1 M Tris (pH 8.0), and 2 mM EDTA. To remove insoluble material, the solution was centrifuged at 40 000g for 10 minutes, and the supernatant was collected. Renaturation was initiated by a rapid 100-fold dilution of the denatured protein into chilled refolding buffer consisting of 0.1 M Tris (pH 8.0), 0.5 M L-arginine, and 2 mM EDTA. The samples were incubated at 10°C for 48 hours. Ultrafiltration was performed against 20 mM Tris (pH 7.8) with a spiral membrane ultrafiltration cartridge on Amicon's CH2 system (Amicon, Beverly, MA). Samples were loaded on a Q-Sepharose (Sigma-Aldrich, St. Louis, MO) ion exchange column and eluted with 1 M NaCl in 20 mM Tris (pH 7.8). The protein was diluted fivefold and subsequently applied to a Resource Q column (Pharmacia Biotech, Uppsala, Sweden) and eluted with a linear salt gradient from 0 to 1 M NaCl in 20 mM Tris (pH 7.8) and dialyzed against 1× phosphate-buffered saline (PBS). Ion exchange chromatography conditions were based on the estimated isoelectric point of 6.1 derived with the use of ISOELECTRIC (Wisconsin Package version 10.0-UNIX; Genetics Computer Group, Madison, WI). The main peak from the Resource Q column was further purified by size-exclusion chromatography on a TSK 250 column (TosoHass, Montgom-

eryville, PA). Standard sodium dodecyl sulfate–polyacrylamide gel electrophoresis (SDS–PAGE) analysis was performed to assess purity. Preparations of control DT<sub>390</sub> containing FPs with either mouse or human interleukins (e.g., DT-m-IL-4, DT-h-IL-2, DT-h-IL-13, and DT-m-IL-3) have been previously reported (23–25).

### Cell Lines and Antibodies

The cell lines U118MG, U87MG, U373MG, and T98G (with suffix G) were derived from human patients diagnosed with GBM and were obtained from American Type Culture Collection (Manassas, VA). Neuro-2a (a murine neuroblastoma cell line), Daudi (derived from human Burkitt's lymphoma), and SKBR3 (a human mammary gland adenocarcinoma line) were also obtained from ATCC. They were all maintained in RPMI-1640 medium (BioWhittaker, Walkersville, MD) supplemented with 10% heat-inactivated fetal bovine serum (FBS; BioWhittaker), 2 mM L-glutamine (Life Technologies, Rockville, MD), 0.1 mM nonessential amino acids (Life Technologies), 1.0 mM sodium pyruvate, 100 U/mL penicillin, and 100 mg/mL streptomycin (Life Technologies). Human umbilical vein endothelial cells (HUVECs), used within seven passages, were obtained from Dr. S. Ramakrishnan (University of Minnesota) and were maintained in Medium 199 (Life Technologies) containing 15% heat-inactivated FBS, 100 U/mL penicillin, 100 mg/mL streptomycin, and 1 mL epidermal cell growth media (BioWhittaker). All the cells were maintained at 37 °C in a humidified incubator with 5% CO<sub>2</sub>/95% air and were passaged two to three times per week.

Neutralization experiments were performed by using polyclonal rabbit anti-human urokinase immunoglobulin G (IgG) as well as a murine IgG2a monoclonal antibody against human uPAR obtained from American Diagnostica (Greenwich, CT). Anti-IL-4 antibody (rat anti-mouse IgG1 from clone 11B11) (26) was used as a control for the blocking experiments (described in Fig. 4, B). To facilitate human tumor growth in nude mice, rabbit anti-asialoGM1 (Wako Chemicals USA, Richmond, VA) was administered.

### Pr liferation Assay

The *in vitro* cytotoxicity of DTAT and other FPs was measured by inhibition of DNA synthesis (27). Cells at 10<sup>4</sup>/well were plated in 100  $\mu$ L of culture medium in a 96-well flat-bottomed tissue culture plate and incubated overnight at 37 °C in a humidified atmosphere of 5% CO<sub>2</sub>/95% air. Various concentrations of DTAT diluted in culture medium were added in 100- $\mu$ L volumes and incubated for 48 or 72 hours. One  $\mu$ Ci of [methyl-<sup>3</sup>H]thymidine (Amersham Pharmacia Biotech, Little Chalfont, U.K.) was added at the beginning of the last 8 hours of incubation. The cells were washed and harvested on glass fiber filters, and the incorporation of radioactivity was quantified. All cytotoxicity assays were performed in triplicate and expressed as a percentage of control response, i.e., incorporation of [<sup>3</sup>H]thymidine in cells incubated without toxin. The assays were repeated at least two to four times, showing that the results were highly reproducible. The concentration of DTAT at which 50% inhibition of DNA synthesis (IC<sub>50</sub>) occurred was calculated. For blocking experiments, antibodies were pre-incubated with the toxins for 30 minutes at 37 °C, and the experiment was performed as described above.

### In Vivo Mouse Tumor Studies

Six- to eight-week-old female athymic nu/nu nude mice (for tumor studies) and C57BL/6 mice (for toxicity studies) were purchased from the National Institutes of Health (Bethesda, MD) and maintained in microisolator cages under specific pathogen-free conditions as set forth by the Department of Research Animal Resources (University of Minnesota, Minneapolis). On day 2 and day 4, 25  $\mu$ L of anti-asialoGM1 diluted in 175  $\mu$ L PBS was injected intraperitoneally into each nude mouse. Anti-asialoGM1 is an immunosuppressive agent that reacts with mouse natural killer (NK) cells, mouse monocytes, and fetal thymocytes, thereby enhancing tumor growth. On day 0, U118MG cells (6  $\times$  10<sup>6</sup>/0.1 mL culture medium) were injected subcutaneously into the right flank of each mouse. Each treatment group consisted of four or five animals. Mice were examined every 2–3 days. Palpable tumors with tumor volume larger than 0.15 cm<sup>3</sup> were treated by intratumoral injection. Twenty micrograms of DTAT diluted in 50  $\mu$ L of PBS was injected every other day. Five such treatments were given to each mouse. DTAT was injected from three different directions by using a 0.5-cc insulin syringe with a 28-gauge needle over a period of approximately 1 minute. Control mice received an irrelevant FP DT-h-IL-2 (FP containing DT and human IL-2) or PBS injections. Tumor size was measured by caliper every 2–3 days. The approximate tumor volume was calculated as a product of length, width, and height.

### Histology

A group of normal C57BL/6 mice without tumors were treated in the same manner as in the nude mouse experiment. At the end of the treatment, the mice were sacrificed and autopsied and their tissues were taken for histopathologic analysis as described (28). All samples were imbedded in OCT compound (Miles Laboratories, Elkhart, IN), snap frozen in liquid nitrogen, and stored at –80 °C until sectioned. Four serial sections (4  $\mu$ m thick) were cut, thaw-mounted onto glass slides, and fixed for 5 minutes in acetone. Slides were stained with hematoxylin and eosin (H & E) for histopathologic assessment.

### Blood Urea Nitrogen (BUN) and Alanine Aminotransferase (ALT) Assays

Both assays were performed as previously described (29) on Kodak EKTACHEM clinical chemistry slides (Eastman Kodak, Rochester, NY) on a Kodak EKTACHEM 950 by the Fairview University Medical Center–University Campus (Minneapolis, MN). C57BL/6 mice were randomly grouped (n = 5) and injected with DTAT, PBS (no FP), or a control DTanti-CD3sFv fusion toxin. DTanti-CD3sFv has been previously shown to cause organ toxicity. On the day immediately following the last injection, mice were sacrificed and bled. Individual serum samples collected by bleeding the heart were studied for BUN and ALT. Minimum specimen volume was 11  $\mu$ L for each assay. The BUN assay was read spectrophotometrically at 670 nm. In the ALT assay, the oxidation of NADH was used to measure ALT activity at 340 nm.

### Statistical Analysis and Reproducibility

Groupwise comparisons of continuous data were made by Student's *t* test. *In vitro* experiments were repeated at least twice,



and *in vivo* experiments were repeated at least once. All statistical tests were two-sided.

## RESULTS

### Purity of DTAT

To assess the purity of fractions containing DTAT collected from our chromatography procedure, SDS-PAGE analysis was performed. SDS-PAGE analysis indicated that the purification schema resulted in a purity exceeding 95% for DTAT and the isoelectric point of DTAT was estimated to be 6.1. Control FPs, including DT-IL-13, were prepared and tested for purity in a similar manner.

### DT-IL-13 and Killing of Glioblastoma Cell Lines

To determine whether DT-IL-13 would kill human glioblastoma cells, DT-IL-13 was tested in a tritiated thymidine incorporation assay. A different report from our group (10) has previously addressed the high selectivity and potency of DT-IL-13. Although DT-IL-13 in picomolar concentrations killed some glioblastoma cell lines (Fig. 2, A and B), others were not affected. Fig. 2, B, shows that DT-IL-13 inhibited the U373MG glioblastoma line ( $IC_{50} < 0.01$  nanomoles). In contrast, another glioblastoma cell line, U87MG, was inhibited only 45% when treated with a 1000-fold higher (10 nM) concentration of the agent. A third glioblastoma cell line, T98G, was not inhibited at all. These findings appear to be related to the IL-13R expression of U373MG (16 400 binding sites/cell) and T98G (549 binding sites/cell) which have been previously reported (30); expression of IL-13 receptors in the U87MG cell line is not known. In other words, higher receptor numbers are associated with greater killing levels.

### Comparison of Cytotoxicities of DTAT and DT-IL-13

Because uPAR is overexpressed on certain cancer cells, it was important to determine the ability of DTAT to kill glioblas-

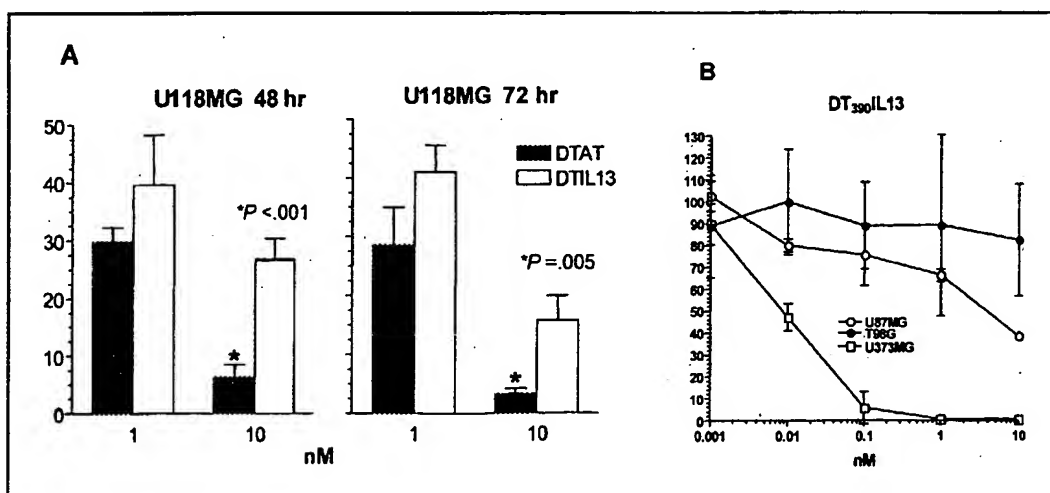
toma and to discover whether it could kill certain glioblastomas that were not eliminated by DT-IL-13. In our hands, U118MG cells were not inhibited well by DT-IL-13 treatment. Fig. 2, A, shows that despite treatment with 10 nM DT-IL-13, roughly 27% of the proliferative activity was still evident at 48 hours, compared with that of the control cultures. This is in contrast to the U373MG cell line in Fig. 2, B, in which even 0.1 nM kills more than 95% (<5% proliferative activity compared with control cultures was evident) in 72 hours. In comparison, Fig. 2, A, shows that at 72 hours, 10 nM DTAT inhibited statistically significantly ( $P = .005$ ) more (94%) of the U118MG cells than did 10 nM DT-IL-13. These findings indicated that *in vitro*, DTAT inhibited the U118MG glioblastoma better than did DT-IL-13. These differences were obvious even at 48 hours ( $P < .001$ ).

### DTAT Selectivity *In Vitro*

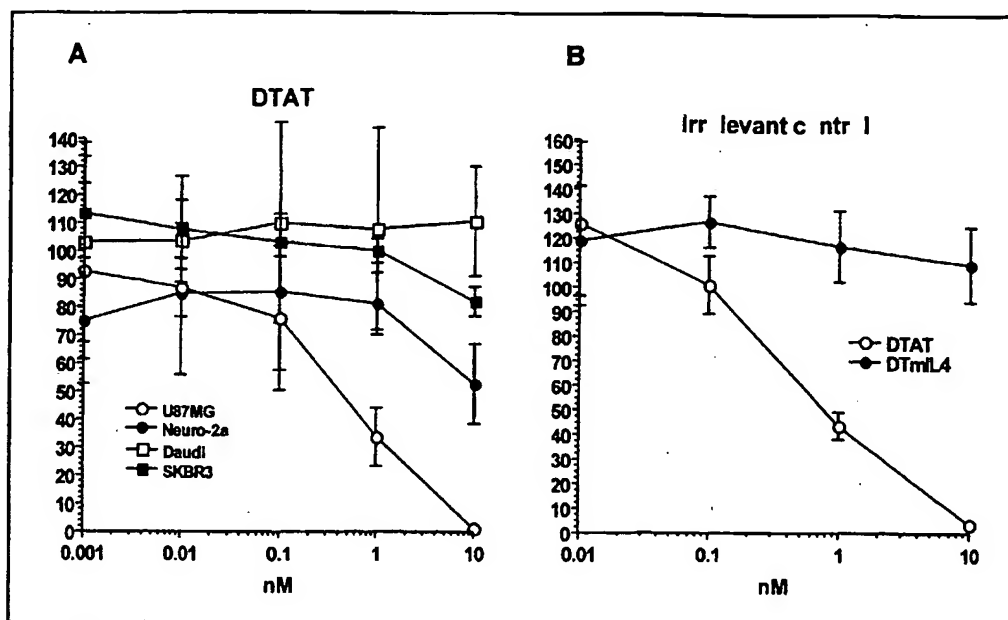
To study the selectivity of DTAT, it was first tested against various cell lines such as the B cell line Daudi and breast cancer line SKBR3 that do not express uPAR. Fig. 3, A, shows that growth of U87MG was inhibited by DTAT ( $IC_{50} < 1$  nM). In contrast, the growth of Daudi and SKBR3 cells was not inhibited. The growth of the murine neuroblastoma cell line Neuro-2a was slightly inhibited at the highest concentration (10 nM). To further study selectivity in a different experiment, U118MG cells were treated with an irrelevant FP control (Fig. 3, B). DT-m-IL-4 was used as a negative control, because mouse IL-4 is species-restricted and does not bind to human cells. Whereas DTAT inhibited U118MG cells (Fig. 2, A), DT-m-IL-4 had no effect. Fig. 3, A and B, indicates that DTAT is highly selective in its ability to kill uPAR-expressing cells.

To determine whether exposure of DTAT to its target for longer periods would heighten its ability to kill, DTAT was incubated with its U118MG target for periods of 24, 48, and 72 hours. Dose-response studies revealed that the maximum level of cytotoxicity was obtained after 48 hours (data not shown). A longer exposure of 72 hours did not enhance the cytotoxicity.

**Fig. 2.** A) Activity of DTAT (diphtheria toxin [DT]-amino terminal [AT] fragment of urokinase-type plasminogen activator [uPA]) and DT<sub>390</sub>IL13 (DTIL13) against the U118MG human glioblastoma cell line. Cells were cultured with the fusion protein (FP) for 48 hours (left panel) or 72 hours (right panel), and incorporation of tritiated thymidine was then assayed. Data are expressed as percent control response versus concentration in nanomoles (nM). Control counts per minute (cpm) values (mean  $\pm$  1 standard deviation [SD]) for U118MG (without treatment) were  $9893 \pm 343$  after 48 hours and  $13\,151 \pm 859$  cpm after 72 hours. Each bar on the graph represents the mean of triplicate samples, and the error bars represent the upper limit of the 95% confidence intervals (CIs). The proliferation of cells treated with 10 nM DTAT was statistically significantly inhibited in comparison with that in cells given 10 nM DTIL13 at 48 hours ( $P < .001$ ) and at 72 hours ( $P = .005$ ). B) Activity of DT<sub>390</sub> amino acid segment fused with interleukin 13 (DT<sub>390</sub>IL13) against the human glioblastoma cell lines U87MG, T98G, and U373MG. Glioblastoma cells were cultured with FP and after 72 hours, tritiated thymidine incorporation was assayed as a measure of tumor cell proliferation. Data are expressed as a percentage of control response, where the controls are not treated with FP. Control (cpm  $\pm$  1 SD) values for U87MG, T98G, and U373MG were  $40\,481 \pm 2\,792$ ,  $90\,384 \pm 1\,771$ , and  $37\,834 \pm 2\,005$ , respectively. Data are expressed as a percentage of average control response versus concentration of FPs in nanomoles (nM). Each point on the graph represents the mean of triplicate readings  $\pm$  95% CI.



**Fig. 3. A)** Activity of DTAT (diphtheria toxin [DT]-amino terminal [AT] fragment of urokinase-type plasminogen activator [uPA]) against various cell lines: U87MG (human glioblastoma line), Neuro-2a (murine neuroblastoma line), Daudi (derived from human Burkitt's lymphoma), and SKBR3 (human mammary gland adenocarcinoma line). Cells were cultured with fusion protein (FP) and, after 72 hours, incorporation of tritiated thymidine was assayed. Data are expressed as a percentage of control response. Control counts per minute (cpm) values ( $\pm 1$  standard deviation [SD]) for U87MG, Neuro-2a, Daudi, and SKBR3 cells were  $35\,162 \pm 1104$ ,  $16\,445 \pm 1116$ ,  $15\,529 \pm 2691$ , and  $99\,320 \pm 6452$ , respectively. Each point on the graph represents the mean of triplicate readings  $\pm 95\%$  confidence intervals. **B)** Activity of irrelevant control FP DTmIL4 (DT-mouse interleukin 4) against U118MG cells. Cells were cultured with FP and tritiated thymidine incorporation was assayed after 72 hours. Control value (cpm  $\pm 1$  SD) for U118MG was  $9928 \pm 553$ .



We concluded that a near maximum level of killing was reached after 48 hours of exposure to DTAT (data not shown).

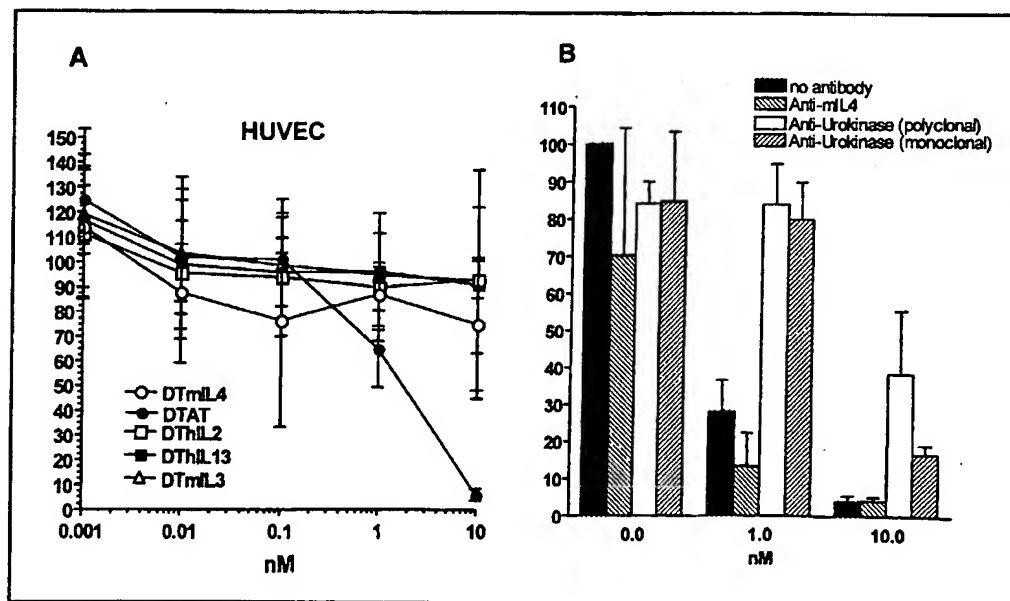
#### Ability of DTAT to Kill Endothelial Cells

As mentioned earlier, the ability of DTAT to bind to the tumor and its microvasculature could provide a therapeutic advantage because tumor growth is dependent on a thriving vasculature. To measure the binding ability of DTAT, DTAT was incubated with human HUVEC *in vitro*. Fig. 4, A, shows that

DTAT was able to inhibit the proliferation of HUVEC in a dose-dependent manner with an  $IC_{50}$  of approximately 2 nM. In contrast, a number of control FPs (binding those receptors not found on HUVECs, including mouse IL-4 receptor [m-IL-4R], human IL-2 receptor [h-IL-2R], human IL-13 receptor [h-IL-13R], or mouse IL-3 receptor [m-IL-3R]) were not inhibitory.

To determine whether the activity of DTAT on HUVECs was mediated by the ATF, polyclonal anti-urokinase antibody was pre-incubated with DTAT before adding to cultured HUVECs (Fig. 4, B). Anti-urokinase blocked the inhibition by 1 and 10

**Fig. 4. A)** Activity of DTAT (diphtheria toxin [DT]-amino terminal [AT] fragment of urokinase-type plasminogen activator [uPA]) and various control fusion proteins (FPs) against human umbilical vein endothelial cells (HUVEC). HUVEC were cultured with FP and, after 72 hours, incorporation of tritiated thymidine was assayed as a measure of tumor cell proliferation. Data are expressed as percent control response, where the controls are not treated with FP. Control count per minute (cpm)  $\pm 1$  standard deviation (SD) for HUVEC was  $87\,969 \pm 7798$ . **B)** Ability of anti-urokinase antibody to block the binding of DTAT to HUVEC. Cells were cultured with DTAT for 72 hours in the presence or absence of monoclonal or polyclonal anti-urokinase antibody or control anti-mouse interleukin 4 (mIL4) antibody. Then, tritiated thymidine incorporation was assayed. Control cpm  $\pm 1$  SD value for HUVEC was  $47\,620 \pm 907$ .



nM DTAT. A control anti-mouse IL-4 antibody (11B11) had no effect on DTAT activity.

Together, these data show that DTAT selectively kills endothelial cells *in vitro* through its ability to bind uPAR.

#### **In Vivo Anti-Tumor Activity of DTAT Measured Against U118MG Cell-Induced Tumors in Nude Mice**

To determine the effectiveness of DTAT, we established a nude mouse model of human glioblastoma. U118MG tumor cells were inoculated into nude mice. When the tumor had established on day 30, mice were given a course of DTAT. Fig. 5, A, shows that in a group of five mice, tumors that had reached the size of 0.2 cm<sup>3</sup> all regressed following a 5-dose course of DTAT with 20 mg/day given every other day. In contrast, tumors in groups of mice treated with control DT-h-IL-2 and PBS did not regress over the study period. Tumors in these groups continued to increase in size. There was a statistically significant difference ( $P = .05$ ) in tumor growth curves on day 48 when the DTAT group was compared with the control groups. Also, DT-IL-13 did not inhibit the growth of U118MG-induced tumors *in vivo*. Thus, *in vitro* findings were similar to the *in vivo* results. These results also supports the idea that DTAT might be a useful treatment of tumors that are not effectively treated with DT-IL-13.

Fig. 5, B, shows the tumor growth curves of each of the individual mice in the DTAT group. The tumors steadily diminish in size following DTAT treatment. Only one of the tumors did not entirely regress by day 65. These *in vivo* findings were reproduced in another experiment, again with five mice in each experimental group.

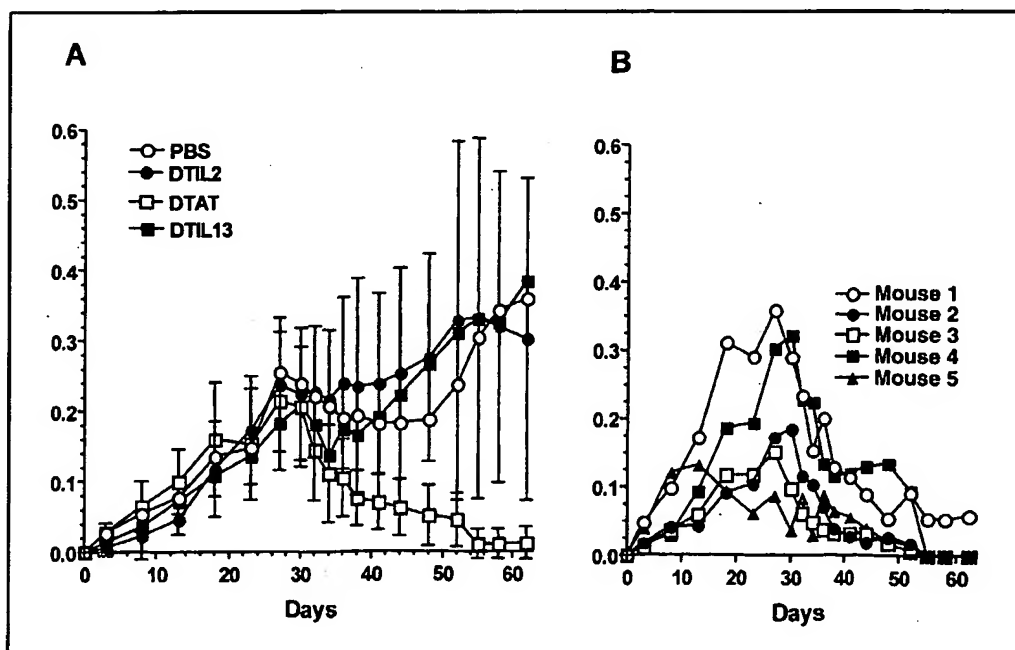
#### **Effects of DTAT on Body Organs**

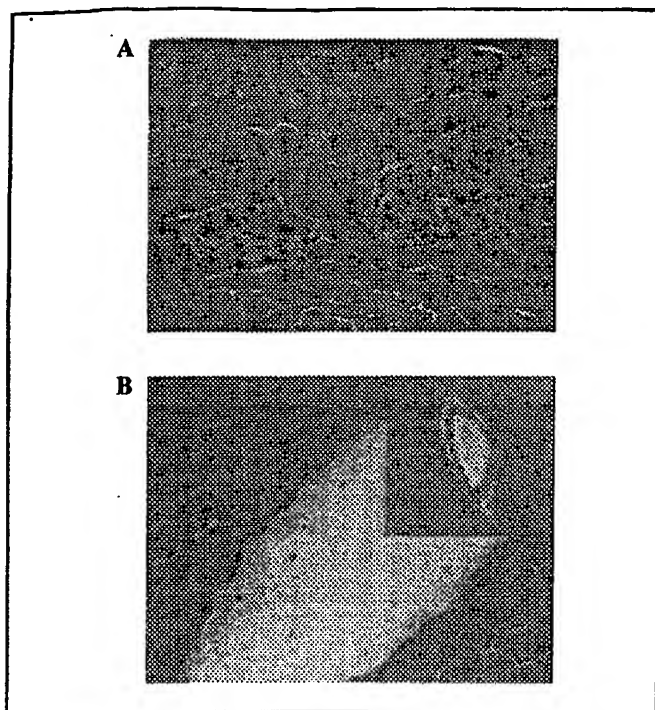
To study the toxic effects of the tumor treatment dose of DTAT, C57BL/6 mice without tumors were given the same

5-dose course of DTAT with 20 mg/day given every other day by subcutaneous treatment as the tumor-bearing nude mice and then studied the day following the last injection. Frozen tissue sections were stained with H & E and examined microscopically. Functional assays were performed on serum. Kidney tissue appeared unaffected by DTAT treatment with the exception of some minor neutrophil infiltration. Fig. 6, A, shows that glomeruli appeared healthy. These studies were particularly important because similar doses of FP in other studies (28) have induced glomerular destruction, rupture of renal tubules, and proximal tubular vacuolization. Liver effects were studied in the same group of mice (Fig. 6, B). Examination of tissues revealed a few areas of infiltration of peripheral mononuclear cells and neutrophils. Otherwise, tissues appeared relatively unaffected by treatment. Fig. 6, B (inset), shows that DTAT had no effect on heart; it also had no effect on the spleen (not shown). Minor neutrophil infiltration was observed in the lung compared with normal controls (not shown).

Functional analysis of tissue revealed a statistically significant ( $P = .046$ ), albeit non-life-threatening, elevation in ALT level in serum (Fig. 7, A). Although these elevations were not indicative of liver failure and histology indicated that the liver was intact, it appeared that DTAT did affect the liver at this dose. To further study the effects of DTAT on kidney function, serum was analyzed from these same mice. Fig. 7, B, shows no significant fluctuations in BUN levels following DTAT treatment, indicating that treatment did not interfere with renal activity. As a positive control, a group of mice were treated with DTanti-CD3sFv, a fusion toxin that has been shown to mediate renal damage in past studies (28). This positive control caused a statistically significant ( $P = .033$ ) elevation in BUN activity. Histology studies confirmed glomerular damage and tubular rexis induced by DTanti-CD3sFv.

**Fig. 5.** DTAT (diphtheria toxin [DT]-amino terminal [AT] fragment of urokinase-type plasminogen activator [uPA]) administered intratumorally inhibits the growth of established human U118MG tumors in nude mice. U118MG tumor cells were administered subcutaneously to nude mice ( $n = 5$  per group). Five injections of 20  $\mu$ g/day DTAT in 50  $\mu$ L of phosphate-buffered saline (PBS) beginning on day 28 were given every other day over a period of 9 days. DTAT was injected from three different directions by using a 0.5-cc insulin syringe with a 28-gauge needle over a period of approximately 1 minute. A) Tumor size was monitored approximately three times per week, and tumor volume (cm<sup>3</sup>) was plotted versus time. Mice were treated with DTAT, DT-interleukin 13 (DTIL13), DT-interleukin 2 (DTIL2), or PBS. For DTIL2 and DTIL13, five injections of 10  $\mu$ g/dose were given. Tumor growth was statistically significantly ( $P = .05$ ) decreased in DTAT-treated mice as compared with PBS-treated control mice by Student's *t* test. Error bars represent  $\pm$  the 95% confidence interval. B) Tumor growth curves for the five individual mice given DTAT (presented as a group average in Fig. 5, A).





**Fig. 6.** Histologic study of kidney (A), liver (B), and heart (B, inset) from DTAT (diphtheria toxin [DT]-amino terminal [AT] fragment of urokinase-type plasminogen activator [uPA])-treated mice. A group of normal C57BL/6 mice without tumor were treated in the same manner as the mice described in Fig. 5. Mice were given five 20- $\mu$ g/dose injections of DTAT subcutaneously. Organs were removed, sectioned, and stained with hematoxylin and eosin to visualize organ damage. Three animals per group were examined with identical results.

## DISCUSSION

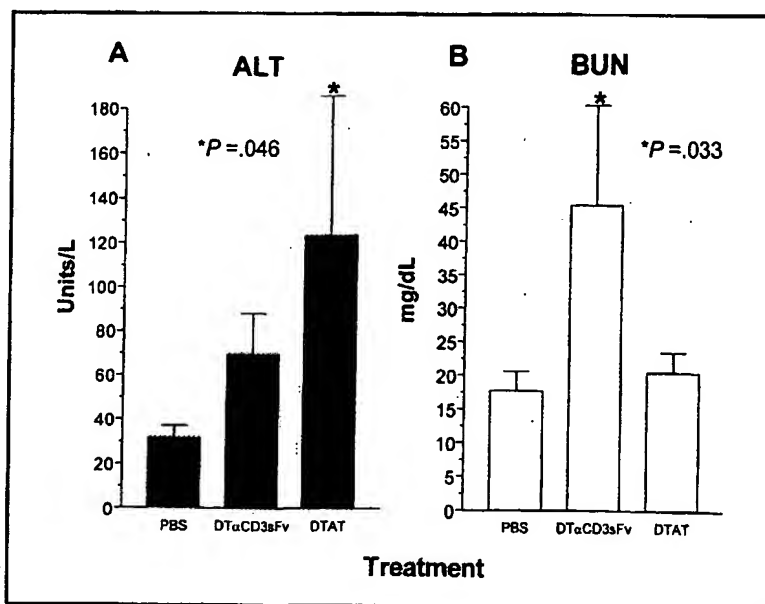
An original contribution of these studies is the description of DTAT, a diphtheria-toxin-based FP directed against the uPAR overexpressed on glioblastoma cells. Previous studies by other investigators (11,31) have encouraged the development of new drugs targeting the uPAR, but we provide evidence for the first

time that uPAR may serve as a valid target for FP-directed therapy against chemotherapy and radiation refractory glioblastoma. An important element of these studies was the use of the nude mouse model to assess the effect of DTAT on glioblastoma independently of effects on the tumor neovasculature. DTAT, which is not species cross-reactive (32), inhibited the human glioblastoma U118MG but could not be used to assess the anti-endothelial cell effects because tumor vasculature was derived from the mouse, not from humans. Still, it appears that DTAT, even without the help of an antivascular effect, is delivering potent therapy and killing glioblastoma tumors in this model. This is also the first time that attachment of the catalytic domain of DT and its translocation-enhancing region has been used to enhance the ability of ATF to internalize and serve as a potent and selective IT. Clearly, these findings raise some important questions regarding the deployment of this agent for clinical use.

Interestingly, intratumoral administration of DTAT caused the regression of tumors, even after dosing was discontinued. Ramakrishnan et al. (18) reported that an immunotoxin made by chemically conjugating vascular endothelial growth factor (VEGF) to truncated diphtheria toxin successfully inhibited the growth of subcutaneous tumors in nude mice. In these studies, tumor growth in the treated group was delayed as a consequence of the VEGF FP treatment. When treatment was stopped, the tumor immediately began to grow. This difference may be due to the intratumoral route of administration of DTAT as compared with systemic delivery in their study. Less than 0.001% of a systemically administered biologic agent (such as an FP) distributes to the tumor (5). Thus, direct intratumoral therapy of glioblastoma, with an agent that binds both tumor and vasculature, may be an advantage in treating glioblastoma that seldom leaves the cranium. However, there could be other explanations for the differences, including the fact that two different model systems were used or that the two different FPs may be internalized differently.

Enzyme studies revealed that the dose of DTAT (5 doses  $\times$  20  $\mu$ g/dose) that caused tumor regression had some effect on the liver but not on other organs of normal mice. It is possible that further elevations in dosage might be prohibitive. However, our

**Fig. 7.** C57BL/6 mice were randomly grouped ( $n = 5$ ) and injected with DTAT (diphtheria toxin [DT]-amino terminal [AT] fragment of urokinase-type plasminogen activator [uPA]), phosphate-buffered saline (PBS), or a control DTanti-CD3sFv fusion toxin. DTanti-CD3sFv has been previously shown to cause organ toxicity. On the day immediately following the last injection, mice were bled, and individual serum samples were studied for alanine aminotransferase (ALT) (panel A) and blood urea nitrogen (BUN) (panel B). Data were averaged. Groupwise comparisons were performed with Student's  $t$  test. The elevation in ALT in the DTAT-treated group in panel A ( $P = .046$ ) and the elevation in BUN in the DTanti-CD3sFv-treated group in panel B ( $P = .033$ ) were statistically significant as compared with PBS controls. U/L = units/liter; mg/dL = milligram/deciliter.



toxicity studies were performed in a different model by giving normal mice without tumors subcutaneous injections. Thus, higher doses of DTAT may be tolerated in tumor-bearing mice because the tumor combined with its extensive vascular network could provide an expansive "antigenic sink" that absorbs injected DTAT and limits the amount that would leave the tumor and traffic to nontarget sites such as liver and kidney. In fact, we have recently found (Vallera DA, Buchsbaum DJ: unpublished data) that radiolabeled FP was remarkably localized in the tumor over time when FP was administered intratumorally. Twenty-four hours after administration, 12% of the injected dose/gram (ID/g) was found in glioblastoma, whereas less than 1% was found in kidney and none was found in the blood. In mice without tumor, the absence of tumor and this antigenic sink may put more toxic stress on the liver, which would explain our ALT elevations. Interestingly, we already have preliminary evidence that this may be the case, because mice with large ( $>6\text{ cm}^3$ ) subcutaneous tumors tolerated a 100  $\mu\text{g}/\text{dose}$  course of DTAT, and the tumor underwent a 50% reduction in size. All together, this also indicates a reasonable therapeutic window that makes DTAT attractive for therapeutic use.

The difference in renal toxicity and liver toxicity of DTanti-CD3sFv and DTAT is difficult to explain. We do know that dimerizing DTanti-CD3sFv prevents the renal toxicity by preventing its filtration into the kidney (27). Size cannot be the only issue because DTAT is smaller than monomeric DTanti-CD3sFv but is not as toxic to the kidney. Since DTAT is not reactive with murine uPAR, DTAT toxicity is not likely to be attributed to specific activity. Since DTanti-CD3sFv is reactive with murine T cells, it is possible that when it is filtered into the kidney, DTanti-CD3sFv targets itinerant T cells. Perhaps, their destruction in the kidney promotes damage. It is also possible that because the DT moiety is identical in the two agents, toxicity differences are somehow attributable to physical differences in the ligand moiety of the molecules. Other DT-containing FPs directed to different cell surface determinants, such as CD25 and the granulocyte-macrophage colony-stimulating factor (GM-CSF) receptor, have exhibited renal and liver toxicity in rodents and in humans (33,34).

Future studies will emphasize toxicity in normal brain. Because DTAT cross-reacts with certain primate species (35), it could be tested in normal monkey brain. However, tumor cannot be grown in monkeys, and the presence of tumor may affect the outcome of DTAT administration. A better model might be a syngeneic mouse model in which we could intracranially implant mouse glioblastoma. Because mouse and human DTAT are not cross-reactive, we would assemble and purify murine DTAT. Unlike our current nude mouse model, murine DTAT could be injected into the brain of mice with syngeneic tumor and would bind to both tumor and its neovasculature.

Why would we expect that DTAT would kill endothelial cells in tumor vasculature and spare normal endothelial cells? First, DTAT may be selective for the rapidly expanding tumor endothelium as compared with normal endothelium. Thus, uPAR expression may be differentially high in the proliferating endothelium of the tumor neovasculature, although uPAR has not been convincingly demonstrated to be a proliferation-associated marker. Second, alteration in the endocytic/processing pathway of internalized cytotoxic conjugate has been observed and suggested as the mechanism to account for the differential sensitivity of endothelial cells to VEGF-toxin conjugates (36). The

same could be true for the intracellular processing of DTAT, which has not yet been studied. Third, immunohistochemical studies revealed that uPAR is mostly expressed in tissues undergoing extensive remodeling, e.g., in trophoblast cells in the placenta, in keratinocytes at the edge of incisional wounds, and in cells of primary tumor and metastasis (37). Perhaps the more quiescent normal endothelium is not as readily affected by treatment.

The literature supports IL-13R and IL-4R, which share receptor components (8), as useful targets for immunotoxin therapy in brain tumors (9,30,38,39). However, DTAT was developed as an alternative for tumors that fail to respond to DT-IL-13 treatment. On the basis of the literature (30), IL-13R is also highly expressed on some brain tumors but minimally expressed on others; thus, IL-13-FP may show promise in certain instances. However, GBM is heterogeneous and some glioblastomas may not respond to DT-IL-13 either because they don't express IL-13R or because it is not expressed in high enough density. DTAT represents a useful alternative therapy for these tumors. With two different FPs, it may also be possible to use combination therapy because some tumors may simultaneously express both receptors. In this instance, combinations of FPs may bind higher numbers of receptors on the cell surface. Presumably more toxin will be internalized. We have previously reported that kinetics of cell kill was far greater with FP combinations than kinetics observed with individual FP (40). More recently, the Vitetta group (41) observed that anti-CD19 and anti-CD22 IT are more effective against patient-derived B-acute lymphoblastic leukemia cells and found the combination to be more effective than the individual FP. Combination studies are under way.

Why did we target uPAR? Several tumor cell lines overexpress uPAR, including breast cancer (12,13), melanoma (14), colon cancer (15), and prostate carcinoma cells (16), and the literature describing its expression on glioblastoma is extensive (11). Others have targeted uPAR with FP (20,42). To simplify our approach, we cloned the ATF of uPA. This molecule binds to uPAR-expressing cells (19). However, the ATF domain completely lacks the catalytic domain of uPA but possesses an EGF-like domain that comprises the receptor binding sequence of human uPA. Therefore, ATF can target cell surface molecules of uPAR.

Glioblastoma therapy affords the advantage of local delivery, and clinical responses for FP therapy for this disease have exceeded responses for FP in any other disease. Response rates in excess of 50% have been reported (7). In the first GBM FP phase I clinical study, Youle's group at the National Institutes of Health (NIH) (43) evaluated the toxicity of an FP consisting of transferrin as a ligand linked to a mutated form of diphtheria toxin called CRM107. A trial was conducted with regional therapy of Tf-CRM107 in 18 patients. At least a 50% decrease in tumor volume occurred in nine of 15 assessable patients. Reduction occurred no earlier than a month after completion of the first Tf-CRM107 infusion, and the response did not peak in four patients until 6–14 months after the first treatment. The median survival after treatment in the group of nine responders who had malignant glioblastomas was 74 weeks (three were still alive at 102–142 weeks after the first treatment compared with 36 weeks for the nonresponders). These findings are impressive and dramatic for a disease that is extremely difficult to treat. Similar results have been reported for leptomeningeal carcino-



matosis (44). Rand et al. (38) have investigated the safety and activity of directly infusing an IL-4-FP made by linking circularly permuted IL-4 and truncated *Pseudomonas* toxin, also with promising results.

In summary, DTAT is highly selective and causes the regression of human glioblastoma in a mouse model without undue toxicity. Because it is different from other brain tumor targeting agents in its ability to directly target glioblastoma while simultaneously targeting the vasculature, it should be pursued as a useful alternative for treatment of chemotherapy-resistant and radiation-resistant glioblastomas. Studies in the nude mouse have been informative but are somewhat limited, because human DTAT is not species cross-reactive. Thus, the effect of DTAT on tumor can be assessed but not its reactivity on tumor microvasculature derived from the mouse. The construction of a DTAT homologue that is reactive with mouse endothelial cells is currently under way.

## REFERENCES

- (1) Davis FG, Freels S, Grutsch J, Barlas S, Brem S. Survival rates in patients with primary malignant brain tumors stratified by patient age and tumor histological type: an analysis based on Surveillance, Epidemiology, and End Results (SEER) data, 1973-1991. *J Neurosurg* 1998;88:1-10.
- (2) Levin VA, Sheline GH, Gutin PH. Neoplasm of the central nervous system. In: DeVita VT, Hellman S, Rosenberg SA, editors. *Cancer principles and practice of oncology*. 3rd ed. Philadelphia (PA): JB Lippincott; 1989. p. 1557-1611.
- (3) McKeever PE. Insights about brain tumors gained through immunohistochemistry and *in situ* hybridization of nuclear and phenotypic markers. *J Histochem Cytochem* 1998;46:585-94.
- (4) Kreitman RJ. Immunotoxins in cancer therapy. *Curr Opin Immunol* 1999; 11:570-8.
- (5) Shockley TR, Lin K, Sung C, Nagy JA, Tompkins RG, Derick RL, et al. A quantitative analysis of tumor specific monoclonal antibody uptake by human melanoma xenografts: effects of antibody immunological properties and tumor antigen expression levels. *Cancer Res* 1992;52: 357-66.
- (6) Jain RK. Delivery of novel therapeutic agents in tumors: physiological barriers and strategies. *J Natl Cancer Inst* 1989;81:570-6.
- (7) Oldfield EH, Youle RJ. Immunotoxins for brain tumor therapy. *Curr Top Microbiol Immunol* 1998;234:97-114.
- (8) Obiri NI, Leland P, Murata T, Debinski W, Puri RK. The IL-13 receptor structure differs on various cell types and may share more than one component with IL-4 receptor. *J Immunol* 1997;158:756-64.
- (9) Debinski W, Gibo DM, Hulet SW, Connor JR, Gillespie GY. Receptor for interleukin 13 is a marker and therapeutic target for human high-grade gliomas. *Clin Cancer Res* 1999;5:985-90.
- (10) Li C, Hall WA, Jin N, Todhunter DA, Panoskaltis-Mortari A, Vallera DA. Targeting glioblastoma multiforme with an IL-13/diphtheria toxin fusion protein *in vitro* and *in vivo* in nude mice. *Protein Eng*. In press 2002.
- (11) Mori T, Abe T, Wakabayashi Y, Hikawa T, Matsuo K, Yamada Y, et al. Up-regulation of urokinase-type plasminogen activator and its receptor correlates with enhanced invasion activity of human glioma cells mediated by transforming growth factor- $\alpha$  or basic fibroblast growth factor. *J Neurooncol* 2000;46:115-23.
- (12) Grondahl-Hansen J, Peters HA, van Putten WL, Look MP, Pappot H, Ronne E, et al. Prognostic significance of the receptor for urokinase plasminogen activator in breast cancer. *Clin Cancer Res* 1995;1: 1079-87.
- (13) Christensen L, Wiborg Simonsen AC, Heegaard CW, Moestrup SK, Andersen JA, Andreassen PA. Immunohistochemical localization of urokinase-type plasminogen activator, type-1 plasminogen-activator inhibitor, urokinase receptor and alpha(2)-macroglobulin receptor in human breast carcinomas. *Int J Cancer* 1996;66:441-52.
- (14) De Vries TJ, Mooy CM, Van Balken MR, Luyten GP, Quax PH, Verspaget HW, et al. Components of the plasminogen activation system in uveal melanoma—a clinico-pathological study. *J Pathol* 1995;175:59-67.
- (15) Verspaget HW, Sier CF, Ganesh S, Griffioen G, Lamers CB. Prognostic value of plasminogen activators and their inhibitors in colorectal cancer. *Eur J Cancer* 1995;31A:1105-9.
- (16) Crowley CW, Cohen RL, Lucas BK, Liu G, Shuman MA, Levinson AD. Prevention of metastasis by inhibition of the urokinase receptor. *Proc Natl Acad Sci U S A* 1993;90:5021-5.
- (17) Kroon ME, Koolwijk P, van der Vecht B, van Hinsbergh VW. Urokinase receptor expression on human microvascular endothelial cells is increased by hypoxia: implications for capillary-like tube formation in a fibrin matrix. *Blood* 2000;96:2775-83.
- (18) Ramakrishnan S, Olson TA, Bautch VL, Mohanraj D. Vascular endothelial growth factor-toxin conjugate specifically inhibits KDR/flk-1-positive endothelial cell proliferation *in vitro* and angiogenesis *in vivo*. *Cancer Res* 1996;56:1324-30.
- (19) Blasi F, uPA, uPAR, PAI-1: key intersection of proteolytic, adhesive and chemotactic highways? *Immunol Today* 1997;18:415-7.
- (20) Fabbriini MS, Carpani D, Bello-Rivero I, Soria MR. The amino-terminal fragment of human urokinase directs a recombinant chimeric toxin to target cells: internalization is toxin mediated. *FASEB J* 1997;11: 1169-76.
- (21) Appella E, Robinson EA, Ullrich SJ, Stoppelli MP, Corti A, Cassani G, et al. The receptor-binding sequence of urokinase. A biological function for the growth-factor module of proteases. *J Biol Chem* 1987;262: 4437-40.
- (22) Yamaizumi M, Mekada E, Uchida T, Okada Y. One molecule of diphtheria toxin fragment A introduced into a cell can kill the cell. *Cell* 1978;15: 245-50.
- (23) Vallera DA, Panoskaltis-Mortari A, Jost C, Ramakrishnan S, Eide CR, Kreitman RJ, et al. Anti-graft-versus-host disease effect of DT390-anti-CD3sFv, a single-chain Fv fusion immunotoxin specifically targeting the CD3 epsilon moiety of the T-cell receptor. *Blood* 1996;88: 2342-53.
- (24) Chan CH, Blazar BR, Eide CR, Kreitman RJ, Vallera DA. A murine cytokine fusion toxin specifically targeting the murine granulocyte-macrophage colony-stimulating factor (GM-CSF) receptor on normal committed bone marrow progenitor cells and GM-CSF-dependent tumor cells. *Blood* 1995;86:2732-40.
- (25) Chan CH, Blazar BR, Greenfield L, Krietman RJ, Vallera DA. Reactivity of murine cytokine fusion toxin, diphtheria toxin-murine interleukin-3 (DT390-mIL-3), with bone marrow progenitor cells. *Blood* 1996;88: 1445-56.
- (26) Ohara J, Paul WE. Up-regulation of interleukin 4/B-cell stimulatory factor 1 receptor expression. *Proc Natl Acad Sci U S A* 1988;85:8221-5.
- (27) Vallera DA, Kuroki DW, Panoskaltis-Mortari A, Buchsbaum DJ, Rogers BE, Blazar BR. Molecular modification of a recombinant anti-CD3epsilon-directed immunotoxin by inducing terminal cysteine bridging enhances anti-GVHD efficacy and reduces organ toxicity in a lethal murine model. *Blood* 2000;96:1157-65.
- (28) Vallera DA, Panoskaltis-Mortari A, Blazar BR. Renal dysfunction accounts for the dose limiting toxicity of DT390anti-CD3sFv, a potential new recombinant anti-GVHD immunotoxin. *Protein Eng* 1997;10:1071-6.
- (29) Vallera DA, Jin N, Baldrice JM, Panoskaltis-Mortari A, Chen SY, Blazar BR. Retroviral immunotoxin gene-therapy of acute myelogenous leukemia in mice using cytotoxic T cells transduced with an interleukin 4/diphtheria toxin gene. *Cancer Res* 2000;60:976-84.
- (30) Debinski W, Obiri NI, Powers SK, Pastan I, Puri RK. Human glioma cells overexpress receptors for interleukin 13 and are extremely sensitive to a novel chimeric protein composed of interleukin 13 and pseudomonas exotoxin. *Clin Cancer Res* 1995;1:1253-8.
- (31) Zhang X, Fei Z, Bu X, Zhen H, Zhang Z, Gu J, et al. Expression and significance of urokinase type plasminogen activator gene in human brain gliomas. *J Surg Oncol* 2000;74:90-4.
- (32) Quax PH, Grimbergen JM, Lansink M, Bakker AH, Blatter MC, Belin D, et al. Binding of human urokinase-type plasminogen activator to its receptor: residues involved in species specificity and binding. *Arterioscler Thromb Vasc Biol* 1998;18:693-701.
- (33) Olsen E, Duvic M, Frankel A, Kim Y, Martin A, Vonderheid E, et al. Pivotal phase III trial of two dose levels of denileukin difitox for the treatment of cutaneous T-cell lymphoma. *J Clin Oncol* 2001;19: 376-88.



- (34) Hall PD, Kreitman RJ, Willingham MC, Frankel AE. Toxicology and pharmacokinetics of DT388-GM-CSF, a fusion toxin consisting of a truncated diphtheria toxin (DT388) linked to human granulocyte-macrophage colony-stimulating factor (GM-CSF) in C57BL/6 mice. *Toxicol Appl Pharmacol* 1998;150:91-7.
- (35) Engelholm LH, Behrendt N. Differential binding of urokinase and peptide antagonists to the urokinase receptor: evidence from characterization of the receptor in four primate species. *Biol Chem* 2001;382:435-42.
- (36) Fulcher S, Lui GM, Houston LL, Ramakrishnan S, Burris T, Polansky J, et al. Use of immunotoxin to inhibit proliferating human corneal endothelium. *Invest Ophthalmol Vis Sci* 1988;29:755-9.
- (37) Solberg H, Ploug M, Hoyer-Hansen G, Nielsen BS, Lund LR. The murine receptor for urokinase-type plasminogen activator is primarily expressed in tissues actively undergoing remodeling. *J Histochem Cytochem* 2001;49:237-46.
- (38) Rand RW, Kreitman RJ, Patronas N, Varricchio F, Pastan I, Puri RK. Intratumoral administration of recombinant circularly permuted interleukin-4-*Pseudomonas* exotoxin in patients with high-grade glioma. *Clin Cancer Res* 2000;6:2157-65.
- (39) Puri RK, Hoon DS, Leland P, Snoy P, Rand RW, Pastan I, et al. Preclinical development of a recombinant toxin containing circularly permuted interleukin 4 and truncated *Pseudomonas* exotoxin for therapy of malignant astrocytoma. *Cancer Res* 1996;56:5631-7.
- (40) Valleria DA, Ash RC, Zanjani ED, Kersey JH, LeBien TW, Beverley PC, et al. Anti-T-cell reagents for human bone marrow transplantation: ricin linked to three monoclonal antibodies. *Science* 1983;222:512-5.
- (41) Herrera L, Farah RA, Pellegrini VA, Aquino DB, Sandler ES, Buchanan GR, et al. Immunotoxins against CD19 and CD22 are effective in killing precursor-B acute lymphoblastic leukemia cells *in vitro*. *Leukemia* 2000;14:853-8.
- (42) Rajagopal V, Kreitman RJ. Recombinant toxins that bind to the urokinase receptor are cytotoxic without requiring binding to the alpha(2)-macroglobulin receptor. *J Biol Chem* 2000;275:7566-73.
- (43) Laske DW, Youle RJ, and Oldfield EH. Tumor regression with regional distribution of the targeted toxin TF-CRM107 in patients with malignant brain tumors. *Nat Med* 1997;3:1362-8.
- (44) Laske DW, Muraszko KM, Oldfield EH, DeVroom HL, Sung C, Dedrick RL, et al. Intraventricular immunotoxin therapy for leptomeningeal neoplasia. *Neurosurgery* 1997;41:1039-49.

## NOTES

Supported in part by a grant from the Minnesota Medical Foundation to W. A. Hall.

We thank Dr. Robert Kreitman, National Cancer Institute, and Dr. S. Ramakrishnan, University of Minnesota, for their helpful discussions. We thank Sekou Doumbia, University of Minnesota, for his valuable technical assistance.

Manuscript received April 30, 2001; revised February 14, 2002; accepted February 20, 2002.

# Endogenous Sex Hormones and Breast Cancer in Postmenopausal Women: Reanalysis of Nine Prospective Studies

*The Endogenous Hormones and Breast Cancer Collaborative Group*

**Background:** Reproductive and hormonal factors are involved in the etiology of breast cancer, but there are only a few prospective studies on endogenous sex hormone levels and breast cancer risk. We reanalyzed the worldwide data from prospective studies to examine the relationship between the levels of endogenous sex hormones and breast cancer risk in postmenopausal women. **Methods:** We analyzed the individual data from nine prospective studies on 663 women who developed breast cancer and 1765 women who did not. None of the women was taking exogenous sex hormones when their blood was collected to determine hormone levels. The relative risks (RRs) for breast cancer associated with increasing hormone concentrations were estimated by conditional logistic regression on case-control sets matched within each study. Linear trends and heterogeneity of RRs were assessed by two-sided tests or chi-square tests, as appropriate. **Results:** The risk for breast cancer increased statistically significantly with increasing concentrations of all sex hormones examined: total estradiol, free estradiol, n n-sex hormone-binding globulin (SHBG)-bound estradiol (which comprises free and albumin-bound estradiol), estrone, estrone sulfate, androstenedione, dehydroepiandrosterone, dehydroepiandrosterone sulfate, and testosterone. The RRs for women with increasing quintiles of estradiol concentrations, relative to the lowest quintile, were 1.42 (95% confidence interval [CI] = 1.04 to 1.95), 1.21 (95% CI

= 0.89 to 1.66), 1.80 (95% CI = 1.33 to 2.43), and 2.00 (95% CI = 1.47 to 2.71;  $P_{\text{trend}} < .001$ ); the RRs for women with increasing quintiles of free estradiol were 1.38 (95% CI = 0.94 to 2.03), 1.84 (95% CI = 1.24 to 2.74), 2.24 (95% CI = 1.53 to 3.27), and 2.58 (95% CI = 1.76 to 3.78;  $P_{\text{trend}} < .001$ ). The magnitudes of risk associated with the other estrogens and with the androgens were similar. SHBG was associated with a decrease in breast cancer risk ( $P_{\text{trend}} = .041$ ). The increases in risk associated with increased levels of all sex hormones remained after subjects who were diagnosed with breast cancer within 2 years of blood collection were excluded from the analysis. **Conclusion:** Levels of endogenous sex hormones are strongly associated with breast cancer risk in postmenopausal women. [*J Natl Cancer Inst* 2002;94:606-16]

Breast cancer risk is partially determined by several hormone-related factors, such as age at menarche, parity, and age at menopause, and it has long been hypothesized that high levels of

**Correspondence to:** Timothy J. Key, D.Phil., Endogenous Hormones and Breast Cancer Collaborative Group, Cancer Research U.K. Epidemiology Unit, University of Oxford, Gibson Bldg., Radcliffe Infirmary, Oxford OX2 6HE, U.K. (e-mail: Tim.Key@cancer.org.uk).

See "Appendix" for affiliations of the Endogenous Hormones and Breast Cancer Collaborative Group.

See "Notes" following "References."

© Oxford University Press

**STIC-ILL**

---

*MW*  
*QRI-R47*  
*Admir*

**From:** Canella, Karen  
**Sent:** Thursday, February 13, 2003 6:15 PM  
**To:** STIC-ILL  
**Subject:** ill order 10/033,577

Art Unit 1642 Location 8E12(mail)

Telephone Number 308-8362

Application Number 10/033,577

1. Research in Microbiology, 1996 Nov-Dec, 147(9):697-705

## Contribution of plasminogen activator urokinase to *in vitro* cytotoxicity of diphtheria toxin

C. Guidi-Rontani (\*)

Unité des Toxines microbiennes, CNRS URA 18580, Institut Pasteur, 75724 Paris

### SUMMARY

Nicking of diphtheria toxin (DT), i.e. proteolytic cleavage at an arginine-rich region within the first disulphide loop, is a prerequisite to the intoxication process. We show that protease(s) required in this process was synthesized and secreted by the sensitive cells and that antibodies against plasminogen activator urokinase (uPA) decreased the *in vitro* cytotoxicity of DT on Vero cells. Our results demonstrate that uPA secreted by Vero cells cultured *in vitro* is one of the cellular proteases involved in the cleavage and activation of diphtheria toxin.

**Key-words:** Toxin, *Corynebacterium diphtheriae*, Urokinase; Plasminogen activator, Cytotoxicity.

### INTRODUCTION

Diphtheria toxin (DT) is a 58.342-kDa protein synthesized as a single polypeptide and secreted extracellularly as a 535-amino-acid-residue polypeptide, a proenzyme, by *Corynebacterium diphtheriae* (Collier and Kandel, 1971; Drazin *et al.*, 1971; Gill and Dinius, 1971; Greenfield *et al.*, 1983) lysogenic for bacteriophage  $\beta$  tox<sup>+</sup> (Freeman, 1951). The crystal structure at 2.5 Å resolution revealed a three-structural domain molecule (Choe *et al.*, 1992). The protein is toxic to most eucaryotic cells and species (rats and mice are insensitive) and the effect of the toxin on cells is to inhibit protein synthesis (Brown and Bradley, 1979). The intoxication process consists of the following (Collier, 1975; Pappenheimer, 1977): (i) binding to a cell surface membrane protein

representing the precursor of a heparin-binding epidermal growth factor(EGF)-like growth factor (Naglich *et al.*, 1992); (ii) receptor-mediated endocytosis and intracellular transport; (iii) translocation of the enzymatically active components (A fragment) of the toxin into the cytosol; and (iv), once in the cytoplasm, enzymatic catalysis by the A fragment of the transfer of the ADP-ribosyl moiety of NAD<sup>+</sup> to a unique posttranslationally modified histidine residue, diphthamide (2-(3-carboxyamido-3-(trimethylammonio)propyl)histidine) (VanNess *et al.*, 1980), within elongation factor 2 (Collier, 1967), inactivating the factor, thereby arresting protein synthesis and consequently causing cell death.

It has been shown that diphtheria toxin, as a single polypeptide chain, is fully cytotoxic but lacks ADP-ribosyltransferase activity *in vitro*. For

Submitted March 22, 1996, accepted April 24, 1996.

(\*) Present address: Laboratoire de Génétique moléculaire des Toxines, CNRS URA 18580, Institut Pasteur, 75724 Paris, France.

*in vitro* enzymic activity, intact DT must undergo two covalent alterations in structure: mild treatment of the toxin with proteolytic enzymes such as trypsin (this form of toxin is termed "nicked" toxin (Drazin *et al.*, 1971)), followed by reduction with thiols. Nicked toxin is split into two large peptides linked by a disulphide bond (Collier and Kandel, 1971); these have been designated as A fragment (Mr 21,167) and B fragment (Mr 37,199). This proteolytic action occurs within an arginine-rich sequence (190-194) that is subtended by a disulphide bond involving cysteine residues at position 186 and 201 about one third of the length of the molecule from the amino terminus. This A fragment, corresponding to the N-terminal position of the toxin (Uchida *et al.*, 1973), catalyses the ADP-ribosylation of mammalian elongation factor 2 (Drazin *et al.*, 1971; Gill and Pappenheimer, 1971), whereas B fragment is involved in receptor binding to the precursor of a heparin-binding EGF-like growth factor.

From the study of DT mutants altered in the interfragment region by site-directed mutagenesis (Ariansen *et al.*, 1993), the study of DT/IL2 fusions (Williams *et al.*, 1990) and the study of ricin A/protein A fusions carrying the DT loop (O'Hare *et al.*, 1990), it is clear that cleavage of intact DT in the 14-amino acid loop is important for cytotoxicity (Gordon and Leppla, 1994). The protease-sensitive region contains the amino acid sequence Arg-Val-Arg-Arg at residues 190-193. Thus, *in vivo* limited proteolysis of the intact DT molecule is an essential step in intoxication, and proteolysis would occur *in vivo* during the process of internalization of the toxin by cells. A major point of interest in the lethal action of toxins on cells is the process by which the enzymatically active protein undergoes transfer across membranes and is liberated into the cytosol. While studies demonstrated that DT underwent receptor-mediated endocytosis (Keen *et al.*, 1982) and required correct intracellu-

lar routing, the exact process of activation of DT and the precise mechanism of the translocation step itself are still currently poorly understood. This process involved exposure to low pH (pH 5.3) (Moskaug *et al.*, 1988), protease processing and disulphide bond activation (Draper and Simon, 1980; Sandvig and Olsnes, 1980). Acidic pH within early acidic endosomes of sensitive cells triggers a conformational change in DT that is believed to cause the B fragment to be inserted into the vesicular membrane and the enzymic A fragment of cleaved DT to be released into the cytosol. In the present work, we have addressed the question of the identity of protease(s) required for *in vivo* activation of diphtheria toxin.

## MATERIALS AND METHODS

### SDS-PAGE

Proteins were analysed by sodium dodecyl sulphate (SDS)/polyacrylamide gel electrophoresis (PAGE) as described by Laemmli (1970). Gels were stained with Coomassie brilliant blue R-250 (Fairbanks *et al.*, 1971). The molecular weight was estimated with standard protein markers as references.

### Zymographic analysis of proteinases

This procedure was used to identify proteinase activity according to Heussen and Dowdle (1980). Proteinases were analysed by 11% polyacrylamide gels containing 0.1% (w/v) SDS cast with 0.1% (w/v) copolymerized gelatin and in the presence or absence of copolymerized plasminogen (15 µg/ml) as sequential substrates. The samples were loaded onto the gel without boiling or treatment with β-mercaptoethanol. After electrophoresis at 4°C at a constant current of 8 mA, the gel was soaked in 2.5% (v/v) Triton-X100 in water at room temperature for 1 h to remove SDS and to restore enzymic activity. Then the gel was transferred to a bath containing 0.1 M glycine-NaOH pH 8.3 and incubated at 37°C for 3 h. Finally, the gels

DMEM = Dulbecco's minimal essential medium.  
DT = diphtheria toxin.  
EGF = epidermal growth factor.  
FCS = foetal calf serum.  
IL = interleukin.  
MW = molecular weight.

PA = plasminogen activator.  
PBS = phosphate-buffered saline.  
TCA = trichloroacetic acid.  
uPA = PA urokinase.  
uPAR = uPA receptor.

were fixed and stained in 0.1% (w/v) of amido black in methanol/acetic acid/water (30:10:60).

### Cells and cell cultures

A Vero cell line (African green monkey kidney cell line) was maintained as monolayers and cultured in DMEM supplemented with 50 units penicillin/ml, 50 µg streptomycin/ml and 1 mM L-glutamine with or without 2% (v/v) foetal calf serum (FCS) in an atmosphere containing 5% CO<sub>2</sub>. B4, a human melanoma cell line, was maintained indefinitely under serum-free conditions (gift from Dr. E.L. Wilson, Dept. of Clinical Science and Immunology, University of Cape Town, Cape Town, South Africa). The day before use, the cells were seeded into 24-well disposable trays in the same medium at a density of approximately 50,000 cells/well. The doubling time of the cells was 36 h.

### Cytotoxicity assay

Toxicity assays were performed with Vero or B4 in multi-well tissue culture plates. Medium was removed from cells growing in 24-well disposable trays, and 0.5 ml leucine-free medium, to which had been added 0.5 µCi <sup>3</sup>H-leucine/ml or 1 µCi <sup>3</sup>H-thymidine/ml, was added to each well. After 1 h at 37°C, the medium was removed and the cells were treated twice with cold 10% (w/v) trichloroacetic acid (+ 1 mg unlabelled leucine/ml), rinsed once with PBS and dissolved in 0.4 ml of 0.1N NaOH at room temperature for 5 min. Incorporation of leucine or thymidine into TCA-precipitable material was determined by liquid scintillation.

## RESULTS AND DISCUSSION

Highly purified unnicked DT toxin was essential for the realization of this study. In purified toxin preparations, the "nicked" toxin is found in variable proportions. Therefore, in order to obtain "totally" unnicked toxin, we carried out purification steps of DT preparation according to the method of Middlebrook and Leatherman (1982). The purified preparation of DT, composed of 99% pure but 75% unnicked protein, was treated with 0.5 M guanidine hydrochloride and 0.1 M dithiothreitol for 30 min at 25°C. The material was dialysed against Hanks' balanced salt solution. Under these conditions, the A and B fragments of the nicked toxin did not reassociate. The material

obtained was gel-filtered by fast protein liquid chromatography on a "Superose-12" column (type HR 10/30, Pharmacia, Uppsala, Sweden). DT molecules were uncleaved, corresponding to the intact, native toxin. This method gave an extent of nicking estimated to be less than 5%.

To assess an eventual contribution of exogenous protease(s) in the activation of DT, we first chose to test the cytotoxicity of intact DT on the most sensitive cell line, Vero, an African green monkey kidney cell line, in the presence or absence of serum. We tested the eventual contribution of FCS in the cytotoxicity of unnicked DT by measuring the rate of protein synthesis in cultured cells. At a density of approximately 150,000 cells/well, this cell line was washed with media to remove unattached cells and 0.5 ml/well fresh medium was added (DMEM supplemented with 50 units penicillin/ml, 50 µg streptomycin/ml, 1 mM L-glutamine with or without 2% (v/v) FCS serum) with unnicked DT (10<sup>-13</sup> to 10<sup>-8</sup> M). After 20 h, the medium was removed and protein synthesis was assessed with a 1-h pulse of 1 µCi of <sup>3</sup>H-leucine/ml at 37°C in 0.5 ml of medium containing low level leucine (1/20, the concentration present in the original medium). All experiments described in this report were done in duplicate cells seeded in 24-well plates. Controls included the observation of the cells under phase-contrast light microscope. As can be seen in figure 1, cytotoxic effects of unnicked DT with or without FCS were strikingly similar on Vero cells. ID<sub>50</sub> occurred at a concentration of 5 × 10<sup>-12</sup> M unnicked DT toxin in both cases (ID<sub>50</sub>: the concentration of toxin that inhibited protein synthesis by 50% relative to untreated control). *In vitro*, unnicked DT had a similar potency both in the absence and in the presence of FCS. On the other hand, a similar result was observed with B4, a human melanoma cell line, which can be maintained indefinitely under serum-free conditions (data not shown). No detectable contribution of the serum could be observed in these experiments, allowing one to exclude a mandatory contribution of exogenous protease present in serum in the *in vivo* activation process of DT. This result provided a clear indication that host protease(s) required in the activation of DT must be synthesized by sensitive cells.

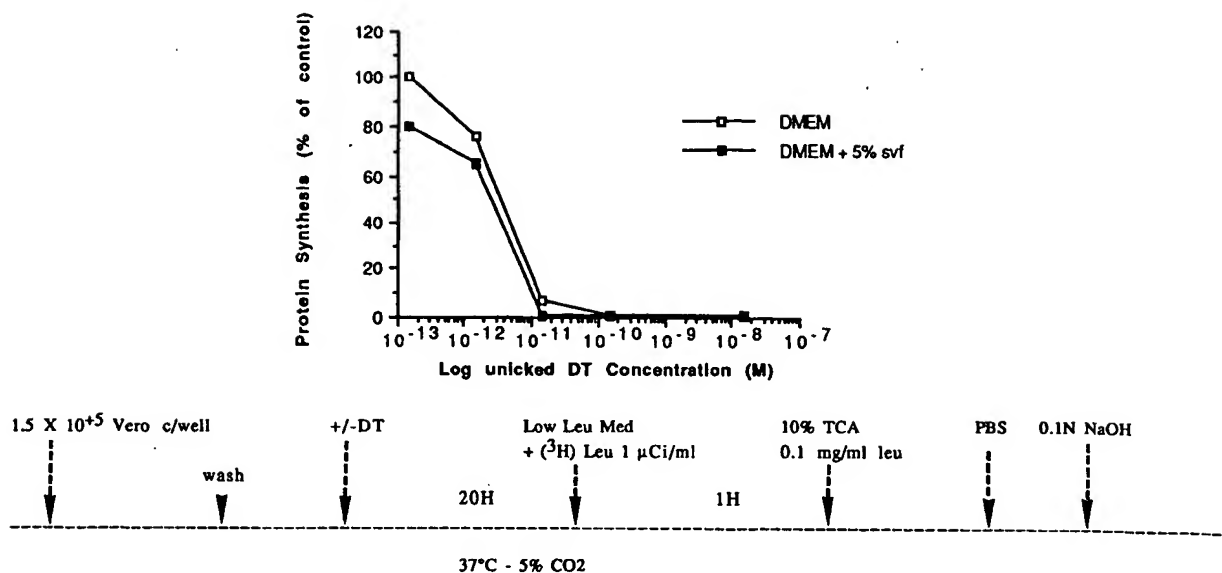


Fig. 1. Cytotoxicity of unnicked DT in the absence or presence of FCS.

Increasing amounts of the unnicked DT were added to cultures of Vero cells in the absence or presence of 5% FCS. The results were expressed as percent of the control values. The  $^3\text{H}$ -leucine incorporation of non-toxin-treated cells ranged from 10,000 to 19,000 cpm.

Having determined that the protease(s) had to be produced by the sensitive cells, we investigated the nature of the protease that were involved in DT activation. To this end, well-characterized proteinase inhibitors such as anti-pain, leupeptin, chymostatin, pepstatin and elastatinal were tested for their eventual effect on the cytotoxicity induced by intact DT. These protease inhibitors were, respectively, capable of inhibiting a large number of eukaryotic proteases able to act through distinct catalytic mechanisms: serine proteinases (EC 3.4.21), cysteine proteinases (EC 3.4.22), aspartic proteinases (EC 3.4.23) and elastase enzyme. It should be noted that the most apparent difficulties in using protease inhibitors in tissue culture result from their toxicity and instability. Indeed proteolytic mechanisms are vital for the cell; therefore, there is only a narrow margin between an effective and a toxic dose. For reproducible results, it is essential to use freshly made inhibitor solutions. Since it was observed that the effect of the protease inhibitors depends on the age and density of the cell cultures (Schnebli

and Burger, 1972), we tested cytotoxicity on freshly seeded cells at low density. The cytotoxicity of unnicked DT was tested in the presence of antipain (50  $\mu\text{g/ml}$ ), leupeptin (50  $\mu\text{g/ml}$ ), pepstatin (25  $\mu\text{g/ml}$ ), chymostatin (25  $\mu\text{g/ml}$ ) or elastatinal (50  $\mu\text{g/ml}$ ). These concentrations were not toxic, as established by microscopic examinations with an inverted microscope and 0.1%, w/v trypan blue exclusion. No significant morphological changes were observed in the cells treated with these inhibitors of proteases: cells were flatter than untreated cells, but they still overlapped and remained adherent. The effects of five protease inhibitors on the cytotoxicity of DT are shown in figure 2. Cultures of B4 in the presence of unnicked DT were virtually indistinguishable from inhibition of protein synthesis in the absence or presence of protease inhibitors ( $\text{ID}_{50} = 7 \times 10^{-12}$  M). None of these protease inhibitors interfered with the cytotoxicity of DT. By using protease inhibitors, we uniformly failed to even partly inhibit the cytotoxicity of unnicked DT. This experiment strongly suggested that DT could be processed



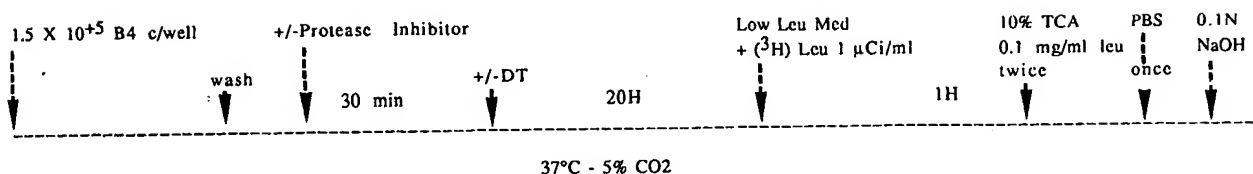
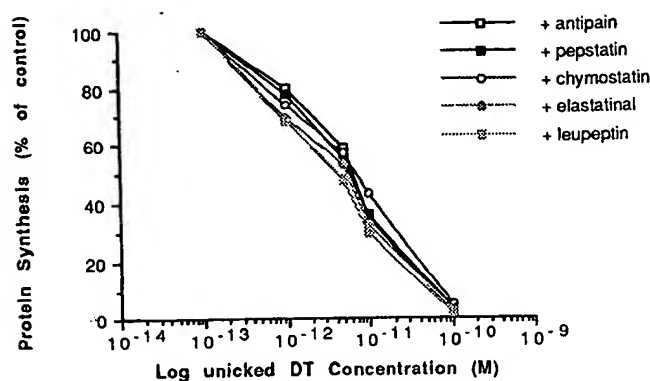


Fig. 2. Cytotoxicity of unnicked DT in the presence of inhibitors of cellular proteases.

Unnicked DTs were incubated with antipain (50 µg/ml), leupeptin (50 µg/ml), pepstatin (25 µg/ml), chymostatin (25 µg/ml) or elastatinal (50 µg/ml).

and thus activated via several different proteases to exert its intracellular toxic action.

To pursue the exploration of cell-associated protease involved in the processing of DT, we investigated the eventual implication of a secreted serine endopeptidase, the plasminogen activator urokinase (uPA) (EC 3.4.21.73). Indeed, in agreement with Cieplak and Eidels (1987), we observed (figure 3) that the *in vitro* digestion of DT with urokinase gave two fragments corresponding to 21,167 and 37,199 daltons (respectively, to A and B fragments). This result underlined the role of urokinase as a putative protein molecule involved in intoxication by DT. To ascertain whether the Vero cell line is a relatively good secretor of the proenzyme form of urokinase, we performed electrophoretic analysis of 10 µl serum-free Vero cell culture (conditioned culture medium) in polyacrylamide gels as described in "Materials and Methods", in the presence or absence of copolymerized plasminogen (15 µg/ml) as sequential substrates. This experiment, in agreement with Heussen and

Dowdle (1980), exploited the fact that the catalytic activity of urokinase was reversibly inhibited by SDS in the electrophoresis gel. As can be seen in figure 4, we detected five sharp clear fibrinolysis bands. The enzymes released by cultured Vero cells showed three major bands of plasminogen-dependent proteolysis with a spectrum of molecular weight. The two most prominent bands appeared as a closely spaced enzyme doublet with molecular weights of approximately 60 and 58 kDa measured. These bands corresponded to the components of urokinase-type enzymes with a concentration > 1.5 IU/ml Vero cell harvest fluid assigned to the enzymes by interpolation from the standard urokinase. Our results demonstrated that uPA was secreted by Vero cells.

In an attempt to shed light on a specific and active role of urokinase in the *in vivo* cleavage of DT, we first used neutralizing antibodies directed against urokinase, i.e. goat anti-uPA IgG (human LMW), purchased from DiaMed-France S.A., Paris, France. We tested anti-urokinase antibodies

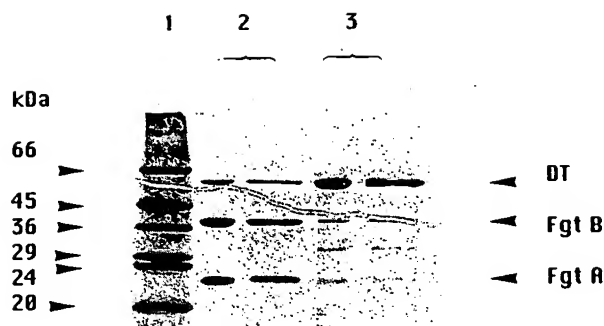


Fig. 3. *In vitro* cleavage of highly purified DT by urokinase.

Unnicked DTs (500 µg/ml) were incubated alone or with urokinase (3,750 IU/ml) in PBS at room temperature for 3 h; 6 µg of DT were denatured by boiling for 5 min in 150 mM NaCl, 2.7 mM KCl, 10 mM phosphate buffer pH 7.2 and analysed by SDS-PAGE in 11% resolving gels with 5% (v/v) of 2-mercaptoethanol. The gels were fixed and stained with Coomassie blue. Lane 1 = molecular weights; lanes 2 = unnicked DT treated with urokinase for 1 and 3 h, respectively; lanes 3 = unnicked DT incubated with PBS for 1 and 3 h, respectively.

for their ability to antagonize cytotoxicity of unnicked DT in Vero cells. In this experiment, described in figure 5, we added a concentration of unnicked or nicked DT required to allow 50% inhibition of protein synthesis as a result of a 5-h exposure to toxin in the presence or absence of anti-urokinase antibodies. We measured cytotox-

icity by assaying the rate of protein synthesis and the rate of DNA synthesis in cultured cells. Interestingly, partial inhibition of the cytotoxicity of unnicked DT was recorded in the presence of anti-urokinase antibodies (fig. 5). Furthermore, anti-urokinase antibodies blocked the cytotoxicity of unnicked DT in a dose-dependent fashion. We defined the inhibitory activity (I) according to the following relation:  $(I) = (Ci - Ct) / (Co - Ct) \times 100$ , where Co is the radioactivity (cpm) incorporated by cells without DT and without anti-urokinase antibodies, Ct is the count incorporated by cells with DT and without anti-urokinase antibodies, and Ci is the count incorporated by cells with both DT and anti-urokinase antibodies. We recorded a maximal inhibitor activity of anti-urokinase antibodies equal to 66 units in the presence of unnicked DT, whereas inhibitor activity was less than 4 units in the presence of nicked DT under the assay conditions. In this experiment, anti-urokinase antibodies were effective inhibitors of unnicked DT. In contrast to unnicked DT, anti-urokinase antibodies had no effect on the cytotoxicity mediated by trypsin-treated DT. Thus, the protective effect of anti-urokinase antibodies was not due to indirect effects on the cells. These results demonstrated that urokinase is involved and is necessary for the cytotoxicity of DT.

Taken together, these results confirmed the hypothesis advanced by Cieplak *et al.* (1987, 1988) of the possible participation of urokinase as an "activator" of DT in the intoxication process in cells such as Vero cells. Clearly, urokinase is a good candidate to efficiently promote *in vivo* activation of DT, since: (i) urokinase is widely secreted by a variety of normal tissues showing sensitivity to DT and, (ii) urokinase is surface-located (to its surface receptors, uPAR (Roldan *et al.*, 1990), with a very high affinity (0.2 nM), thus allowing a high local concentration of the plasminogen activator (PA) close to the cells and avoiding dilution in body fluids. Recently, Tsuneoka *et al.* (1993) established that a membrane-associated, calcium-dependent serine endoprotease with a subtilisin-like catalytic domain, furin (Molloy *et al.*, 1994), plays a substantial role in the activation of DT (Tsuneoka *et al.*, 1993). On the other hand, Gordon *et al.* (1995), in disagree-

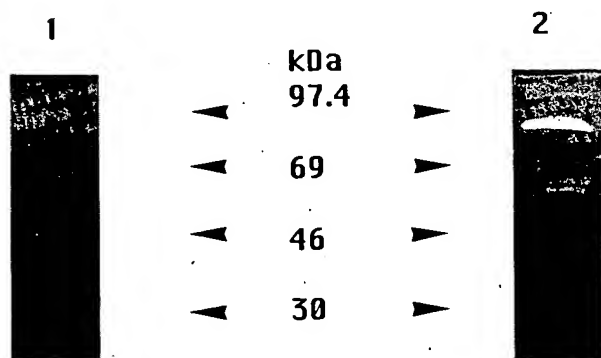


Fig. 4. Identification of plasminogen-dependent proteases in polyacrylamide containing gelatin and plasminogen.

Serum-free Vero cell harvest fluid (10 µl) in gels containing SDS-PAGE (11%), copolymerized gelatin (0.1%, w/v), without (lane 1) and with (lane 2) copolymerized plasminogen (15 µg/ml).

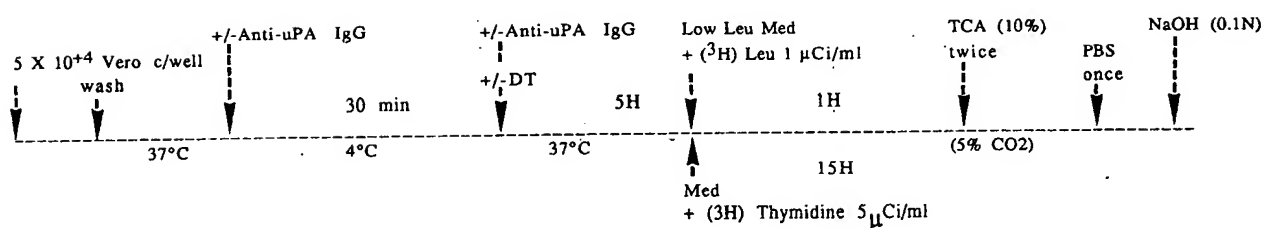
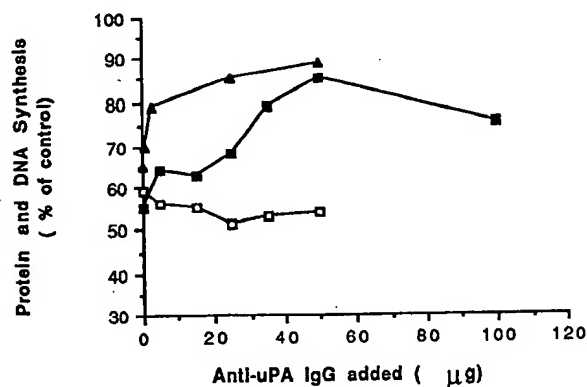


Fig. 5. Cytotoxicity of unnicked or nicked DT in the presence of anti-human low-MW uPA.

Vero cells were cultured with DT ( $5 \times 10^{-12}$  M final) and increasing concentrations of anti-human low-MW uPA. Non-toxin-treated cells ranged from 9,500 to 11,000 cpm ( $^3\text{H}$ -leucine incorporation) and 16,500 to 17,000 cpm ( $^3\text{H}$ -thymidine incorporation). Protein synthesis in the presence of nicked DT (□) or unnicked DT (■). DNA synthesis in the presence of unnicked DT (▲).

ment with Tsuneoka *et al.* (1993), showed that the sensitivity of furin-deficient cells to DT was reduced only slightly from that of wild-type cells. These studies provide evidence for the contribution of at least one additional protease in the activation of DT. Furin is the major protease that contributes to the activation of DT and anthrax toxin PA (Klimpel *et al.*, 1992; Molloy *et al.*, 1992, 1994). Based on our experiments, we showed that urokinase contributed to the cytotoxicity of DT on Vero cells and thus was involved in the activation of DT. However, anti-urokinase antibodies failed to totally protect Vero cells, suggesting that urokinase was not sufficient for the full nicking of DT. Our results, taken together with the work cited, rule out assigning a functional role for the cleavage of DT to a unique pro-

tease, in contrast to the case of *Pseudomonas* exotoxin A (Fryling *et al.*, 1992). In view of these findings, it is tempting to speculate that the ultimate goal of DT activation, fully nicked, and therefore the sensitivity of a cell line to DT, would depend on the critical set of enzymatic equipment located in a structure to which toxin could be delivered and processed. The protease primarily responsible for cleavage of DT in this phenomenon might be different depending upon specific cell types and changing physiological situations. With respect to the relevance of the *in vivo* protease contribution, one may question the extent to which results obtained with the *in vitro* cultured cells can give insight into the functional status of enzymes *in vivo*. In this view, we would like to underline that quantitative and qualitative

differences exist between normal and malignant cells in terms of rates of proteinase synthesis and release. Some enzymes are selectively secreted by malignant cells but not by their normal counterparts, suggesting that the overexpression of the uPA is one of the features of a number of immortalized cell lines (Markus *et al.*, 1983). Therefore, it remains possible that *in vitro* conditions of cell lines could amplify the contribution of these enzymes during DT intoxication.

### Acknowledgments

We wish to thank S. Leppla for valuable discussions, S. Paylor for her help in the preparation of the manuscript, E. Reich for his interest in this work and I. Motta for critical review of the manuscript. We are also grateful to K. Reich for diphtheria toxin preparation.

This work was supported by grants from the Centre National de la Recherche Scientifique (France) and the Center for Biotechnology, State University of New York at Stony Brook.

### Rôle de l'urokinase activatrice du plasminogène lors de la cytotoxicité *in vitro* de la toxine diphtérique

Une coupure de la toxine diphtérique (DT), dans une région riche en arginine au sein du premier pont disulfure, est indispensable pour le processus d'intoxication par la toxine. Nous montrons que la protéase requise pour une telle protéolyse est synthétisée et sécrétée par les cellules sensibles. De plus, des anticorps dirigés contre l'urokinase diminuent *in vitro* la cytotoxicité de la DT sur la lignée cellulaire Vero. Nos résultats démontrent que l'urokinase sécrétée par les cellules Vero est une des protéases cellulaires impliquées dans la protéolyse et l'activation de la toxine diphtérique.

**Mots-clés:** Toxine, *Corynebacterium diphtheriae*, Urokinase; Activatrice du plasminogène, Cytotoxicité.

### References

- Ariansen, S., Afanasiev, B.N., Moskaug, J.O., Stenmark, H., Madshus, I.H. & Olsnes, S. (1993), Membrane translocation of diphtheria toxin A-fragment: role of carboxy-terminal region. *Biochemistry*, 32, 83-90.
- Brown, B.A. & Bradley, J.W. (1979), Primary structure at the site in beef and wheat elongation factor 2 of ADP-ribosylation by diphtheria toxin. *FEBS Lett.*, 103, 253-255.
- Choe, S., Bennett, M.J., Fujii, G., Curmi, P.M., Kantardjieff, K.A., Collier, R.J. & Eisenberg, D. (1992), The crystal structure of diphtheria toxin. *Nature (Lond.)*, 357, 216-222.
- Cieplak, W. & Eidels, L. (1987), Specific cleavage of diphtheria toxin by a plasminogen activator, in "Membrane-mediated cytotoxicity" (Bonavida, Benjamin, Collier, eds.) (pp. 19-26). Liss, New York.
- Cieplak, W., Hasemann, C. & Eidels, L. (1988), Specific cleavage of diphtheria toxin by human urokinase. *Biochem. Biophys. Res. Commun.*, 157, 747-754.
- Collier, R.J. (1967), Effect of diphtheria toxin on protein synthesis: inactivation of one of the transfer factors. *J. Mol. Biol.*, 25, 83-89.
- Collier, R.J. (1975), Diphtheria toxin: mode of action and structure. *Bacteriol. Rev.*, 39, 54-85.
- Collier, R.J. & Kandel, J. (1971), Structure and activity of diphtheria toxin - I. Thiol-dependent dissociation of a fraction of toxin into enzymically active and inactive fragments. *J. Biol. Chem.*, 246, 1496-1503.
- Draper, R.K. & Simon, M.I. (1980), The entry of diphtheria toxin into the mammalian cell cytoplasm: evidence for lysosomal involvement. *J. Cell. Biol.*, 87, 849-854.
- Drazin, R., Kandel, J. & Collier, R.J. (1971), Structure and activity of diphtheria toxin - II. Attack by trypsin at a specific site within the intact toxin molecule. *J. Biol. Chem.*, 246, 1504-1510.
- Fairbanks, G., Steck, T.L. & Wallach, D.F.H. (1971), Electrophoretic analysis of major polypeptides of the human erythrocyte membrane. *Biochemistry*, 10, 2606-2617.
- Freeman, V.J. (1951), Studies on the virulence of bacteriophage-infected strains of *Corynebacterium diphtheriae*. *J. Bacteriol.*, 61, 675-688.
- Fryling, C., Ogata, M. & Fitzgerald, D. (1992), Characterization of a cellular protease that cleave *Pseudomonas* exotoxin. *Infect. Immun.*, 60, 497-502.
- Gill, D.M. & Dinis, L.L. (1971), Observations on the structure of diphtheria toxin. *J. Biol. Chem.*, 246, 1485-1491.
- Gill, D.M. & Pappenheimer, A.M. (1971), Structure-activity relationships in diphtheria toxin. *J. Biol. Chem.*, 246, 1492-1495.
- Gordon, V.M. & Leppla, S.H. (1994), Proteolytic activation of bacterial toxins: role of bacterial and host cell proteases. *Infect. Immun.*, 62, 333-340.
- Gordon, V.M., Klimpel, K.R., Arora, N., Henderson, M.A. & Leppla, S.H. (1995), Proteolytic activation of bacterial toxins by eukaryotic cells is performed by furin and by additional cellular proteases. *Infect. Immun.*, 63, 82-87.
- Greenfield, L., Bjorn, M.J., Horn, G., Fong, D., Buck, G.A., Collier, R.J. & Kaplan, D.A. (1983), Nucleotide sequence of the structural gene for diphtheria toxin carried by corynebacteriophage beta. *Proc. Natl. Acad. Sci. USA*, 80, 6853-6957.
- Heussen, C. & Dowdle, E.B. (1980), Electrophoretic analysis of plasminogen activators in polyacrylamide gels containing sodium dodecyl sulfate and copolymerized substrates. *Anal. Biochem.*, 102, 196-202.

- Keen, J.H., Maxfield, F.R., Hardegree, M.C. & Habig, W.H. (1982), Receptor-mediated endocytosis of diphtheria toxin by cells in culture. *Proc. Natl. Acad. Sci. USA*, 79, 2912-2916.
- Klimpel, K.R., Molloy, S.S., Thomas, G. & Leppla, S.H. (1992), Anthrax toxin protective antigen is activated by a cell surface protease with the sequence specificity and catalytic properties of furin. *Proc. Natl. Acad. Sci. USA*, 89, 10277-10281.
- Laemmli, U.K. (1970), Cleavage of structural proteins during the assembly of the head of bacteriophage T4. *Nature (Lond.)* 227, 680-685.
- Markus, G., Camiolo, S., Kohga, S., Madeja, J. & Mittleman, A. (1983), Plasminogen activator secretion of human tumors in short-term organ culture, including a comparison of primary and metastatic colon tumors. *Cancer Res.*, 43, 5517-5525.
- Middlebrook, J.L. & Leatherman, D.L. (1982), Differential sensitivity of reticulocytes to nicked and unnicked diphtheria toxin. *Exp. Cell. Res.*, 138, 175-182.
- Molloy, S.S., Bresnahan, P.A., Leppla, S.H., Klimpel, K.R. & Thomas, G. (1992), Human furin is a calcium-dependent serine endoprotease that recognizes the sequence Arg-X-X-Arg and efficiently cleaves anthrax toxin protective antigen. *J. Biol. Chem.*, 267, 16396-16402.
- Molloy, S.S., Thomas, L., VanSlyke, J.K., Stenberg, P.E. & Thomas, G. (1994), Intracellular trafficking and activation of the furin proprotein convertase: localization to the TGN and recycling from the cell surface. *EMBO J.*, 13, 18-33.
- Moskaug, J.O., Sandvig, K. & Olsnes, S. (1988), Low pH-induced release of diphtheria toxin A-fragment in Vero cells. Biochemical evidence for transfer to the cytosol. *J. Biol. Chem.*, 263, 2518-2525.
- Naglich, J.G., Metherall, J.E., Russell, D.W. & Eidels, L. (1992), Expression cloning of a diphtheria toxin receptor: identity with a heparin-binding EGF-like growth factor precursor. *Cell*, 69, 1051-1061.
- O'Hare, M., Brown, A.N., Hussain, K., Gebhardt, A., Watson, G., Roberts, L.M., Vitetta, E.S., Thorpe, P.E. & Lord, J.M. (1990), Cytotoxicity of a recombinant ricin A chain fusion protein containing a proteolytically-cleavable spacer sequence. *FEBS Lett.*, 273, 200-204.
- Pappenheimer, A.M., Jr. (1977), Diphtheria toxin. *Annu. Rev. Biochem.*, 46, 69-94.
- Roldan, A.L., Cubellis, M.V., Masucci, M.T., Behrendt, N., Lund, L.R., Dano, K., Appella, E. & Blasi, F. (1990), Cloning and expression of the receptor for human urokinase plasminogen activator, a central molecule in cell surface, plasmin dependent proteolysis. *EMBO J.*, 9, 467-474.
- Sandvig, K. & Olsnes, S. (1980), Diphtheria toxin entry into cells is facilitated by low pH. *J. Cell. Biol.*, 87, 828-832.
- Schnebli, H.P. & Burger, M.M. (1972), Selective inhibition of growth of transformed cells by protease inhibitors. *Proc. Natl. Acad. Sci., USA*, 69, 3825-3827.
- Tsuneoka, M., Nakayama, K., Hatsuzawa, K., Komada, M., Kitamura, N. & Mekada, E. (1993), Evidence for involvement of furin in cleavage and activation of diphtheria toxin. *J. Biol. Chem.*, 268, 26461-26465.
- Uchida, T., Pappenheimer, A.M. Jr. & Harper, A.A. (1973), Diphtheria toxin and related protein.-III. Reconstitution of hybrid "diphtheria toxin" from nontoxic mutant proteins. *J. Biol. Chem.*, 248, 3851-3854.
- VanNess, B.G., Howard, J.B. & Bodley, J.W. (1980), ADP-ribosylation of elongation factor by diphtheria toxin. *J. Biol. Chem.*, 255, 10 710-10 720.
- Williams, D.P., Wen, Z., Watson, R.S., Boyd, J., Strom, T.B. & Murphy, J.R. (1990), Cellular processing of the interleukin-2 fusion toxin DAB486-IL-2 and efficient delivery of diphtheria fragment A to the cytosol of target cells requires Arg194. *J. Biol. Chem.*, 265, 20673-20677.

*NM*

**From:** Canella, Karen  
**Sent:** Thursday, February 13, 2003 7:37 PM  
**To:** STIC-ILL  
**Subject:** ill order 10/033,577

Art Unit 1642 Location 8E12(mail)

Telephone Number 308-8362

Application Number 10/033,577

1. Cancer Treatment and Research, 1988, Vol. 37, pp. 113-122
2. J of Neurosurgery, 1989 Feb, 70(2):240-248
3. ~~Journal of Biological Chemistry:~~  
1988 Jan 25, 263(3):1295-1300  
1986 Mar 5, 261(7):3030-3035
4. Science, 1987 Oct 23, 238(4826):536-539
5. Journal of the National Cancer Institute, 2002 Apr 17, 94(8):597-606

Up 110-



## Cloned Fragment of Diphtheria Toxin Linked to T Cell-specific Antibody Identifies Regions of B Chain Active in Cell Entry\*

(Received for publication, July 29, 1985)

Marco Colombatti<sup>‡</sup>, Lawrence Greenfield<sup>§</sup>, and Richard J. Youle<sup>†</sup>From the <sup>†</sup>Surgical Neurology Branch, National Institute of Neurological and Communicative Disorders and Stroke, National Institutes of Health, Bethesda, Maryland 20892 and the <sup>‡</sup>Cetus Corporation, Emeryville, California 94608

The role of discrete domains of diphtheria toxin (DT) B chain in cytosol entry and cytotoxicity was investigated by linking a monoclonal antibody recognizing the human T cell-specific antigen T3 (UCHT1) to diphtheria toxin (UCHT1-DT), DT A subunit (UCHT1-DTA), or to a genetically engineered form of DT (UCHT1-MspSA) lacking the C-terminal 17-kDa portion of the B subunit. The N-terminal 21-kDa region of DT B chain increased toxicity of UCHT1-DTA 100-fold (UCHT1-MspSA) while addition of the C-terminal 17-kDa region (UCHT1-DT) increased toxicity 100-fold more. The cytotoxicity was dependent upon antibody binding as demonstrated by blocking toxicity with excess UCHT1. The differences in toxicity between these reagents were not due to differences in ADP-ribosylation activity of DT A chain, binding activity of the antibody moiety, extent of DT nicking, or the cross-linking method, so we conclude that the large differences in toxicity were due to the presence of different B chain domains. The large increase in toxicity by the C-terminal region of DT B did not appear to be caused by DT receptor binding. The lysosomotropic agent NH<sub>4</sub>Cl blocked the cytotoxic effect of DT, UCHT1-DT, and UCHT1-MspSA but not UCHT1-DTA.

Diphtheria toxin (DT<sup>1</sup>) is comprised of two disulfide-linked subunits; the 21,000-dalton A chain inhibits protein synthesis by catalyzing the ADP-ribosylation of elongation factor 2 (1) and the 37,000-dalton B chain binds cell surface receptors (2). The cell surface-binding domain and the phosphate-binding site (3) are located within the carboxyl-terminal 8-kDa cyanogen bromide peptide of the B chain (4). Close to the C terminus region of DT B chain are several hydrophobic domains (Fig. 1 in Miniprint and Ref. 5) that can insert into membranes at low pH and appear to be important for DT entry (6-9).

Antibodies directed against cell surface antigens have been linked to intact diphtheria toxin or its A subunit to selectively kill antigen-bearing target cells (10-12). Antibody toxin (immunotoxins) or ligand toxin conjugates containing only the

DT A chain have relatively low cytotoxic activity (13, 14) while intact DT conjugates can be very potent (15, 16), but the two types of immunotoxin have never been compared in the same system in a sensitive species. Since the DT B chain appears to facilitate entry of DT A chain to the cytosol, it is possible that its presence in whole toxin conjugates renders them more potent although less specific. Efforts have been made to construct more potent and specific immunotoxins by separating the toxin B chain domains involved in cell binding from the domains involved in A chain entry (17-19).

Recombinant DNA technology offers a powerful approach to improve immunotoxin efficacy at the gene level. Greenfield *et al.* (20) have cloned portions of DT and created a modified toxin (MspSA) which contains the N-terminal hydrophobic region of DT but lacks the C-terminal 17-kDa region and contains a new C-terminal cysteine for ease of linking to antibodies.<sup>2</sup> This fragment, like CRM 45 (2), lacks the cell surface-binding site of DT but includes most of the hydrophobic region thought to facilitate membrane transport (Fig. 1 and Ref. 5).

We have examined the role of DT B chain domains in cell entry by comparing the activities of a T cell-specific monoclonal antibody, UCHT1, linked to DT A chain, MspSA, and intact DT containing the whole B chain. The N-terminal region of DT B chain increases antibody specific toxicity 100-fold, and the C-terminal region increases activity 100-fold more.

### EXPERIMENTAL PROCEDURES AND RESULTS<sup>3</sup>

**Effect of Immunotoxin Treatment on Jurkat Leukemia Cells**—We compared the toxicity of a monoclonal antibody, UCHT1, linked to three forms of diphtheria toxin which varied in the length of the diphtheria toxin B subunit sequence included. Antigen (T3)-positive human leukemia cells (Jurkat) were incubated with the three immunotoxins, and the protein synthesis rate was determined. As seen in Fig. 2, UCHT1-DTA decreased the protein synthesis of treated cells to 50% of control at about  $2 \times 10^{-8}$  M; UCHT1-MspSA inhibited protein synthesis 50% at about  $3 \times 10^{-10}$  M, and UCHT1-DT showed the highest cytotoxic activity, reducing the protein synthesis to 50% of control cultures at  $3 \times 10^{-12}$

\* The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked "advertisement" in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

<sup>‡</sup> Dr. M. Colombatti is on leave of absence from the Istituto di Scienze Immunologiche, Università di Verona, Italy.

<sup>1</sup> The abbreviations used are: DT, diphtheria toxin; EF-2, elongation factor 2; Kd, kilodaltons; IT, immunotoxins; MBS, *m*-maleimido-benzoyl-*N*-hydroxysuccinimide ester; SPDP, *N*-succinimidyl-3-(2-pyridyldithio)-propionate; DTT, dithiothreitol; DTA, diphtheria A chain.

<sup>2</sup> L. Greenfield, H. Dovey, and D. Nitecki, manuscript in preparation.

<sup>3</sup> Portions of this paper (including "Experimental Procedures," part of "Results," and Figs. 1, 4-7) are presented in miniprint at the end of this paper. Miniprint is easily read with the aid of a standard magnifying glass. Full size photocopies are available from the Journal of Biological Chemistry, 9650 Rockville Pike, Bethesda, MD 20814. Request Document No. 85M-2526, cite the authors, and include a check or money order for \$6.80 per set of photocopies. Full size photocopies are also included in the microfilm edition of the Journal that is available from Waverly Press.

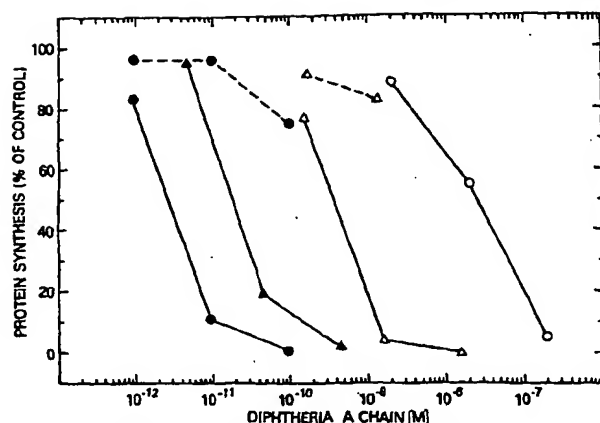


FIG. 2. Effect of 23.5-h immunotoxin treatment on Jurkat target cells. One hundred thousand Jurkat cells/well (100  $\mu$ l) were cultured for 23 h at 37  $^{\circ}$ C in the presence of the various reagents or control solutions. Cells were then pulsed for 1 h with [ $^{14}$ C]leucine.  $\bullet$ — $\bullet$ , UCHT1-DT;  $\Delta$ — $\Delta$ , UCHT1-MspSA;  $\circ$ — $\circ$ , UCHT1-DTA;  $\blacktriangle$ — $\blacktriangle$ , DT;  $\bullet$ — $\bullet$ , UCHT1-DT + UCHT1 (100  $\mu$ g/ml);  $\Delta$ — $\Delta$ , UCHT1-MspSA + UCHT1 (100  $\mu$ g/ml).

M. UCHT1-DT was, therefore, about 100-fold more potent than UCHT1-MspSA and about 10,000-fold more potent than UCHT1-DTA in a 23.5-h assay. Free UCHT1 blocked toxicity of UCHT1-DT and UCHT1-MspSA about 100- and 10-fold, respectively (Fig. 2), but did not affect the toxicity of native DT. Assays performed at 3.5 h showed an almost identical relationship of the three immunotoxins (Fig. 4, Miniprint) although they only killed cells at higher concentrations.

The immunotoxin concentration was based on ADP-ribosylation activity; therefore, the toxins are exactly comparable in regard to A chain potency. The binding of immunotoxin was examined and is shown in the Miniprint not to account for the large differences in immunotoxin potency. The nature of the cross-link, both disulfide and thioether, is also shown in the Miniprint and revealed no differences in the above pattern of toxicity. Therefore, we conclude that the 100-fold higher toxicity of UCHT1-MspSA over UCHT1-DTA is due to the activity of the N-terminal 15-kDa region of DTB while the 100-fold increase in toxicity of UCHT1-DT over UCHT1-MspSA is due to the activity of the C-terminal region of the DT B chain.

**Effect of DT-binding Site Blockade on UCHT1-DT Cytotoxic Activity.**—We have demonstrated that the toxicity of UCHT1-DT is antibody mediated and that the C terminus region, which contains the DT surface-binding activity, is needed for high potency. In the following experiments we examined whether the binding of antibody-linked DT to cell surface receptors is required as a second step in the process of cell intoxication by antibody-DT immunotoxins. Assays were, therefore, performed in the presence of a mutant DT (CRM 197 (21)) which binds DT receptors but is nontoxic due to a single amino acid substitution at position 52 of DT A chain which completely blocks ADP-ribosylation (22). As Fig. 3 shows,  $10^{-6}$  M CRM 197 blocked DT toxicity 90-fold but did not affect the cytotoxic activity of UCHT1-DT. Thus, we could not show any effect on UCHT1-DT toxicity by blocking 99% of the surface DT receptors. Phytic acid, a competitive inhibitor of DT binding (23), blocked DT toxicity about 70-fold but had no significant effect on UCHT1-DT (data not shown).

#### DISCUSSION

Diphtheria toxin requires functions present on both of its disulfide-linked subunits for toxicity. The A chain inhibits

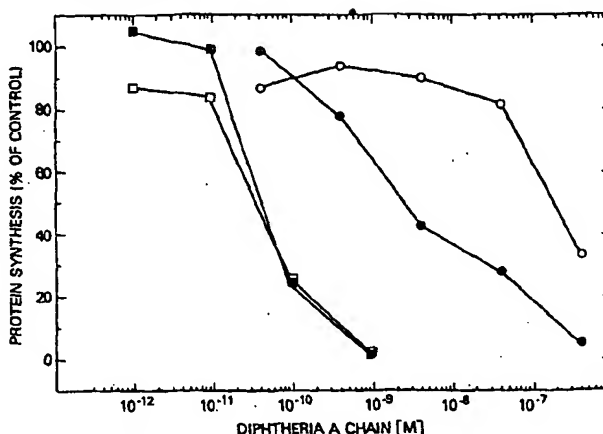


FIG. 3. Effect of DT-binding site blockade on DT and UCHT1-DT cytotoxic activity. One hundred thousand Jurkat cells were cultured for 3 h at 37  $^{\circ}$ C (100  $\mu$ l) in the presence of the different reagents or control solutions. After this, cells were pulsed for 1 h with [ $^{14}$ C]leucine.  $\blacksquare$ — $\blacksquare$ , UCHT1-DT;  $\square$ — $\square$ , UCHT1-DT + CRM 197 ( $10^{-6}$  M);  $\bullet$ — $\bullet$ , DT;  $\circ$ — $\circ$ , DT + CRM 197 ( $10^{-6}$  M).

protein synthesis when in the cytosol (24) while the B subunit binds cell surfaces (21, 25) and is thought to facilitate entry of the A subunit into the cytosol. The C-terminal 17-kDa region of DT B chain appears to partially or fully comprise the cell surface-binding site since 1) CRM mutants lacking this region (*i.e.* CRM 45) fail to bind cells (21) and 2) monoclonal antibodies which bind the C-terminal region block binding (26). Four hydrophobic domains exist in the DT B chain, three contained in the 21-kDa N terminus and one in the 17-kDa C terminus (5). At low pH, DT undergoes a conformational change which exposes hydrophobic domains and inserts into lipid bilayers (9). Eliminating low pH environments intracellularly blocks DT passage to the cytosol (8, 9, 27) while lowering extracellular pH to 4.5 circumvents this block implying that exposure of the hydrophobic domains is necessary for cell entry. Treatment of DT *in vitro* at pH 3.0–5.0 inactivates toxicity but not A chain enzymatic activity (9).

We have investigated the role of the DT B chain domains in cell entry as distinguished from cell binding by adding a new binding moiety to various size derivatives of DT. We found UCHT1 monoclonal antibody linked to diphtheria toxin A chain had low toxicity, but addition of the N terminus of DT B (as in UCHT1-MspSA) increased toxicity 100-fold. This is similar to work by Bacha *et al.* (18) who found that thyrotropin-releasing hormone linked to CRM45 was more toxic than thyrotropin-releasing hormone linked to the DT A chain analog, CRM 26. Presumably the three hydrophobic domains of MspSA and CRM 45 facilitate passage of DT out of intracellular vesicles. Interestingly,  $\text{NH}_4\text{Cl}$  blocked UCHT1-MspSA indicating that, like DT, it requires a low pH environment for membrane passage. UCHT1-DTA was not blocked by  $\text{NH}_4\text{Cl}$ , another indication that low pH results in the exposure of hydrophobic domains present on B chain but not on DT A chain.

Addition of the C-terminal 17-kDa region of DT B by linking intact DT to UCHT1 further increased toxicity more than 100-fold even though the binding was mediated solely by the antibody. What activity of this C-terminal region facilitates DT entry? We tested the possibility that cell surface DT receptors were involved. CRM 197 has no toxicity but binds DT receptors and blocks DT toxicity by competing for binding sites (21–24). Our data show that CRM 197 blocks

DT toxicity to Jurkat 100-fold but has no effect on UCHT1-DT. This indicates that the C terminus does not function solely by binding cell surface receptors; rather, this part of the molecule could be involved in binding of intracellular receptors or in membrane transport functions.

Intracellular binding of ricin receptors was proposed as the function of ricin B chain which facilitated immunotoxin toxicity (17). The results reported here for diphtheria toxin are consistent with the model proposed for ricin.

How hydrophobic domains insert into membranes is not known. Low pH inactivates DT by altering the B subunit (9). We used UCHT1-DT to determine whether this conformational inactivation was due to DT binding or entry functions. UCHT1-DT was inactivated by treatment at pH 4.0 even though cell binding by UCHT1 was maintained; therefore, the precise DT B subunit conformation appears to be necessary for membrane transport rather than binding. The sensitivity of UCHT1-MspSA to acid treatment revealed that the requirement for a proper conformation is not restricted to the C-terminal domains missing from MspSA, but also extends to other parts of DT B chain.

Antibody toxin conjugates are useful reagents for *in vitro* cell depletion. One goal is to use these reagents *in vivo* for cancer therapy. Antibodies linked to toxin A chains often have kinetics so slow as to be inactive *in vivo* while antibodies linked to intact toxins have too much nontarget cell toxicity for *in vivo* administration. Functions of toxin B chains which would accelerate activity of antibody toxin conjugates but not contribute to nontarget cell toxicity *in vivo* would be important to identify. The 21-kDa N terminus of DT B appears to greatly increase DTA-UCHT1 activity but makes little contribution to nontarget cell toxicity. Activities in the 17-kDa C terminus of DT B chain could be used to increase toxicity 100-fold more if they can be separated from cell surface-binding activities.

**Acknowledgments**—We are grateful to A. Palini for performing the flow cytometry assays. We thank L. Schofield for skillfully typing the manuscript.

#### REFERENCES

- Collier, R. J., and Cole, H. A. (1969) *Science* **164**, 1179-1182
- Uchida, T., Pappenheimer, A. M., Jr., and Greany, R. (1973) *J. Biol. Chem.* **248**, 3838-3844
- Lory, S., and Collier, R. J. (1980) *Proc. Natl. Acad. Sci. U. S. A.* **77**, 267-271
- Proia, R. L., Wray, S. K., Hart, D. A., and Eidels, L. (1980) *J. Biol. Chem.* **255**, 12025-12033
- Eisenberg, D., Schwarz, E., Kornaromy, M., and Wall, R. (1984) *J. Mol. Biol.* **179**, 125-142
- Kim, K., and Groman, N. B. (1965) *J. Bacteriol.* **90**, 1557-1562
- Boquet, P., Silverman, M. S., Pappenheimer, A. M., Jr., and Vernon, W. (1976) *Proc. Natl. Acad. Sci. U. S. A.* **73**, 4449-4453
- Donovan, J. J., Simon, M. T., Draper, R. K., and Montal, M. (1981) *Proc. Natl. Acad. Sci. U. S. A.* **78**, 172-176
- Sandvig, K., and Olsnes, S. (1981) *J. Biol. Chem.* **256**, 9068-9076
- Moolten, F. L., and Cooperband, S. R. (1970) *Science* **169**, 68-70
- Thorpe, P. E., Ross, W. C. J., Cumber, A. J., Hinson, C. A., Edwards, D. C., and Davies, A. J. S. (1977) *Nature* **271**, 752-754
- Gilliland, D. G., Steplewski, Z., Collier, R. J., Mitchell, K. F., Chang, T. H., and Koprowski, H. (1980) *Proc. Natl. Acad. Sci. U. S. A.* **77**, 4539-4543
- Chang, T., Dazord, A., and Neville, D. M., Jr. (1977) *J. Biol. Chem.* **252**, 1515-1522
- Youle, R. J., and Colombatti, M. (1986) in *Monoclonal Antibodies for the Diagnosis and Therapy of Cancer* (Roth, J., ed) Futura Publishing Co., Mt. Kisco, NY, in press
- O'Keefe, D. O., and Draper, R. K. (1986) *J. Biol. Chem.* **260**, 932-937
- Youle, R. J., Uckun, F. M., Vallera, D. A., and Colombatti, M. (1985) *J. Immunol.* **136**, 93-98
- Youle, R. J., Murray, G. J., and Neville, D. M., Jr. (1981) *Cell* **23**, 551-559
- Bacha, P., Murphy, J. R., and Reichlin, S. (1983) *J. Biol. Chem.* **258**, 1565-1570
- Thorpe, P. E., Ross, W. C. J., Brown, N. A. F., Myer, C. D., Cumber, A. J., Foxwell, B. M. J., and Forrester, J. T. (1984) *Eur. J. Biochem.* **140**, 63-71
- Greenfield, L., Bjorn, M. J., Horn, G., Fong, D., Buck, G. A., Collier, R. J., and Kaplan, D. (1983) *Proc. Natl. Acad. Sci. U. S. A.* **80**, 6853-6857
- Uchida, T., Pappenheimer, A. M., Jr., and Harper, A. A. (1972) *Science* **175**, 901-903
- Giannini, G., Rappuoli, R., and Ratti, G. (1984) *Nucleic Acids Res.* **12**, 4063-4069
- Middlebrook, J. L., and Dorland, R. B. (1979) *Can. J. Microbiol.* **25**, 285-290
- Pappenheimer, A. M., Jr. (1977) *Annu. Rev. Biochem.* **46**, 69-94
- Ittelson, T. R., and Gill, D. M. (1973) *Nature* **242**, 330-332
- Hayakawa, S., Uchida, T., Mekada, E., Moynihan, M. R., and Okada, Y. (1983) *J. Biol. Chem.* **258**, 4311-4317
- Draper, R. K., and Simon, M. I. (1980) *J. Cell Biol.* **87**, 849-854
- Chung, D. W., and Collier, R. J. (1977) *Biochim. Biophys. Acta* **483**, 248-257
- Moldave, K. (1963) *Methods Enzymol.* **6**, 757-761
- Youle, R. J., and Neville, D. M., Jr. (1980) *Proc. Natl. Acad. Sci. U. S. A.* **77**, 5483-5486
- Youle, R. J., and Neville, D. M., Jr. (1982) *J. Biol. Chem.* **257**, 1598-1601
- Moehring, T. J., and Moehring, J. M. (1977) *Cell* **11**, 447-454

SUPPLEMENTARY MATERIAL TO: "CLONED FRAGMENT OF DIPHTHERIA  
TOXIN LINKED TO T CELL SPECIFIC ANTIBODY IDENTIFIES  
REGIONS OF B CHAIN ACTIVE IN CELL ENTRY"

by

Marco Colombatti, Lawrence Greenfield and Richard J. Youle

#### EXPERIMENTAL PROCEDURES

##### Reagents

Diphtheria toxin (m.w. 65Kd), 95% nicked, was purchased from Calbiochem; Diphtheria toxin, <10% nicked, was purchased from List Biologicals. UCHT1, an IgG1 which recognizes the CD3 (p20) determinant was a generous gift from Unilever, Bristol, England. *N*-Maleimidobenzoyl *N*-hydroxysuccinimide ester (MBS), *n*-succinimidyl 3-(2-pyridyldithio) propionate (SPDP) and dithiothreitol (DTT) were from Pierce Chemical Co., Rockford, IL.

Diphtheria toxin A chain (21kDa) was purified from reduced and denatured DT according to the methods described by Chang and Collier (28) and Sandvig and Olines (9). EF-2 was purified from rat liver cells following a procedure described by Moldave (29). CRM 197 was purified by ion exchange on DEAE Sepharose.

##### MspSA

The MspSA toxin is a recombinant derivative of DT synthesized in *E. Coli* using the Msp restriction fragment of *Corynebacterium diphtheriae* genome; it consists of amino acids 1 through 382 of the native DT sequence (20) to which the nucleotide sequence encoding for the following amino acid sequence has been added to the C-terminal end by conventional techniques: leu-pro-gly-thr-gly-ser-gly-prog-ser-gly-ser-gly-thr-cys (Fig. 1). Further details on recombinant DNA techniques used for MspSA construction and expression will be published elsewhere.<sup>2</sup> MspSA was purified by passage through a DEAE column equilibrated with 10mM Na phosphate pH 6.8 and eluted with a 0-300 mM Na Cl gradient. The eluted material was then loaded onto a MAD-agarose column in 10mM Na phosphate and affinity-purified by eluting the bound material with a 0-1.2 M Na Cl gradient. The concentrated eluate was then further purified by running through a Sephacryl S-200 sizing column. MspSA was obtained as a >95% pure protein of 45,000 dalton according to SDS-polyacrylamide gel electrophoresis. Its EF-2 ADP-ribosylating activity was equal to nicked DT.

##### Immunotoxins

Thioether and disulfide-linked immunotoxins (IT) were made by slight modification of previously published procedures (30, 31); DT and MspSA were linked to MBS by incubating with a 5 fold excess of MBS for 30 min at room temperature; for disulfide linkage, MspSA and DT A chain were reduced with 100 mM DTT for 2 hr followed by gel filtration and mixing with UCHT1 previously modified with a 7 fold molar excess of SPDP. Immunotoxins were purified by gel filtration on a TSK-3000 HPLC column. The immunotoxins ran as distinct peaks in front of unconjugated antibody. All immunotoxins were a mixture of conjugates of different stoichiometry but showed an average m.w. corresponding to 1:1-1:3 (antibody:toxin) molar conjugates and were separated from unconjugated antibody and toxin.

##### Protein Synthesis Assay

The *in vitro* adapted human leukemic T cell line Jurkat, maintained by serial passage in RPMI 1640 + 10% FCS was used throughout our study. Protein synthesis was assayed by

incubating  $10^5$  cells in 80  $\mu$ l leucine free RPMI medium containing 2% FCS in 96 well microtiter plates; toxins, immunotoxins and control solutions were added in 10  $\mu$ l to a final volume of 100  $\mu$ l and incubated with cells for 3 hr or 23 hr. Twenty  $\mu$ l of medium containing 0.1  $\mu$ Ci of  $^{14}$ C-leucine were then added for 60 min. Cells were then harvested onto glass fiber filters with a PHD cell harvester, washed with water, dried and counted. Incubation time is calculated as preincubation time plus one half the  $^{14}$ C-leucine pulse time. All cytotoxicity assays were performed 2-4 times; data showed less than 10% variation between different experiments. Values represent the average of duplicate samples (less than 10% standard error). The results are expressed as a percentage of the incorporation in the mock-treated control cultures. The incorporation in the controls varied between 15,000 and 22,000 cpm.

##### EF-2 ADP-ribosylation assay

ADP-Ribosylation of EF-2 was assayed by a modification of a previously described method (32). Briefly, 50  $\mu$ l of partially purified EF-2 were dispensed in a 96 well microtiter plate followed by 25  $\mu$ l 32P-Nicotinamide adenine dinucleotide (0.9  $\mu$ Ci, specific activity 707.1 Ci/mmol). DT, DT A chain, immunotoxins and control solutions were added in 25  $\mu$ l and mixtures incubated for 15 min at room temperature. All reagents added were in 0.25M Tris-HCl buffer pH 8.2 containing 0.04 M DTT and 0.1 M EDTA. The reaction was stopped by filling the wells with 5% trichloroacetic acid, the precipitate harvested onto glass fiber filters, washed, dried and counted. The background of the assay was determined by replacement of toxins or immunotoxins with Tris-HCl buffer. Standard curves were established by assaying threefold dilutions of nicked DT at known concentrations. Concentration of toxins or immunotoxins were then determined based on DT standard curves, these concentrations were comparable to concentrations based on absorbance (O.D. 280 nm). UCHT1-DT used in this study was synthesized with 95% nicked DT. Extent of conjugation was determined by pre-incubating 100  $\mu$ l of the immunotoxin solution with 50  $\mu$ l packed goat anti-mouse Ig antiserum covalently coupled to Sepharose 4-B. After 30 min incubation at room temperature samples were centrifuged at 12,000 rpm for 2 min and the supernatant was assayed for EF-2 ADP-ribosylation as above.

##### Immunotoxin Binding Assay

Binding of UCHT1-DT, UCHT1-MspSA and UCHT1-DTA was estimated by flow cytometry. One million cells in 100  $\mu$ l medium + 10% FCS were incubated with 100  $\mu$ l UCHT1 or immunotoxins for 30 min on ice. Cells were then washed and resuspended in 200  $\mu$ l medium + FCS; 10  $\mu$ l of fluoresceinated goat antimouse immunoglobulins (Ig) antiserum were then added and cells incubated for 30 min on ice. After this, cells were washed again and analyzed on a Coulterfluorescence activated cell sorter.

##### pH Treatment

DT, UCHT1-DT and UCHT1-MspSA (20  $\mu$ g/ml, 4  $\mu$ g/ml and 2  $\mu$ g/ml respectively) in 10 mM Na acetate pH 4.0 or in PBS pH 7.0 were incubated at 37°C for 1 hr. After this treatment, the samples were neutralized and 10  $\mu$ l of each solution were separately added to 90  $\mu$ l culture medium containing  $10^5$  cells and a protein synthesis assay was performed as described above.

#### RESULTS

##### Role of Cross-linking Method

UCHT1-DT is a thioether conjugate, whereas UCHT1 is linked to MspSA via a disulfide bridge. The different cytotoxic potency of UCHT1-DT and UCHT1-MspSA might be explained by the different

cross-linking methods used for the conjugates synthesis. The thioether linkage used for UCHT1-DT conjugation results in a modification of amino groups of DT; conversely, the disulfide bond linking antibody and MspSA does not require chemical modifications of the toxin. A thioether cross-linked UCHT1-MspSA immunotoxin was synthesized by random derivatization and assayed in a protein synthesis inhibition assay. As seen in Fig. 5, miniprint, this immunotoxin only reduced protein synthesis 24% at the highest concentration ( $10^{-7}$ M). The higher potency of UCHT1-DT is therefore not due to the method of crosslinking. Comparison of UCHT1-DT conjugates synthesized with nicked or unnicked toxin revealed that the immunotoxins made with unnicked DT was about 10 fold more toxic (Fig. 5). The difference in cytotoxicity between UCHT1-DT (unnicked) and UCHT1-MspSA (unnicked) was thus maintained or even greater and therefore nicking does not account for the difference in potency between the two immunotoxins.

Native DT reduced protein synthesis in Jurkat cells to 50% of control at  $2.5 \times 10^{-9}$ M in a 3.5 hr assay (Fig. 3) while MspSA alone was not toxic for Jurkat cells at concentrations as high as  $6 \times 10^{-6}$ M (Fig. 5, miniprint). Therefore the toxicity differential between target and non-target cells may even be greater for UCHT1-MspSA than UCHT1-DT.

#### Binding of Immunotoxins to Jurkat Cells

Differences in the ability to bind the surface of target cells might explain the lower cytotoxic properties of UCHT1-DTA and UCHT1-MspSA as compared to UCHT1-DT. To investigate this, Jurkat cells were treated with various concentrations of UCHT1 monoclonal antibody alone, with UCHT1-DT, UCHT1-DTA, or UCHT1-MspSA for 1 hr on ice. After washing, bound monoclonal antibody and immunotoxins were revealed by incubating the cells with a fluorescent goat anti-mouse Ig antiserum. The mean fluorescence intensity (MFI) expressed by the cells was then determined by flow cytometry. As illustrated in Fig. 6, UCHT1-DT, UCHT1-MspSA, and UCHT1-DTA had comparable binding activity. In a replicate experiment a full binding curve of UCHT1-MspSA fell within 2 fold of the UCHT1 binding curve. MspSA lacks the cell surface binding domain, therefore binding of UCHT1-MspSA to the cell surface can only occur via UCHT1 monoclonal antibody. UCHT1-DT does not bind cells via the DT binding site since at the concentration used in the binding assay, UCHT1-DT toxicity can be totally blocked by an excess UCHT1 monoclonal antibody and is therefore mediated only by the antibody binding the target antigen. The binding of UCHT1-DTA to target cells was also demonstrated by the ability of UCHT1-DTA at  $5 \times 10^{-7}$ M to competitively block the cytotoxic effect of UCHT1-DT in a 3.5 hr protein inhibition assay (Fig. 4b, miniprint). The binding of all three immunotoxins was therefore comparable and could not explain the differences in toxicity.

#### Immunodepletion of Immunotoxin ADP-Ribosylation Activity

We conducted one further control to demonstrate that the concentration of UCHT1-MspSA and UCHT1-DTA as determined by ADP-ribosylation was not artifactually low due to free MspSA or DT-A chain present in the sample and not linked to UCHT1. DT, UCHT1-DT, UCHT1-MspSA and UCHT1-DTA were incubated with a goat anti-mouse Ig serum covalently bound to Sepharose 4-B beads, centrifuged and the EF-2 ADP-ribosylating activity remaining in the supernatant was assayed as described under Experimental

Procedures. Sixty-four percent of the EF-2 ADP-ribosylating activity of UCHT1-DT preparation was precipitated and was therefore antibody-bound toxin; 99% of the EF-2 ADP-ribosylating activity found in the UCHT1-MspSA preparation was bound to UCHT1 antibody and was precipitated by insolubilized goat anti-mouse Ig antiserum and 73% of the ADP-ribosylating activity was immunodepleted from UCHT1-DTA, while only 7.5% of the EF-2 ADP-ribosylating activity could be precipitated from a control sample containing DT alone. The concentrations of UCHT1-MspSA and UCHT1-DTA were therefore within 20% of the true value. The UCHT1-DT may have been slightly more toxic than shown but the overall relative toxicities of the three reagents remains valid.

#### Effect of Ammonium Chloride on Immunotoxin Activity

DT toxicity can be blocked by lysosomotropic amines that neutralize lysosomal pH and probably interfere with DT entry by preventing the exposure of hydrophobic domains needed for transmembrane passage (6-9). We therefore investigated whether ammonium chloride could affect the cytotoxic activity of DT or MspSA linked to UCHT1 antibody. As Fig. 4a miniprint, illustrates, the presence of 10 mM NH<sub>4</sub>Cl during the 3.5 hr of the assay totally blocked UCHT1-DT and UCHT1-MspSA cytotoxicity. Under the same conditions the toxicity of DT for Jurkat cells was also completely abolished (Fig. 5, miniprint). Thus UCHT1-MspSA requires a low pH environment for entry like native DT. UCHT1-DTA was not blocked by the presence of 10mM NH<sub>4</sub>Cl during a 23.5 hr assay but was potentiated about 2 fold. Fifty percent inhibition of protein synthesis was attained at  $3 \times 10^{-9}$ M in the presence and at  $6 \times 10^{-9}$ M in the absence of ammonium chloride. Toxicity of DTA was not affected by the presence of NH<sub>4</sub>Cl during a 23.5 hr assay (data not shown). These data suggest that only protein domains in the DT B chain mediated entry need a low pH.

#### Effect of pH on UCHT1-DT Cytotoxic Activity

Treatment of DT at low pH induces an irreversible conformational change of the toxin molecule that results in a reduction of its toxicity (9). To determine if the conformation of the hydrophobic domains necessary for toxin entry is required for immunotoxin activity we treated UCHT1-DT, UCHT1-MspSA and DT at pH 4.0 for 1 hr at 37°C and after neutralization assayed their cytotoxic effect in a 3.5 hr protein synthesis inhibition assay. As Fig. 7 miniprint, illustrates, 50% inhibition of protein synthesis was observed at 300 ng/ml ( $5 \times 10^{-9}$ M) for acid-treated DT, while a control sample treated at pH 7.0 showed a 50% inhibition at 60 ng/ml ( $10^{-10}$ M). Protein synthesis was decreased to 30% of control at about 30 ng/ml ( $10^{-10}$ M) by untreated UCHT1-DT and at about 150 ng/ml ( $5 \times 10^{-10}$ M) by acid-treated UCHT1-DT (Fig. 7, miniprint). UCHT1-MspSA cytotoxicity was also similarly affected by low pH treatment: 78% protein synthesis inhibition was observed at about 40 ng/ml ( $1.5 \times 10^{-9}$ M) for control UCHT1-MspSA as opposed to 200 ng/ml ( $6.2 \times 10^{-9}$ M) for UCHT1-MspSA pre-treated at pH 4.0 (Fig. 7, miniprint). UCHT1 antibody binding was not affected by pH 4.0 treatment (data not shown).

Treatment at pH 4.0 reduced the cytotoxic activity of DT, UCHT1-DT and UCHT1-MspSA about 4 to 5 fold demonstrating that a specific conformation of DT is needed not only for cell surface binding but also for entry to the cytosol. The effect of low pH on UCHT1-MspSA indicates that acid sensitive regions exist in the N-terminal portion of B chain.

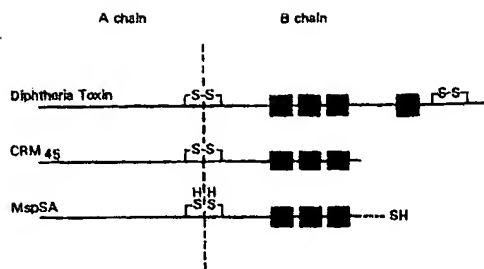


Fig. 1.

Structure of diphtheria toxin and related polypeptide molecules. Disulfide bonds are represented by the symbol -S-S-; black boxes represent hydrophobic sequences according to Ref. 5.

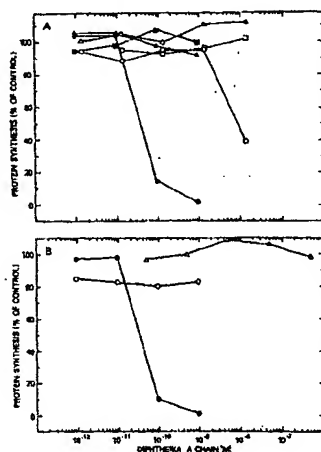


Fig. 4.

Effect of antibody-toxin conjugates on Jurkat target cells. One hundred thousand cells/well (100  $\mu$ l) were cultured for 3 hr at 37°C in the presence of the various reagents or control solutions. Cells were then pulsed for 1 hr with  $^{14}$ C-leucine, harvested and counted.

- a)  $\bullet$ — $\bullet$  UCHT1-DT,  $\blacktriangle$ — $\blacktriangle$  UCHT1-DT + UCHT1  $7 \times 10^{-7}$  M,  $\blacksquare$ — $\blacksquare$  UCHT1-DT +  $\text{NH}_4\text{Cl}$  10 mM;  $\circ$ — $\circ$  UCHT1-MspSA,  $\triangle$ — $\triangle$  UCHT1-MspSA + UCHT1  $7 \times 10^{-7}$  M,  $\square$ — $\square$  UCHT1-MspSA +  $\text{NH}_4\text{Cl}$  10 mM.  
b)  $\bullet$ — $\bullet$  UCHT1-DT,  $\triangle$ — $\triangle$  UCHT1-DTA,  $\circ$ — $\circ$  UCHT1-DT + UCHT1-DTA  $5 \times 10^{-7}$  M.

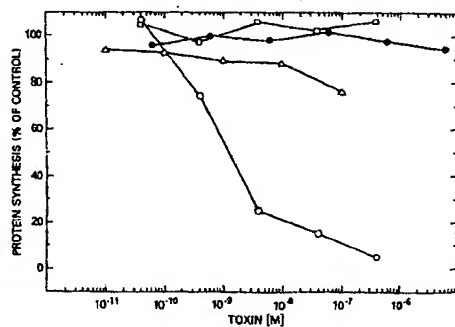


Fig. 5.

Effect of DT, MspSA and thioether-linked UCHT1-MspSA on Jurkat target cells. One hundred thousand Jurkat cells/well (100  $\mu$ l) were cultured for 3 hr at 37°C in the presence or absence of DT, MspSA or UCHT1-MspSA. Cells were then pulsed for 1 hr with  $^{14}$ C-leucine.  $\circ$ — $\circ$  DT,  $\square$ — $\square$  DT +  $\text{NH}_4\text{Cl}$  10 mM,  $\bullet$ — $\bullet$  MspSA,  $\triangle$ — $\triangle$  thioether-linked UCHT1-MspSA.

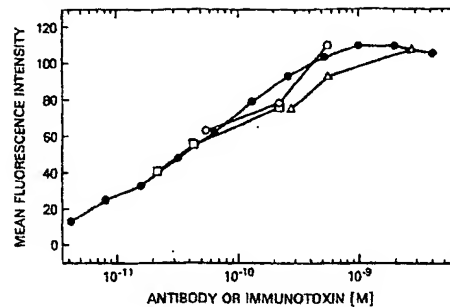


Fig. 6.

One million Jurkat cells were incubated with various dilutions of UCHT1 or IT for 30 min on ice. The cells were then washed and incubated for 30 min on ice with an anti-mouse Ig fluoresceinated antiserum. After washings, cell-bound fluorescence was analyzed by flow cytometry. Cells treated with the second step reagent alone represented the background of the assay (subtracted).  $\square$ — $\square$  UCHT1-DT,  $\triangle$ — $\triangle$  UCHT1-MspSA,  $\bullet$ — $\bullet$  UCHT1-DTA,  $\bullet$ — $\bullet$  UCHT1.

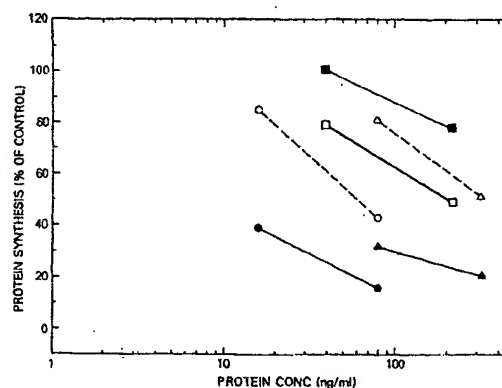


Fig. 7.

Effect of low pH pre-treatment on the cytotoxic activity of DT, UCHT1-DT and UCHT1-MspSA. DT, UCHT1-DT and UCHT1-MspSA were exposed to pH 4.0 in Na acetate buffer for 1 hr at 37°C. Control samples were mock-treated at pH 7.0. After neutralization, the cytotoxic activity of DT, UCHT1-DT and UCHT1-MspSA was assayed in a protein synthesis inhibition assay by incubating  $10^5$  Jurkat cells at 37°C (100  $\mu$ l) for 3 hr with pH-treated or mock-treated DT, UCHT1-DT or UCHT1-MspSA. After this, cells were pulsed with  $^{14}$ C-leucine.  $\circ$ — $\circ$  DT,  $\triangle$ — $\triangle$  DT pre-treated at pH 4.0;  $\bullet$ — $\bullet$  UCHT1-DT,  $\blacktriangle$ — $\blacktriangle$  UCHT1-DT pre-treated at pH 4.0;  $\square$ — $\square$  UCHT1-MspSA,  $\blacksquare$ — $\blacksquare$  UCHT1-MspSA pre-treated at pH 4.0.



STIC-ILL

NAL

From: Canella, Karen  
Sent: Thursday, February 13, 2003 7:37 PM  
To: STIC-ILL  
Subject: ill order 10/033,577

Art Unit 1642 Location 8E12(mail)

Telephone Number 308-8362

Application Number 10/033,577

1. Cancer Treatment and Research, 1988, Vol. 37, pp. 113-122
2. J of Neurosurgery, 1989 Feb, 70(2):240-248
- ★ 3. Journal of Biological Chemistry:  
1988 Jan 25, 263(3):1295-1300  
1986 Mar 5, 261(7):3030-3035
4. Science, 1987 Oct 23, 238(4826):536-539
5. Journal of the National Cancer Institute, 2002 Apr 17, 94(8):597-606

# The Role of the Diphtheria Toxin Receptor in Cytosol Translocation\*

(Received for publication, August 10, 1987)

Virginia Gray Johnson†, Debra Wilson‡, Larry Greenfield§, and Richard J. Youle‡

From the ‡Surgical Neurology Branch, National Institute of Neurological and Communicative Disorders and Stroke, National Institutes of Health, Bethesda, Maryland 20892 and the §Department of Microbial Genetics, Cetus Corporation, Emeryville, California 94608

The role of the receptor in the transport of diphtheria toxin (DT) to the cytosol was examined. A point-mutant form of DT, CRM 107 (CRM represents cross-reacting material), that has an 8,000-fold lower affinity for the DT receptor than native toxin was conjugated to transferrin and monoclonal antibodies specific for the cell-surface receptors T3 and Thy1. Conjugating the binding site-inactivated CRM 107 to new binding moieties reconstituted full toxicity, indistinguishable from native DT linked to the same ligand, indicating that the entry activity of the DT B chain can be fully separated from the receptor binding function. Like DT, the toxin conjugates exhibited a dose-dependent lag period before first-order inactivation of protein synthesis. Inactivation of the binding site of the toxin portion of the conjugate was found to have no effect on the kinetics of protein synthesis inactivation. The receptor used by the toxin determined the length of the lag period relative to the killing rate. Comparing the potency of CRM 107 conjugates with native DT, standardized for receptor occupancy, shows that new receptors can be as or more efficient than the DT receptor in transporting DT to the cytosol. The transferrin-CRM 107 conjugate, unlike native DT, was highly toxic to murine cells. All the data presented are consistent with a model that the DT receptor, other than initiating rapid internalization of the toxin to low pH compartments, is unnecessary for transport of the toxin to the cytosol and that membrane translocation activity is expressed by the DT B subunit independent of the receptor-binding site.

Diphtheria toxin (DT)<sup>1</sup> is composed of two disulfide-linked subunits, both of which are involved in the intoxication process (1). The A subunit catalyzes ADP-ribosylation of elongation factor 2 (EF-2), thereby stopping protein synthesis and killing the cell. The B subunit has two functions: binding to cell-surface receptors and translocation of the A subunit into the cytosol.

The existence of specific cell-surface receptors for DT was first demonstrated by Ittelson and Gill (2) and quantitatively studied by Middlebrook *et al.* (3). Recent evidence indicates that the DT receptor is an anion antiporter (4-8). This antiporter also appears to play a specific role in transport of the toxin to the cytosol because: 1) the presence of permeant

anions is required for toxin entry (8); 2) binding and insertion of DT inhibit the anion antiporter (6); and 3) inhibitors of anion transport such as the disulfonated stilbene derivative SITS inhibit the binding and translocation of DT (4, 7).

Four hydrophobic domains are present in the B chain and appear to play an important role in the translocation of the toxin molecule to the cytosol (9, 10). Exposure to low pH is also necessary for DT entry. Low pH causes a conformational change in DT that exposes hydrophobic domains (11) and induces the insertion of the toxin into lipid bilayers (12).

We have shown previously that the binding function can be separated from the translocation function of DT (13). Two point mutations identified in CRM 107 at positions 390 and 525 reduce toxin binding 8,000-fold and reduce toxicity 10,000-fold. However, conjugation of CRM 107 to a new binding moiety, the monoclonal antibody to the T-cell antigen receptor, UCHT1, reconstituted full toxicity to the mutant. This immunotoxin exhibited full toxicity to antigen-positive target cells, indistinguishable from that of the native toxin linked to the same antibody, without the nontarget cell toxicity. The result was therefore an immunotoxin with a 10,000-fold increase in toxicity over native CRM 107.

This report examines the role of the receptor in the DT intoxication process. Since CRM 107 retains full DT entry activity, CRM 107 conjugated to various new receptor-binding moieties allows one to define the role that the receptors play in entry to the cytosol. DT entry via the DT receptor, the Thy1 antigen, the T3 subunit of the T-cell receptor, and the transferrin receptor is examined. All of the results are consistent with a model that the DT receptor is not required for translocation to the cytosol other than to mediate rapid internalization to low pH compartments. The B chain of DT appears to contain all the membrane translocation activity, and this activity is distinct and separable from the receptor-binding site on the toxin B subunit.

## MATERIALS AND METHODS

**Reagents**—DT was purchased from List Biologicals (Campbell, CA). UCHT1, an IgG1 which recognizes the CD3 (p20) determinant, was from Unilever (Bristol, England). The rat anti-Thy1 monoclonal antibody (3A7) and the Jurkat cell line transfected with the murine Thy1.2 gene were a generous gift from Dr. Ethan Shevach (National Institute of Allergy and Infectious Diseases). Dithiothreitol, MBS, and 2-Iminothiolane were purchased from Pierce Chemical Co. Human transferrin and SITS were from Sigma. All cell culture supplies were from GIBCO.

CRM 107, developed by Laird and Groman (14), was purified by clarifying *Corynebacterium diphtheria* cultures by centrifugation. The supernatant was made 65% with ammonium sulfate, incubated at 4 °C overnight, and centrifuged. The pellet was resuspended in 50 mM Tris buffer, pH 8.0, containing 0.1 mM EDTA and dialyzed overnight at 4 °C against 50 mM Tris buffer, pH 8.0, containing 1 mM EDTA and 0.1 mM phenylmethylsulfonyl fluoride. The sample was applied to a DEAE-Sepharose Fast Flow (Pharmacia LKB Biotechnology Inc.) column equilibrated in the same buffer as the dialy-

\* The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked "advertisement" in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

<sup>1</sup> The abbreviations used are: DT, diphtheria toxin; CRM, cross-reacting material; SITS, 4-acetamido-4-isothiocyanostilbene-2,2'-disulfonic acid; MBS, *m*-maleimidobenzoyl-*N*-hydroxysuccinimide ester; EF-2, elongation factor 2; HEPES, 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid.

sate. The CRM 107 was eluted by a linear gradient of NaCl up to 300 mM in the same buffer.

**Cell Lines**—WEHI-7 and EL-4 (murine T-cell lymphoma-derived cells), Jurkat and CEM (human leukemia T-cell lines), and K562 (a human erythroleukemia-derived cell line) were all grown in RPMI 1640 medium containing 10% fetal calf serum, 2 mM glutamine, 1 mM sodium pyruvate, 0.1 mM nonessential amino acids, and 10  $\mu$ g/ml gentamycin. Vero, a cell line derived from monkey kidney, and SNB 75, a glioblastoma-derived cell line, were maintained in Dulbecco's modified Eagle's medium containing the medium supplements described above. Jurkat cells transfected with the murine Thy1.2 gene (15) were grown in Dulbecco's modified Eagle's medium with the above supplements plus  $5 \times 10^{-5}$  M 2-mercaptoethanol, 1  $\mu$ g/ml mycophenolic acid-25 mg/ml xanthine, and 15  $\mu$ g/ml hypoxanthine. All cells were grown at 37 °C in 5% CO<sub>2</sub> in a humidified atmosphere.

**Immunotoxins**—UCHT1-DT and UCHT1-CRM 107 conjugates were made with a thioether linkage as previously described (16). The rat anti-Thy1 monoclonal antibody, 3A7 (17), was conjugated with DT according to this same protocol.

Conjugation of transferrin with DT or CRM 107 was performed by a modification of the antibody coupling procedure. Before conjugation, transferrin was loaded with iron according to the method of Shindelman *et al.* (18). 2-Iminothiolane was dissolved in 0.8 M boric acid, pH 8.5, and incubated with transferrin in an 8:1 molar ratio. After 1 h at room temperature, the modified transferrin was separated from 2-iminothiolane by gel filtration on a Sephadex G-25 column equilibrated with phosphate-buffered saline. MBS, dissolved in dimethylformamide, was added in 5-fold molar excess to the toxin. The mixture was incubated for 30 min at room temperature followed by chromatographic separation on Sephadex G-25. The MBS-conjugated toxin was mixed with thiolated transferrin in a 1:1.3 molar ratio and incubated for 3 h at room temperature, and immunotoxins were purified by gel filtration on a TSK-3000 high pressure liquid chromatography column. One-minute fractions were collected, and individual fractions were tested for toxicity using a protein synthesis inhibition assay. The A chain activity of the conjugate peak was quantified using the EF-2 ADP-ribosylation assay. This immunotoxin peak was used for all further experiments.

**EF-2 ADP-ribosylation Assay**—EF-2 was purified from rat liver cells following a procedure described previously (19). ADP-ribosylation was carried out in 80- $\mu$ l reaction mixtures containing 40  $\mu$ l of 0.01 M Tris-HCl buffer with 1.0 M dithiothreitol, pH 8.0, 20  $\mu$ l of EF-2, and 10  $\mu$ l of toxin sample. The reaction was initiated by the addition of 10  $\mu$ l of [<sup>32</sup>P]nicotinamide adenine dinucleotide (1.2  $\mu$ Ci, specific activity = 277 Ci/mmol, adjusted to 18  $\mu$ M with cold nicotinamide adenine dinucleotide). Reaction mixtures were incubated at room temperature for 20 min and the reaction was stopped by the addition of 1 ml of 10% trichloroacetic acid. The precipitate was washed once with 10% trichloroacetic acid solubilized in 0.1 M NaOH, and counted.

ADP-ribosylation activities of unknown samples were compared to values obtained from DT standards consisting of known concentrations of DT (values based on Lowry protein determination (20) using bovine serum albumin as a standard). The background of the assay was determined by replacement of the toxin with Tris-HCl buffer.

**Protein Synthesis Assay**—Inhibition of protein synthesis was used to assay the cytotoxic effects of DT, CRM 107, and the toxin conjugates. Cells growing in suspension cultures such as Jurkat, K562, EL-4, and WEHI-7 were washed once with leucine-free RPMI 1640 medium, without fetal calf serum, containing 17 mM HEPES, 10  $\mu$ g/ml gentamycin, resuspended in this medium, and dispensed into 96-well plates at a density of  $10^5$  cells/100  $\mu$ l/well. Adherent cells were trypsinized, washed in their regular growth medium, and dispersed in this medium into 96-well plates at a density of  $5 \times 10^4$  cells/well. Cells were allowed to reattach and grow in the 96-well plate for 24 h. Before the protein synthesis assay was started, the cells were washed twice with the leucine-free medium described above, and the wells were refilled to a final volume of 100  $\mu$ l. Toxin conjugates, toxins alone, or control solutions were added in 11- $\mu$ l volumes, and the cells were incubated at 37 °C for a specific amount of time. Phosphate-buffered saline containing 0.1  $\mu$ Ci of [<sup>14</sup>C]leucine (20  $\mu$ l) was then added for 1 h, and the cells were harvested onto glass fiber filters using a PHD cell harvester (Cambridge Technology, Inc., Cambridge, MA), washed with water, dried, and counted. The results were expressed as a percentage of <sup>14</sup>C incorporation in the mock-treated control cultures. All cytotoxicity assays were performed two to five times. Values given represent the average of duplicate or triplicate samples with less than 10% standard error.

## RESULTS

**Reactivity of Transferrin-Toxin Conjugates on Various Cell Lines**—We examined the activity of DT and CRM 107 linked to transferrin. Transferrin receptors are found in higher numbers in proliferating cells including tumor cells; (21–23) and because of this, antibodies to the transferrin receptor have been used in animal models for cancer therapy (24). The toxicity of CRM 107, DT, transferrin-CRM 107, and transferrin-DT conjugates to the human leukemia cell line Jurkat, the human erythroleukemia cell line K562, and the human glioblastoma-derived cell line SNB 75 were compared (Table I). Although CRM 107 is 10,000-fold less toxic than DT, transferrin-CRM 107 is equally toxic as transferrin-DT, showing that the new binding domain fully reconstituted the CRM 107 toxicity. Binding of the toxin conjugates is transferrin-mediated, as shown by the fact that free transferrin inhibits cytotoxicity (Table II). These results show that separation of DT binding and translocation noted with UCHT1 (13) occur via another cell-surface receptor and on a variety of different cell types.

The receptor occupancy at the IC<sub>50</sub> concentrations for transferrin-CRM 107 on K562 cells and DT on Vero cells was calculated. Based on known receptor numbers and K<sub>d</sub> values for transferrin on K562 (25) and DT on Vero cells (3), 3500 DT molecules on Vero cells were required to reduce protein synthesis to the same extent as 130 transferrin-CRM 107 molecules on K562 cells. Transferrin-CRM 107 is therefore 30-fold more toxic to K562 cells than is DT to Vero cells based on receptor occupancy.

**Effects of Alternative Receptors on the Kinetics of Intoxication**—The kinetics of protein synthesis inhibition by toxins binding three different receptors on the same cell were ex-

TABLE I  
IC<sub>50</sub> of DT and transferrin-toxin conjugates

	Jurkat	K562	SNB 75
	M	M	M
Tfn <sup>a</sup> -CRM 107	$2 \times 10^{-12b}$	$1.6 \times 10^{-12}$	$1.7 \times 10^{-11}$
Tfn-DT	$4 \times 10^{-12}$	$9 \times 10^{-13}$	$1.3 \times 10^{-11}$
CRM 107	$5.4 \times 10^{-7}$	ND	$2.5 \times 10^{-8}$
DT	$6.1 \times 10^{-11}$	$3.4 \times 10^{-10}$	$2 \times 10^{-12}$

<sup>a</sup> Tfn, transferrin; ND, not determined.

<sup>b</sup> Concentrations shown are those that inhibit protein synthesis by 50% of control values. Jurkat cells were incubated with toxins for 16 h, followed by a 1-h pulse with [<sup>14</sup>C]Leu. K562 and SNB 75 were incubated for 24 h, followed by a 1-h pulse.

TABLE II  
Inhibition of toxicity by free UCHT1 or transferrin

Toxin	Cell line	Protein synthesis % control
UCHT1-CRM 107 ( $4 \times 10^{-10}$ M)	Jurkat	3.9
+Free UCHT1 (100 $\mu$ g/ml)	Jurkat	94.5
Tfn <sup>a</sup> -CRM 107 ( $3.6 \times 10^{-10}$ M)	K562	0.7
+Free Tfn (300 $\mu$ g/ml)	K562	100.3
Tfn-DT ( $2.3 \times 10^{-10}$ M)	K562	2.4
+Free Tfn (300 $\mu$ g/ml)	K562	110.3
Tfn-CRM 107 ( $7.5 \times 10^{-9}$ M)	Vero	40.0
+Free Tfn (500 $\mu$ g/ml)	Vero	70.0
Tfn-DT ( $6.5 \times 10^{-9}$ M)	Vero	20.0
+Free Tfn (500 $\mu$ g/ml)	Vero	30.0
DT ( $1 \times 10^{-8}$ M)	Vero	6.9
+Free Tfn (500 $\mu$ g/ml)	Vero	6.8
Tfn-DT ( $1.3 \times 10^{-8}$ M)	Vero	4.9
+Free CRM 197 (65 $\mu$ g/ml)	Vero	5.2
DT ( $1 \times 10^{-8}$ M)	Vero	15.9
+Free CRM 197 (65 $\mu$ g/ml)	Vero	84.1

<sup>a</sup> Tfn, transferrin.

amined. The inhibition of Jurkat cell protein synthesis produced by DT, UCHT1-CRM 107, and transferrin-CRM 107 was followed as a function of time, at different toxin concentrations. All three toxins exhibited a dose-dependent lag time before first-order inactivation of protein synthesis began. Concentrations of DT, UCHT1-CRM 107, and transferrin-CRM 107 that produced the same rate of protein synthesis inactivation were compared (Fig. 1A). The lag time before an identical rate of protein synthesis inhibition began varied among the three toxins. The transferrin receptor-mediated toxin had a lag time of 3 h. This was approximately twice that of the T3-mediated toxin (1.6-h lag), which in turn was approximately twice that of native DT (0.8-h lag). This shows

that the receptor plays a role in determining the length of lag time before a given rate of protein synthesis inactivation begins.

When DT and the two CRM 107 conjugates were compared at concentrations producing the same lag time, the rates of intoxication varied greatly (Fig. 1B). Measured in logs/hour, transferrin-CRM 107 killed at double the rate of UCHT1-CRM 107 and approximately three times the rate of native DT after an identical lag time. DT assayed on Vero showed the same lag time and the same rate of protein synthesis inhibition as observed with Jurkat cells, differing only in the concentration required to reach this effect (26). The transferrin conjugates exhibited the longest dose-dependent lag period; but at the end of this lag they killed the most rapidly. Our results show that the ratio of lag time to first-order inactivation rate constant varies greatly depending upon the receptor used by DT. The receptor therefore defines the kinetics of cell killing.

Raso and Basala (27) conjugated transferrin with ricin A chain and measured the kinetics of intoxication. Using CEM cells, they found that the transferrin-ricin A chain conjugate ( $1 \times 10^{-9}$  M) required 35 h to kill 50% of the cells. In contrast, we observed that the identical concentration of transferrin-CRM 107 required only 1.8 h to kill 50% of CEM cells (data not shown). This demonstrates that the B chain entry function of DT increased the killing rate 20-fold over ricin A chain. The B chain of ricin has been shown to accelerate killing rate only 5-fold (28).

Table III shows a comparison of the killing rates of the toxins and conjugates. The rate constant per bound immunotoxin ( $K \times 5 \times 10^6/B$ ) is calculated to allow an accurate comparison of the killing mediated by different receptors on a variety of different cell types. It should be noted that the  $n$  and  $K_d$  values used in these calculations were taken from the literature and assumed to be identical both for the ligand alone and for the immunotoxin.

It was previously shown that UCHT1-DT was 10 times faster than UCHT1-ricin or T101-ricin (16). Transferrin-DT and transferrin-CRM 107, assayed on the same cell line (Jurkat), have killing rates approximately three times higher than UCHT1-DT. This demonstrates that the receptor plays an important role in the killing rate of the toxin. The native DT receptor is no more efficient than the transferrin receptor at mediating DT entry; and in some cell lines such as K562, the transferrin receptor is more efficient at mediating DT toxicity than is the DT receptor in Vero cells (Table III).

The kinetics of unconjugated CRM 107 on Vero cells was slower than native DT. However, since the concentration of CRM 107 required to give comparable number of toxin molecules bound was 4 logs higher than the concentration of DT, this difference may be due to a small error in the calculation of the amount bound.

UCHT1, conjugated to DT or to CRM 107, had a comparable killing rate (Table III). Similarly, the killing rates of DT or CRM 107 conjugated with transferrin were identical (Table III). Therefore, altering the binding site of the toxin portion of the conjugates had little or no effect on the kinetics of intoxication.

**Role of the Anion Antiporter in Toxin Conjugate Translocation**—Sandvig and co-workers (4-8) have reported that a potent inhibitor of the anion antiporter, SITS, inhibits DT binding and toxicity in Vero cells. These authors propose that the  $\text{Na}^+$ -independent anion antiporter (or a molecule closely linked to it) acts as the DT receptor and plays a role in its translocation to the cytosol. Furthermore, they suggest that an ion flux through the antiporter is associated with DT

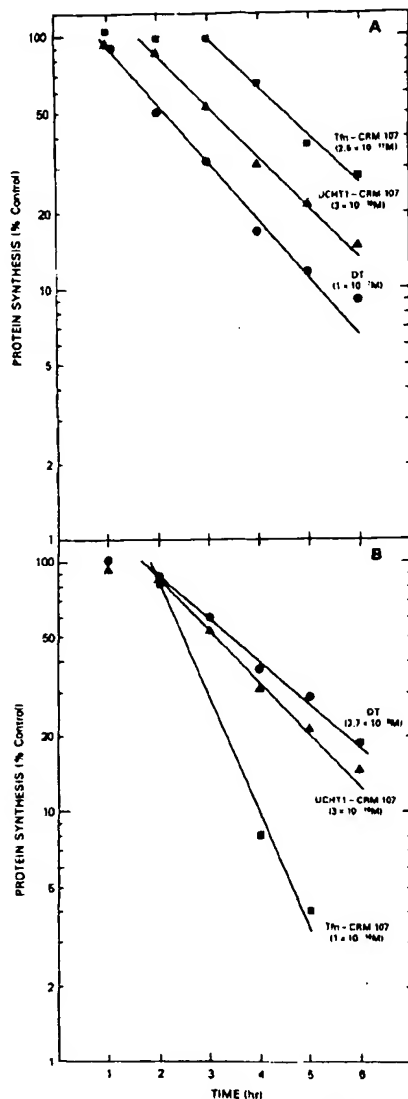


FIG. 1. Effects of alternative receptors on the kinetics of intoxication. Jurkat cells were incubated with DT, transferrin (Tfn)-CRM 107, or UCHT1-CRM 107 for the indicated times, followed by a 30-min pulse with [ $^{14}$ C]leucine. Cells were harvested, and  $^{14}$ C incorporation was calculated as a percentage of mock-treated control cultures. A, concentrations of DT, UCHT1-CRM 107, and transferrin-CRM 107 producing the same rate of protein synthesis inactivation were compared. DT ( $1 \times 10^{-7}$  M), UCHT1-CRM 107 ( $3 \times 10^{-10}$  M), Tfn-CRM 107 ( $2.5 \times 10^{-11}$  M). B, concentrations of DT, UCHT1-CRM 107, and transferrin-CRM 107 producing the same lag time were compared. DT ( $3.7 \times 10^{-8}$  M), UCHT1-CRM 107 ( $3 \times 10^{-10}$  M), Tfn-CRM 107 ( $1 \times 10^{-10}$  M).

TABLE III  
 Comparison of immunotoxin kinetics

Immunotoxin	Cell line	Sites/cell	$K_d$	Ref.	Conc	Lag time <sup>a</sup>	$t_{10}$ <sup>b</sup>	First-order inactivation rate constant <sup>c</sup>	Immunotoxins bound/cell <sup>d</sup>	Rate constant/bound immunotoxin <sup>e</sup>
		$n$	$M^{-1}$		$M$	$h$	$h$	$K h^{-1}$	$B$	$(K \times 5 \times 10^5)/B$
UCHT1-DT	Jurkat	$1 \times 10^5$	$1 \times 10^{10}$	53, 16	$5 \times 10^{-10}$	1.6	7.0	0.43	$8.3 \times 10^4$	2.6
UCHT1-CRM 107	Jurkat	$1 \times 10^5$	$1 \times 10^{10}$	53, 16	$3 \times 10^{-10}$	1.6	6.7	0.45	$7.5 \times 10^4$	3.0
Tfn-DT	K562	$1.5 \times 10^5$	$5.3 \times 10^8$	25	$1 \times 10^{-9}$	1.2	2.1	2.5	$5.2 \times 10^4$	24.2
Tfn-CRM 107	K562	$1.5 \times 10^5$	$5.3 \times 10^8$	25	$1 \times 10^{-9}$	0.7	1.5	3.0	$5.2 \times 10^4$	28.9
Tfn-DT	CEM	$6 \times 10^4$	$1 \times 10^{12}$	31	$1 \times 10^{-9}$	1.8	4.8	0.8	$6 \times 10^4$	6.3
Tfn-CRM 107	CEM	$6 \times 10^4$	$1 \times 10^{12}$	31	$1 \times 10^{-9}$	1.1	3.3	1.1	$6 \times 10^4$	9.0
Tfn-DT	Jurkat	$6 \times 10^4$	$1 \times 10^{12}$	"	$1 \times 10^{-10}$	3.0	5.8	0.84	$5.9 \times 10^4$	7.1 <sup>f</sup>
Tfn-CRM 107	Jurkat	$6 \times 10^4$	$1 \times 10^{12}$	"	$1 \times 10^{-10}$	1.8	4.0	1.05	$5.9 \times 10^4$	8.9 <sup>f</sup>
DT	Vero	$1.6 \times 10^5$	$1 \times 10^9$	3	$1 \times 10^{-9}$	0.15	2.2	1.12	$8 \times 10^4$	7.0
CRM 107	Vero	$1.6 \times 10^5$	$1.25 \times 10^8$	13	$1 \times 10^{-8}$	0.8	4.2	0.67	$8.9 \times 10^4$	3.8

<sup>a</sup> Lag time is the time required before first-order inactivation of protein synthesis begins.

<sup>b</sup>  $t_{10}$  is the time required for 90% inhibition of protein synthesis.

<sup>c</sup> The first-order rate constant for protein synthesis inhibition is:  $\ln 10/(t_{10} - \text{lag time})$ .

<sup>d</sup> Immunotoxins bound per cell were calculated by the following formula:  $B = (nK_d(F))/(1 + K_d(F))$ .

<sup>e</sup> The first-order rate constant is for  $5 \times 10^5$  immunotoxins bound per cell.

<sup>f</sup> Tfn, transferrin.

<sup>g</sup> Values for  $n$  and  $K_d$  on Jurkat cells were assumed to be similar to those found on the other T-cell line, CEM (31). This may, however, represent an underestimation of the rate constant per bound immunotoxin. If the  $K_d$  on Jurkat is similar to that found on mixed peripheral mononuclear cells ( $4 \times 10^7$ ) (54), the rate constant per bound immunotoxin greatly increases.

translocation. Since we found that alternative cell-surface receptors are as effective or more effective in transporting the toxin to the cytosol than the native DT receptor, we examined the role of the anion antiporter in the translocation of the conjugates to the cytosol. Specifically, if toxins bind via other cell-surface receptors (T3, transferrin receptor, or Thy1) rather than via the anion antiporter, is it still necessary for the toxin to translocate through the anion antiporter to inactive protein synthesis?

CRM 107 conjugates enable us, for the first time, to investigate accurately the role of DT receptor binding in translocation. Binding of the conjugate is mediated by the new binding moiety and not by a combination of the new sites and the DT receptor (Table II). Therefore, the effect of SITS on CRM 107 conjugate toxicity will indicate the role of the anion antiporter in translocation, independent from its role in binding.

Various cell lines were preincubated with 1 mM SITS and then exposed to native DT, CRM 107, or the toxin conjugates. Sandvig and co-workers (4, 7) used Vero cells derived from monkey kidney to show that SITS blocked both binding and translocation of DT. Human transferrin, unlike many monoclonal antibodies, is able to cross species boundaries and to bind to monkey transferrin receptors (29). This therefore enables us to evaluate the effect of SITS on the transferrin-CRM 107 conjugate in an identical cell line as used for previously published reports. Fig. 2A shows that 1 mM SITS blocked DT toxicity 33-fold in a 5-h assay. In contrast, transferrin-CRM 107 exhibited only a 5-fold block of toxicity when cells were preincubated with SITS. The effect of SITS on the transferrin-toxin conjugates was also analyzed on Jurkat cells. Transferrin-CRM 107 was inhibited 10-fold by preincubating the cells with SITS, whereas native DT was inhibited 100-fold (Fig. 2B).

In a 16-h assay, again using Jurkat cells, SITS blocked the toxicity of UCHT1-CRM 107 only 2-fold and native DT 33-fold (Fig. 2C).

Jurkat cells, transfected with the mouse gene for Thy1.2 (15), were used to evaluate the effect of an immunotoxin generated by conjugating an anti-Thy1 antibody, 3A7, with DT (Fig. 2D). The 3A7-DT conjugate displayed a similar  $IC_{50}$  to that of native DT. However, preincubation with SITS produced very different effects on native DT than the immunotoxin. SITS blocked native DT 10-fold, but had no effect on the 3A7-DT immunotoxin.

We therefore found that, whereas DT was blocked 10–100-fold by SITS, three alternative receptors for DT (transferrin, T3, and Thy1) exhibited a 0–10-fold block on toxicity when preincubated with SITS. Thus, the potent toxicity of DT or CRM 107 linked to new binding moieties does not appear to depend on their translocation through the SITS-inhibitable anion antiporter.

**Role of the Receptor in Murine Resistance to DT**—The mouse T-cell lymphoma line EL-4 was assayed for its sensitivity to DT alone and the transferrin-CRM 107 conjugate. Characteristic of mouse cells, EL-4 cells are 1,000-fold less sensitive to DT toxicity than are K562 cells. Protein synthesis is inhibited 50% by  $2.8 \times 10^{-7}$  M DT (Fig. 3). This resistance is fully overcome by conjugating the toxin moiety to transferrin. The  $IC_{50}$  of transferrin-CRM 107 is  $8 \times 10^{-12}$  M, representing a 35,000-fold increase in toxicity over DT alone. Similar results were obtained using another murine cell line, WEHI-7; the  $IC_{50}$  of transferrin-CRM 107 was  $4.8 \times 10^{-12}$  M, 50,000-fold lower than that of native DT (data not shown).

The killing rate of transferrin-CRM 107 was compared for human and murine cell lines. Murine EL-4 cells have  $3.9 \times 10^4$  transferrin receptors/cell (30), which is similar to the number of receptors found on human CEM cells ( $6 \times 10^4$ ) (31). If the affinity of human transferrin for EL-4 cells is as high as it is for CEM cells, then the rate constant per bound transferrin-CRM 107 conjugate is 6.3 for EL-4 cells (data not shown) compared to 9.0 for CEM cells (Table III). If the affinity of human transferrin for murine transferrin receptors

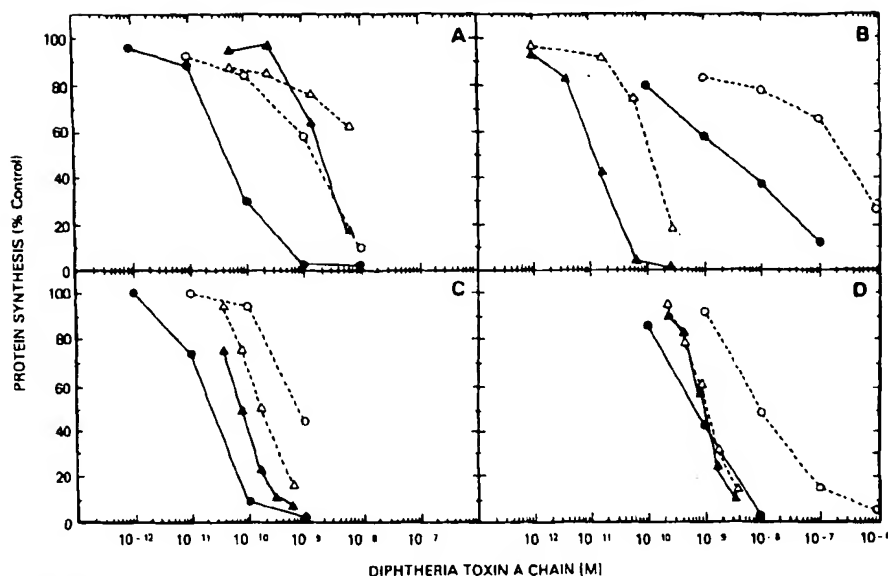


FIG. 2. Effect of SITS on native DT and toxin conjugates. Cells were preincubated for 30 min with 1 mM SITS. Control cells were preincubated with phosphate-buffered saline containing 0.2% bovine serum albumin. Pre-exposure of cells to SITS is indicated by open symbols and broken lines. Toxins were then added, and the cells were incubated for the specified amount of time, followed by a 1-h pulse with [ $^{14}$ C]leucine. A, Vero cells exposed to DT (●) or transferrin-CRM 107 (▲) for 24 h; B, Jurkat cells exposed to DT (●) or transferrin-CRM 107 (▲) for 5 h; C, Jurkat cells exposed to DT (●) or UCHT1-CRM 107 (▲) for 16 h; D, Jurkat cells transfected with Thy1 gene exposed to DT (●) or 3A7-DT (▲) for 5 h.

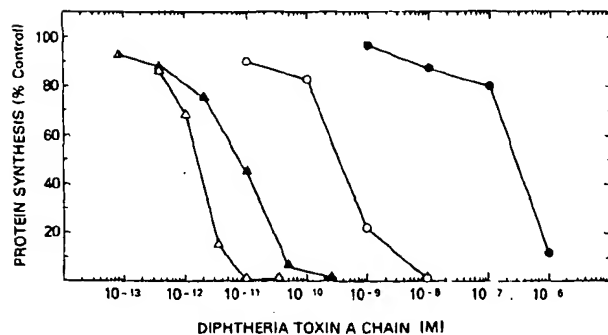


FIG. 3. Response of EL-4 murine cells and K562 human cells to the transferrin-CRM 107 conjugate and native DT in a 24-h assay. ○ and ●, DT; △ and ▲, transferrin-CRM 107; △ and ○, K562 cells; ▲ and ●, EL4 cells.

is lower than it is for humans, the rate constant for murine cells increases. In spite of this potential for underestimation, the kinetics of killing of transferrin-CRM 107 on murine cells is as efficient as that for DT on Vero cells. The fact that the natural resistance of murine cells to DT could be overcome by supplying a new binding moiety suggests that the defect in the mouse is at the level of the DT receptor and is not a defect in translocation activity.

#### DISCUSSION

CRM 107 has a phenylalanine at positions 390 and 525 of the DT sequence, resulting in a 10,000-fold reduction in toxicity and an 8,000-fold decrease in binding activity relative to native DT (13). In this report, we use the binding mutant CRM 107 to investigate the role of the receptor in the intoxication process. By linking several new binding moieties to CRM 107, we were able to investigate: 1) the role of the toxin-binding site in the entry of toxin conjugates; 2) the role of new DT receptors in the kinetics of entry to the cytosol; 3)

the role of the anion antiporter, the proposed DT receptor, in the translocation of toxin conjugates; and 4) the nature of the defect in mouse that results in resistance of mouse cells to DT.

The kinetics of transferrin and UCHT1 linked to DT and CRM 107 were compared with native DT. Binding moieties linked to DT or CRM 107 had comparable killing rates, indicating that altering the binding site of the toxin portion of the conjugate had no effect on the kinetics of intoxication. However, the binding moiety (DT, transferrin, or UCHT1) was found to determine the ratio of the lag period to the killing rate.

The transferrin-toxin conjugates are characterized by an extremely long lag period, followed by a rapid killing rate. The dose-dependent lag time before the inhibition of protein synthesis begins is thought to represent a processing event (26, 32, 33). Transferrin has extremely rapid internalization and recycling, with the entire transferrin cycle taking only 4–5 min in K562 cells (34, 35). Up to 30 successive cycles of transferrin-CRM 107 recycling may be possible before protein synthesis inhibition occurs. Alternatively, the conjugate may be internalized by another transferrin route (36–38) that requires a longer time to initiate intoxication.

The anion antiporter has been proposed to be the site for both DT binding and translocation (4–8). However, we found that alternative binding moieties were as effective as the native DT receptor in the translocation of the toxin to the cytosol. We therefore investigated the role of the anion antiporter in the binding and translocation of the conjugates. We observed a 10–100-fold block of native DT by SITS. In contrast, we found that three alternative receptors for DT (transferrin, T3, and Thy1) were not blocked or were blocked to a lesser degree by SITS (0–10-fold). We conclude that the potent toxicity of DT or CRM 107, when linked to new binding moieties, does not depend on their translocation through the SITS-inhibitable anion antiporter. SITS has been

reported to produce an increase in intracellular pH in other cell systems (39, 40). Therefore, the small blocking of DT conjugates observed with SITS may result from alterations in intracellular vesicle pH or other pleiotropic effects of SITS on cell physiology.

We used transferrin-CRM 107 to investigate the nature of the resistance to DT found in mouse cells. There are conflicting reports in the literature attributing the nature of the resistance to a defect at the receptor binding level (41, 42), at the receptor affinity level (43), or at the translocation level (44). The mouse resistance to DT was fully overcome by conjugating CRM 107 with transferrin, thereby allowing toxin binding to be mediated by the transferrin. Similar results were observed by transferrin-DT conjugates (45). These results demonstrate that the DT-binding sites, shown to exist on murine cells (44, 46, 47), are not functional receptors in that they do not lead to intoxication in cells fully capable of translocating DT to the cytosol via alternative receptors.

Our results are consistent with a simple model of DT entry into cells. First, diphtheria toxin binds cell surface receptors via the C-terminal region of the B subunit (48) involving amino acids 508 and either 525 or 390 (13). A second essential step is endocytosis to low pH compartments. This was first indicated by the fact that NH<sub>4</sub>Cl and other reagents that increase the pH of intracellular compartments block DT toxicity (49) and more recently by the demonstration that exposure of cells to low pH circumvents this block (50, 51). The extremely efficient killing rate of transferrin-CRM 107 may be reflective of the close parallels between DT and transferrin endocytosis and processing. A low pH environment is required for the diferric form of transferrin to release its iron and for apotransferrin to be recycled back to the cell surface. By linking CRM 107 with transferrin, we have not only provided a new binding moiety but have also selected a receptor-ligand complex that is rapidly internalized to an environment that facilitates toxin translocation. Translocation out of the endosome to the cytosol appears to be carried out by the DT B chain because DT conjugates that lack the B subunit, such as UCHT1-DTA chain, are 10,000-fold less toxic than those that contain the B chain (52). The translocation step is enhanced 100-fold by the N-terminal half of the DT B chain and a further 100-fold in conjugates that also contain the C-terminal half of the B chain (52). This step does not appear to require the DT B chain-binding site because CRM 107 conjugates are equal in translocation activity to conjugates with native B chain.

Antibody and transferrin-CRM 107 conjugates are unique and powerful reagents to examine DT toxicity because each of four steps is carried out by a separate domain. Cell-surface binding is mediated by the antibody or transferrin portion of the toxin conjugate, whereas endocytosis to low pH compartments is mediated by the cell-surface receptor. Translocation across the intracellular compartment membrane to the cytosol is performed by the B subunit of CRM 107, and inactivation of protein synthesis is mediated by the A subunit. The toxin conjugates allow the pathway to be dissected and the role of the individual functions to be separated and studied independently and quantitatively.

**Acknowledgments**—We gratefully acknowledge Dr. Ethan Shevach and Dr. Kurt Gunter for providing the rat anti-Thy1 antibody and the transfected Jurkat cell line. We also thank Lynn Schofield for skillfully typing the manuscript.

#### REFERENCES

- Collier, R. J. (1975) *Bacteriol. Rev.* **39**, 54-85
- Ittelson, T. R., and Gill, D. M. (1973) *Nature* **242**, 330-332
- Middlebrook, J. L., Dorland, R. B., and Leppla, S. H. (1978) *J. Biol. Chem.* **253**, 7325-7330
- Sandvig, K., and Olsnes, S. (1984) *J. Cell. Physiol.* **119**, 7-14
- Olsnes, S., and Sandvig, K. (1986) *J. Biol. Chem.* **261**, 1542-1552
- Olsnes, S., and Sandvig, K. (1986) *J. Biol. Chem.* **261**, 1553-1561
- Olsnes, S., Carvajal, E., and Sandvig, K. (1986) *J. Biol. Chem.* **261**, 1562-1569
- Sandvig, K., and Olsnes, S. (1986) *J. Biol. Chem.* **261**, 1570-1575
- Eisenberg, D., Schwartz, E., Komaromy, M., and Wall, R. (1984) *J. Mol. Biol.* **179**, 125-142
- Boquet, P., Silverman, M. S., Pappenheimer, A. M., and Vernon, W. B. (1976) *Proc. Natl. Acad. Sci. U. S. A.* **73**, 4449-4453
- Sandvig, K., and Olsnes, S. (1981) *J. Biol. Chem.* **256**, 9068-9076
- Donovan, J. J., Simon, M. I., Draper, R. K., and Montal, M. (1981) *Proc. Natl. Acad. Sci. U. S. A.* **78**, 172-176
- Greenfield, L., Johnson, V. G., and Youle, R. J. (1987) *Science* **238**, 536-539
- Laird, W., and Groman, N. (1976) *J. Virol.* **19**, 220-227
- Ittelson, T. R., Krocze, R. A., Shevach, E. M., and Germain, R. N. (1986) *J. Exp. Med.* **163**, 285-300
- Youle, R. J., Uckun, F. M., Valleria, D. A., and Colombatti, M. (1986) *J. Immunol.* **136**, 93-98
- Logdberg, L., Gunter, K. C., and Shevach, E. M. (1985) *J. Immunol. Methods* **79**, 239-249
- Shindelman, A. E., Ortmeier, A. E., and Sussman, H. H. (1981) *Int. J. Cancer* **27**, 329-334
- Youle, R. J., and Neville, D. M. (1979) *J. Biol. Chem.* **254**, 11069-11096
- Lowry, O. H., Rosebrough, N. J., Farr, A. L., and Randall, R. J. (1951) *J. Biol. Chem.* **193**, 265-275
- Zovickian, J., Johnson, V. G., and Youle, R. J. (1987) *J. Neurosurg.* **66**, 850-861
- Trowbridge, I. S., and Omary, M. B. (1981) *Proc. Natl. Acad. Sci. U. S. A.* **78**, 3039-3043
- Gatter, K. C., Brown, C., Trowbridge, I. S., Woolston, R. E., and Mason, D. V. (1983) *J. Clin. Pathol.* **36**, 539-545
- Trowbridge, I. S., and Domingo, D. L. (1981) *Nature* **294**, 171-173
- van Renswoude, J., Bridges, K. R., Harford, J. B., and Klausner, R. D. (1982) *Proc. Natl. Acad. Sci. U. S. A.* **79**, 6186-6190
- Hudson, T. H., and Neville, D. M. (1985) *J. Biol. Chem.* **260**, 2675-2680
- Raso, V., and Basala, M. (1984) *J. Biol. Chem.* **259**, 1143-1149
- Youle, R. J., and Neville, D. M. (1982) *J. Biol. Chem.* **257**, 1598-1601
- Aisen, P., and Listowsky, I. (1980) *Annu. Rev. Biochem.* **49**, 357-393
- Lesley, J. F., and Schulte, R. J. (1984) *Mol. Cell. Biol.* **4**, 1675-1681
- Larrick, J. W., and Cresswell, P. (1979) *Biochim. Biophys. Acta* **583**, 483-490
- Bacha, P., Murphy, J. R., and Moynihan, M. (1980) *J. Biol. Chem.* **255**, 10658-10662
- Esworthy, R. S., and Neville, D. M. (1984) *J. Biol. Chem.* **259**, 11496-11504
- Klausner, R. D., Ashwell, G., van Renswoude, J., Harford, J. B., and Bridges, K. R. (1983) *Proc. Natl. Acad. Sci. U. S. A.* **80**, 2263-2266
- Klausner, R. D., van Renswoude, J., Ashwell, G., Kempf, C., Schechter, A. N., Dean, A., and Bridges, K. R. (1983) *J. Biol. Chem.* **258**, 4715-4724
- Snider, M. D., and Rogers, O. C. (1985) *J. Cell Biol.* **100**, 826-834
- Stein, B. S., and Sussman, H. H. (1986) *J. Biol. Chem.* **261**, 10319-10331
- Snider, M. D., and Rogers, O. C. (1986) *J. Cell Biol.* **103**, 265-275
- Ullrich, K. J., Capasso, G., Rumrich, G., Papavassiliou, F., and Kloss, S. (1977) *Pfluegers Arch. Eur. J. Physiol.* **368**, 245-252
- Cabantchik, Z. I., Knauf, P. A., and Rothstein, A. (1978) *Biochim. Biophys. Acta* **515**, 239-302
- Proia, R. L., Hart, D. A., Holmes, R. K., Holmes, K. V., and Eidels, L. (1979) *Proc. Natl. Acad. Sci. U. S. A.* **76**, 685-689
- Boquet, P., and Pappenheimer, A. M. (1976) *J. Biol. Chem.* **251**, 5770-5778
- Heagy, W. E., and Neville, D. M. (1981) *J. Biol. Chem.* **256**, 12788-12792
- Keen, J. H., Maxfield, F. R., Hardegree, M. C., and Habig, W. H. (1982) *Proc. Natl. Acad. Sci. U. S. A.* **79**, 2912-2916
- O'Keefe, D. O., and Draper, R. K. (1985) *J. Biol. Chem.* **260**, 932-937
- Didebury, J. R., Moehring, J. M., and Moehring, T. J. (1983) *Mol. Cell Biol.* **3**, 1283-1294
- Chang, T., and Neville, D. M. (1978) *J. Biol. Chem.* **253**, 6866-6871
- Proia, R. L., Wray, S. K., Hart, D. A., and Eidels, L. (1980) *J. Biol. Chem.* **255**, 12025-12033
- Kim, K., and Groman, N. B. (1965) *J. Bacteriol.* **90**, 1552-1556
- Sandvig, K., and Olsnes, S. (1980) *J. Cell Biol.* **87**, 828-832
- Draper, R. K., and Simon, M. I. (1980) *J. Cell Biol.* **87**, 849-854
- Colombatti, M., Greenfield, L., and Youle, R. J. (1986) *J. Biol. Chem.* **261**, 3030-3035
- Burns, G. F., Boyd, A. W., and Beverley, P. C. L. (1982) *J. Immunol.* **129**, 1451-1457
- Phillips, J. L. (1976) *Biochem. Biophys. Res. Commun.* **72**, 634-639



STIC-ILL

7.10.2/14

**From:** Canella, Karen  
**Sent:** Thursday, February 13, 2003 7:37 PM  
**To:** STIC-ILL  
**Subject:** ill order 10/033,577

432355

Art Unit 1642 Location 8E12(mail)

Telephone Number 308-8362

Application Number 10/033,577

1. Cancer Treatment and Research, 1988, Vol. 37, pp. 113-122
2. J of Neurosurgery, 1989 Feb, 70(2):240-248
3. Journal of Biological Chemistry:  
1988 Jan 25, 263(3):1295-1300  
1986 Mar 5, 261(7):3030-3035
4. Science, 1987 Oct 23, 238(4826):536-539
5. Journal of the National Cancer Institute, 2002 Apr 17, 94(8):597-606

9659658

## Improved tumor-specific immunotoxins in the treatment of CNS and leptomeningeal neoplasia

VIRGINIA G. JOHNSON, PH.D., CHARLES WROBEL, M.D., DEBRA WILSON, B.S., JOHN ZOVICKIAN, M.D., LARRY GREENFIELD, M.D., EDWARD H. OLDFIELD, M.D., AND RICHARD YOULE, PH.D.

*Surgical Neurology Branch, National Institute of Neurological Communicative Diseases and Stroke, National Institutes of Health, Bethesda, Maryland; and Department of Microbial Genetics, Cetus Corporation, Emeryville, California*

✓ A novel antibody-toxin conjugate has been developed for use in cancer therapy. This report demonstrates that this new reagent selectively kills glioblastoma- and medulloblastoma-derived cell lines, medulloblastoma cells in primary culture, and cell lines derived from tumors commonly metastatic to the cerebrospinal fluid (CSF). Efficient killing of human tumor cells occurred at concentrations between  $3.9 \times 10^{-13}$  M and  $1.1 \times 10^{-10}$  M, whereas guinea pigs and rhesus monkeys tolerated intrathecal levels of  $2 \times 10^{-9}$  M. Cerebrospinal fluid from normal humans and from brain-tumor patients does not inhibit the *in vitro* efficacy of this reagent. The wide therapeutic window, extreme potency, and general applicability of this antibody-toxin conjugate against CSF-borne primary or metastatic tumors warrants clinical trials.

**KEY WORDS** • diphtheria toxin • immunotoxin • transferrin receptor • leptomeningeal neoplasia • brain neoplasm • cerebrospinal fluid

**C**URRENT treatments utilizing surgery, radiation therapy, and systemic chemotherapy have done little to alter the natural outcome of many malignant tumors of the central nervous system (CNS). Immunotoxins (protein toxins chemically linked to tumor-specific monoclonal antibodies or other ligands) offer potential advantage over more conventional forms of treatment by having higher tumor specificity.

Immunotoxins may be particularly efficacious for the treatment of neoplastic disease confined to compartments such as the peritoneum or intrathecal space. Direct delivery into the compartment avoids complications associated with systemic delivery and produces relatively high local concentrations, thereby achieving greater therapeutic effects. The cerebrospinal fluid (CSF) compartment may be amenable to this type of compartmentalized immunotoxin treatment. Zovickian and Youle<sup>34</sup> examined the therapeutic effect of a monoclonal antibody-ricin immunotoxin, delivered directly into the CSF compartment in a guinea pig model of leptomeningeal neoplasia. Immunotoxin therapy extended survival, corresponding to a 2 to 5 log kill of tumor cells, without detectable toxicity.

Protein toxins used in the construction of immunotoxins have an A and B subunit. The A subunit cata-

lyzes the inactivation of protein synthesis, resulting ultimately in cell death. The B subunit has two functions: it is responsible for toxin-binding to the cell surface, and it facilitates the translocation of the A chain across the membrane and into the cytosol, where the A chain acts to kill cells (Fig. 1). Previously, two general types of immunotoxins have been used. Immunotoxins made with the complete toxin molecule (A and B chains) have the complication of nonspecific killing mediated by the toxin B chain binding site. This can be avoided by eliminating the B chain and linking only the A chain to the antibody. However, A chain immunotoxins, although more specific, are much less toxic to tumor cells.<sup>4</sup> In addition to a binding function, the B chain has an entry function, which facilitates the translocation of the A chain across the membrane and into the cytosol (Fig. 1). Since A chain immunotoxins lack the entry function of the B chain, they are less toxic than their intact toxin counterparts containing the complete B chain. An ideal toxin for immunotoxin construction would contain the A chain enzymatic function and the B chain translocation function, but not the B chain binding function.

We have recently described a new, genetically engineered toxin that offers these major advantages.<sup>9,12</sup> This

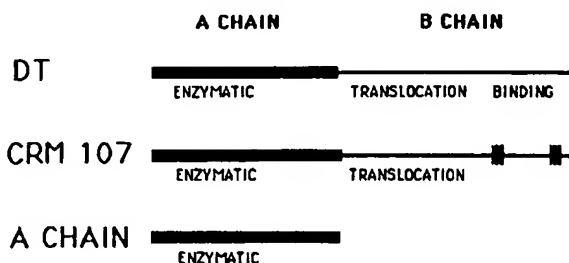


FIG. 1. Diagrammatic representation of diphtheria toxin (DT), CRM 107, and DT A chain structure and function. Native DT is composed of an A and B subunit, with the A chain containing the enzymatic function and the B chain containing the binding and translocation functions. Two point mutations in CRM 107 inactivate the toxin binding function but leave the translocation and enzymatic functions intact. Toxin A chain contains only the enzymatic function.

toxin, called CRM 107, is identical to diphtheria toxin (DT) except for two amino acid changes in the B chain. These two point mutations inactivate toxin binding 8000-fold but leave the translocation function intact. Immunotoxins made with CRM 107 therefore have the advantage of the lower nontarget-cell toxicity of A chain conjugates but retain a 10,000-fold increase in tumor-specific toxicity similar to that found for immunotoxins made with complete toxin molecules.<sup>9</sup>

The tremendous potential of CRM 107 for use in immunotoxins together with the advantage of CSF compartmentalized delivery may result in effective treatment for tumors in the CNS. The transferrin receptor (TfR) has been chosen as the target for these immunotoxins. Expression of TfR increases during cell replication in order to fulfill the dividing cell's need for iron. Since tumor cells are dividing while normal cells in the CNS parenchyma are quiescent, the TfR should provide an effective target for immunotoxin therapy. It has previously been shown that TfR's are expressed on human medulloblastoma and glioblastoma cells.<sup>33</sup> This report demonstrates that transferrin (Tf) or an anti-TfR monoclonal antibody conjugated to CRM 107 has potential for effective treatment of medulloblastoma and breast leptomeningeal carcinomatosis.

#### Materials and Methods

##### Established Cell Lines

The SNB75 cell line was established by primary explants from a tumor removed from a 72-year-old woman with a bifrontal glioblastoma multiforme. SNB101 was also established by primary explants from a glioblastoma multiforme removed from the right parietal lobe of a 49-year-old man. SNB40 was derived from primary explants of a medulloblastoma surgically removed from the posterior fossa of an 8-year-old boy. At the time of this study, SNB75 was in its 48th passage, SNB101 in its 14th passage, and SNB40 in its 20th passage. U251 is a cell line of human glioma origin adapted to culture by J. Pontén and B. Westermarck at the University of Uppsala, Uppsala, Sweden.<sup>20,29</sup> At the time of this study,

U251 was in its 37th passage. TE671\* is derived from a human medulloblastoma.<sup>18</sup> All of these cell lines were maintained in Dulbecco's minimum essential medium (DMEM) containing 10% fetal calf serum (FCS), 2 mM glutamine, 1 mM sodium pyruvate, 0.1 mM nonessential amino acids, and 10 µg/ml gentamicin.

Three cell lines from human breast cancers were examined. MCF-7, a breast adenocarcinoma-derived cell line,<sup>24</sup> was maintained in DMEM containing the supplements described above plus 10 µg/ml insulin. ZR-75-1, a cell line derived from a malignant ascitic effusion of a patient with infiltrating ductal carcinoma,<sup>5</sup> was maintained in RPMI 1640 medium containing 10% FCS, 10 mM HEPES, 20 µg/ml gentamicin, and 2 mM glutamine. T47D, a cell line derived from an infiltrating ductal carcinoma,<sup>13</sup> was grown in RPMI 1640 medium as described above with the addition of 10 µg/ml insulin. All breast-derived cell lines were a gift from Dr. J. Greiner and Dr. J. Schlom.

##### Primary Medulloblastoma Cultures

Since established cell lines long adapted to culture conditions could conceivably possess Tf requirements and receptor levels different from those of the original cells, we established two primary medulloblastoma cultures and determined sensitivity to Tf-CRM 107. The SNB104 cell line was established from biopsy of a midline cerebellar vermian mass in an 18-year-old man, and SNB105 was derived from a midline posterior fossa tumor in a 5-year-old girl. In both cases, fresh tumor was transported to the laboratory in Eagle's minimum essential medium (MEM) with 10% FCS. Tumors were mechanically and then enzymatically dissociated according to previously described techniques<sup>11</sup> and cultured at a density of 1 to 5 × 10<sup>5</sup> cells/ml in 75 sq cm tissue culture flasks. The culture medium consisted of Eagle's MEM with Earle's salts supplemented with 10% FCS, 1% nonessential amino acids, 1 mM sodium pyruvate, 2 mM L-glutamine, penicillin (100,000 µg/liter), streptomycin (100 mg/liter), and Fungizone (amphotericin B, 0.25 mg/liter). Cultures attained 50% confluence within 10 to 14 days, at which time trypsinization, transfer to 96 well plates, and cytotoxicity testing were performed as described below.

##### Synthesis and Purification of CRM 107 Immunotoxins

CRM 107, isolated by Laird and Groman,<sup>14</sup> was purified as described previously.<sup>12</sup> Human Tf was loaded with iron according to the method of Shindelman, *et al.*<sup>23</sup> Conjugation of Tf with CRM 107 was accomplished by first generating free sulfhydryl groups on Tf with 2-iminothiolane, which was dissolved in 0.8 boric acid (pH 8.5) and incubated with Tf in an 8:1

\* TE671 cell line obtained from American Type Culture Collection, Rockville, Maryland.

† Human transferrin obtained from Sigma Chemical Co., St. Louis, Missouri.

molar ratio. The modified Tf was kept at room temperature for 1 hour, then separated from free 2-iminothiolane by gel filtration on a Sephadex G-25 gel filtration column equilibrated with phosphate-buffered saline (PBS). The bifunctional cross-linking agent, *N*-maleimido-benzoyl-*N*-hydroxysuccinimide (MBS) ester, was used to link Tf to CRM 107; MBS was dissolved in dimethylformamide and added in five-fold molar excess to the toxin. The mixture was incubated for 30 minutes at room temperature, then separated by chromatography on a Sephadex G-25 gel filtration column. The MBS-conjugated toxin was mixed with thiolated Tf in 1:1.3 molar ratio and incubated for 3 hours at room temperature; the toxin-conjugate was then purified by gel filtration on a TSK-3000 high-performance liquid chromatography column. One-minute fractions were collected and individual fractions were tested for toxicity using protein synthesis inhibition. Peak fractions of the toxin conjugate were pooled and then A chain activity in this pooled peak was quantified using the elongation factor 2 (EF-2) adenosine diphosphate (ADP)-ribosylation assay. This pooled peak was used for all further experiments.

The anti-TfR monoclonal antibody, 454A12, was prepared as previously reported.<sup>8</sup> It was linked to CRM 107 as described above. This antibody was also conjugated with recombinant ricin toxin A chain (RTA) as described by Bjorn, et al.<sup>2</sup>

#### ADP-Ribosylation Assay

The concentration of the CRM 107 immunotoxins was determined using an ADP-ribosylation assay. This assay measures the ability of the CRM 107 immunotoxins to catalyze the transfer of ADP-ribose from nicotinamide-adenine dinucleotide (NAD) to EF-2.

Elongation factor 2 was purified from rat liver cells following a procedure described previously.<sup>3</sup> ADP-ribosylation was carried out in 80- $\mu$ l reaction mixtures containing 40  $\mu$ l 0.01 M Tris-HCl buffer, with 1.0 M dithiothreitol (pH 8.0), 20  $\mu$ l EF-2, and 10  $\mu$ l toxin sample. The reaction was initiated by the addition of 10  $\mu$ l of phosphate-32-labeled NAD (1.2  $\mu$ Ci, specific activity 277 Ci/mmol, adjusted to 180  $\mu$ M with cold NAD). Reaction mixtures were incubated at room temperature for 20 minutes and the reaction was stopped by the addition of 1 ml 10% trichloroacetic acid (TCA). The precipitate was washed once with 10% TCA, solubilized in 0.1 M NaOH, and counted.

The ADP-ribosylation activities of unknown samples were compared to values obtained from DT standards consisting of known concentrations of DT (values based on Lowry's protein determination<sup>16</sup> using bovine serum albumin (BSA) as a standard). The background of the assay was determined by replacing the toxin with Tris-HCl buffer.

#### Protein Synthesis Assay

Inhibition of protein synthesis was used to assay the cytotoxic effects of the toxin-conjugate. Cells were tryp-

sinized, washed in their regular growth medium, and dispersed in this medium into 96-well microtiter plates at a density of  $5 \times 10^4$  cells per well. The cells were allowed to reattach and grow in the 96-well plate for 24 hours before the assay was performed. They were then washed twice with leucine-free RPMI medium containing 10 mM HEPES and 10  $\mu$ g/ml gentamicin but without FCS, and the wells were refilled to a final volume of 100  $\mu$ l. Toxin-conjugates, toxins alone, or control solutions were added to the wells in 11- $\mu$ l aliquots and the cells were incubated at 37°C for 24 hours. At the end of this time, 20  $\mu$ l of PBS containing 0.1  $\mu$ Ci of carbon-14 (<sup>14</sup>C)-labeled leucine was added, incubation continued for 1 hour, and the cells were harvested onto glass fiber filters using a PHD cell harvester.<sup>‡</sup> The filters were washed with water, dried, and counted. All cytotoxicity assays were performed two to five times in triplicate. The results were expressed as a percentage of <sup>14</sup>C-leucine incorporation in mock-treated control cultures.

#### In Vivo Toxicity

**Guinea Pig.** To investigate the efficacy of intrathecal immunotoxin therapy for tumors of the CSF compartment, we determined the toxicity of DT or CRM 107 alone, or Tf-CRM 107 conjugate injected directly into the cisterna magna of Strain 2 guinea pigs. The animals were anesthetized with intraperitoneal ketamine (30 to 50 mg/kg). Toxin or conjugate, suspended in 100  $\mu$ l PBS/0.2% BSA, was slowly injected percutaneously via a No. 25 needle into the cisterna magna. Injections were performed only after CSF was clearly visualized in the hub of the needle. Final concentrations achieved in the CSF were calculated based on a total guinea pig CSF volume of 500  $\mu$ l. The length of survival was recorded as the number of days following injection until death. Body weight was also measured at designated intervals and compared to that of control animals injected with PBS alone.

**Rhesus Monkey.** A single dose of Tf-CRM 107 in 0.5 cc normal saline containing 0.2% human serum albumin was administered to two anesthetized adult rhesus monkeys by an occiput-C-1 puncture and gentle barbotage. This technique is known to effect rapid mixing of injectant with cisternal and ventricular CSF.<sup>7</sup> Venous blood for chemistry and hematology panels and lumbar CSF for routine studies were obtained every other day for 1 week and weekly thereafter. The animals were weighed at the same time that blood and CSF were collected.

#### Results

##### Toxicity of CRM 107 Immunotoxins on Human Tumor-Derived Cell Lines

Diphtheria toxin or DT-like toxins such as CRM 107 inhibit protein synthesis. This process can be measured

<sup>‡</sup> Cell harvester manufactured by Cambridge Technology, Inc., Cambridge, Massachusetts.

## Improved tumor-specific immunotoxins

for an accurate *in vitro* assessment of the lethal effect of the toxin on cells.

Figure 2A shows representative dose-response curves of the Tf-CRM 107 conjugate on four medulloblastoma-derived cell lines. A steep dose-response inhibition of protein synthesis by Tf-CRM 107 was observed with all the cell lines. Protein synthesis in SNB40 cells was blocked by Tf-CRM 107 at a 50% inhibiting concentration ( $IC_{50}$ ) of  $3.9 \times 10^{-13}$  M (Table 1). TE671 cells and the primary medulloblastoma-derived cell line, SNB104, were also extremely sensitive to Tf-CRM 107 ( $IC_{50}$ :  $2.5 \times 10^{-12}$  M and  $2.1 \times 10^{-12}$  M, respectively). The  $IC_{50}$  of Tf-CRM 107 for the other primary medulloblastoma derived cell line, SNB105, was  $1.1 \times 10^{-10}$  M. The receptor specificity of the Tf-CRM 107 conjugate was demonstrated by the fact that excess free Tf blocked cell killing by the toxin conjugate (data not shown).

Figure 2B shows the results of similar experiments performed on the glioblastoma-derived cell lines. As observed with cells derived from medulloblastoma, Tf-CRM 107 exhibited potent killing with all the glioblastoma cells. The  $IC_{50}$  for SNB75 was  $6.5 \times 10^{-11}$  M, and the  $IC_{50}$  for SNB101 and U251 was  $5.4 \times 10^{-12}$  M and  $2.6 \times 10^{-12}$  M, respectively. Using a monoclonal antibody against the human TfR, 454A12, linked to CRM 107 in trials with two continuous medulloblastoma cell lines and three glioblastoma cell lines showed  $IC_{50}$  levels between  $10^{-11}$  and  $10^{-10}$  M, whereas, when this same conjugate was assayed on Vero cells, which lack the receptor, the  $IC_{50}$  level was  $1 \times 10^{-8}$  M.<sup>9</sup> The therapeutic window between tumor and nontarget cells is therefore 100- to 1000-fold. CRM 107 and RTA were assayed as free toxins, as shown in Table 1. Both free toxins displayed similar toxicities and were 1000 to 10,000-fold less toxic than the 454A12-CRM 107 immunotoxin. The wide therapeutic windows between target and nontarget cells and between free toxin and immunotoxin demonstrate that the 454A12-CRM 107 is highly potent and specifically toxic to brain-tumor cells.

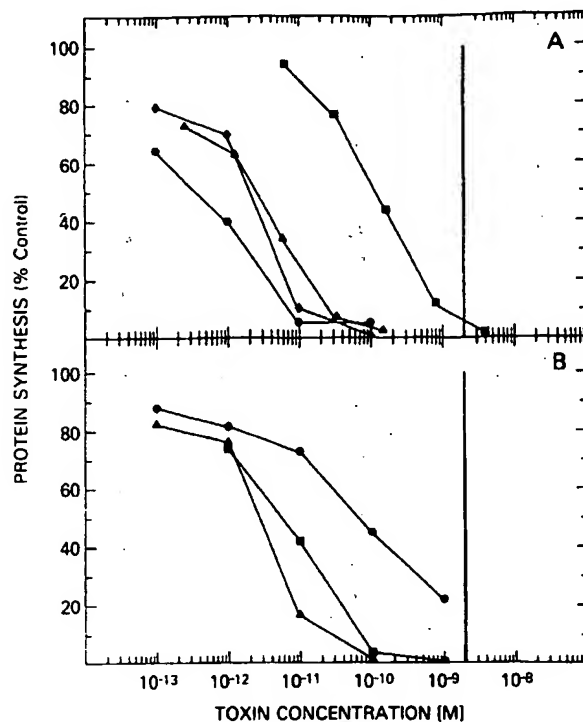


FIG. 2. Cytotoxic effects of transferrin (Tf)-CRM 107 on cells derived from medulloblastoma and glioblastoma compared to maximum tolerable levels achieved in the cerebrospinal fluid of rhesus monkeys. Primary cultures or established cell lines were incubated for 24 hours with varying concentrations of Tf-CRM 107 followed by 1 hour of incubation with  $0.1 \mu\text{Ci}$   $^{14}\text{C}$ -leucine. Cells from triplicate cultures were then harvested and protein synthesis in treated cells was expressed as a percentage of  $^{14}\text{C}$ -leucine incorporated into untreated control cells. Concentrations of  $2 \times 10^{-9}$  M of Tf-CRM 107, injected intrathecally into the cisterna magna of rhesus monkeys, could be reached safely (vertical line). A: Dose-response curves for medulloblastoma-derived cells. Squares: SNB105; diamonds: SNB104; triangles: TE671; circles: SNB40. B: Dose-response curves for glioblastoma-derived cells. Circles: SNB75; triangles: U251; squares: SNB101.

TABLE 1  
 $IC_{50}$  values for CRM 107 and ricin A chain (RTA) immunotoxins\*

Tumor Cells	Tf-CRM 107	454A12-CRM 107	454A12-RTA	CRM 107	RTA
medulloblastoma					
SNB40 (established)	$3.9 \times 10^{-13}$	$3.5 \times 10^{-11}$	$3.3 \times 10^{-11}$	$3.0 \times 10^{-7}$	$1.1 \times 10^{-7}$
TE671 (established)	$2.1 \times 10^{-12}$	$2.6 \times 10^{-11}$	$2.6 \times 10^{-11}$	$2.8 \times 10^{-7}$	$2.0 \times 10^{-7}$
SNB104 (primary)	$2.5 \times 10^{-12}$	not done	not done	not done	not done
SNB105 (primary)	$1.1 \times 10^{-10}$	not done	not done	not done	not done
glioblastoma					
SNB75	$6.5 \times 10^{-11}$	$1.2 \times 10^{-10}$	$1.5 \times 10^{-10}$	$1.6 \times 10^{-7}$	$5.5 \times 10^{-7}$
SNB101	$5.4 \times 10^{-12}$	$3.8 \times 10^{-11}$	$3.0 \times 10^{-10}$	$4.0 \times 10^{-8}$	$5.0 \times 10^{-7}$
U251	$2.6 \times 10^{-12}$	$1.6 \times 10^{-11}$	$3.6 \times 10^{-11}$	$2.1 \times 10^{-7}$	$8.2 \times 10^{-8}$
breast carcinoma					
MCF-7	$2.3 \times 10^{-11}$	$1.2 \times 10^{-10}$	$3.2 \times 10^{-10}$	$6.0 \times 10^{-7}$	$1.1 \times 10^{-7}$
T47D	$1.1 \times 10^{-12}$	$1.0 \times 10^{-10}$	$7.0 \times 10^{-11}$	$1.3 \times 10^{-6}$	$2.2 \times 10^{-7}$
ZR-75-1	$1.6 \times 10^{-11}$	$2.1 \times 10^{-10}$	$3.8 \times 10^{-10}$	$2.8 \times 10^{-7}$	$1.8 \times 10^{-7}$

\* Immunotoxins and toxins were incubated with the cells for 24 hours followed by incubation of 1 hour with  $^{14}\text{C}$ -leucine. Cells were then harvested and concentrations of immunotoxin that inhibit protein synthesis by 50% of control values ( $IC_{50}$ ) were determined. Tf = transferrin.



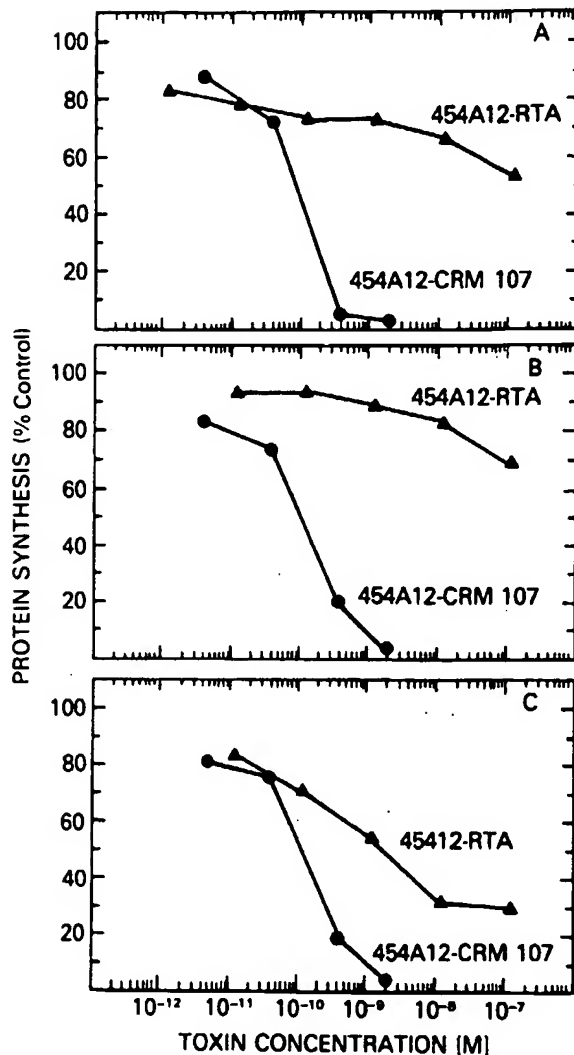


FIG. 3. Comparison of the toxicity of 454A12 monoclonal antibody linked to CRM 107 or to ricin A chain. Cell lines derived from medulloblastoma (TE671 cell line, A), glioblastoma (U251 cell line, B), or breast carcinoma (T47D cell line, C) were incubated with 454A12 immunotoxins for 3 hours followed by a 1-hour pulse with  $^{14}$ C-leucine. Cells were harvested and protein synthesis in treated cells was expressed as a percentage of  $^{14}$ C-leucine incorporated into untreated control cells.

#### Toxicity of Anti-TfR Antibody Conjugated to Ricin A Chain and to CRM 107

The toxicity of the anti-TfR antibody, 454A12, conjugated to recombinant ricin A chain (RTA) or to CRM 107 was compared with Tf-CRM 107 on cell lines derived from medulloblastoma and glioblastoma (Table 1). Both the 454A12-RTA and the 454A12-CRM 107 immunotoxin displayed very similar toxicities when evaluated after 24 hours using the *in vitro* assay of protein synthesis described above. The  $IC_{50}$  observed for both of these immunotoxins on established medulloblastoma and glioblastoma cell lines ranged from ap-

proximately  $10^{-10}$  to  $10^{-11}$  M. Only one cell line, SNB101, displayed a significant difference in sensitivity to the two immunotoxins. For this cell line, 454A12-CRM 107 was approximately 10-fold more toxic than 454A12-RTA.

Three established breast-derived cell lines, MCF-7, T47D, and ZR-75-1, were assayed, since breast tumors most commonly lead to meningeal carcinomatosis (Table 1). These cells displayed approximately the same sensitivity to the immunotoxins as was found for the medulloblastoma or glioblastoma cells. In a 24-hour assay, the  $IC_{50}$  was similar for both 454A12-RTA and 454A12-CRM 107, ranging between  $1 \times 10^{-10}$  M and  $7 \times 10^{-11}$  M. Tf-CRM 107 was approximately 10- to 100-fold more toxic than the 454A12 immunotoxins on these breast cell lines.

Figure 3 demonstrates very different dose-response curves for 454A12-CRM 107 and 454A12-RTA after 3 hours of incubation with the immunotoxins on representative cell lines derived from medulloblastoma, glioblastoma, and breast carcinoma. The 454A12-CRM 107 displayed a steep dose-response curve for all three cell lines, with an  $IC_{50}$  between  $1 \times 10^{-10}$  M and  $6 \times 10^{-10}$  M. The RTA immunotoxin is less toxic, inhibiting protein synthesis by less than 30% in TE671 and U251 cells at concentrations greater than  $10^{-7}$  M. 454A12-RTA is approximately 15-fold less toxic to T47D breast carcinoma cells than the CRM 107 immunotoxin.

Similar dose-response curves for 454A12-CRM 107 and 454A12-RTA were obtained in a 24-hour assay (Table 1), yet very different curves result from a 3-hour assay with the two toxin conjugates (Fig. 3). This indicates that large differences exist in the rate of cell killing by the two immunotoxins. Kinetic differences in the rate of killing by immunotoxins can be masked in an assay where inhibition of protein synthesis is measured over a long incubation period. The assay cannot detect cell killing beyond 90% of the input cells (10% of control protein synthesis). Immunotoxins with rapid killing may reach this level quickly and continue to kill additional logs of cells that are not detected in the assay. After long incubation times, the dose-response curves of immunotoxins with efficient killing rates may appear identical to those with less efficient rates of kill, since the assay does not reflect the additional log kill.

#### Maximum Tolerable Dose In Vivo

**Guinea Pigs.** To investigate the feasibility of intrathecal immunotoxin therapy for tumors in the CSF, the toxicity of DT, CRM 107, and Tf-CRM 107 was determined. Varying concentrations of the toxins were injected percutaneously into the cisterna magna of guinea pigs. The maximum safe dose (maximum dose where no significant weight loss was observed) of DT was between  $3.2 \times 10^{-11}$  M and  $3.2 \times 10^{-12}$  M (Table 2). Up to 100-fold higher doses of CRM 107 were tolerated without detectable toxicity. Therefore, the toxicity of CRM 107 *in vivo* is 1/100 that of DT, whereas the nonspecific toxicity of CRM 107 *in vitro*



## Improved tumor-specific immunotoxins

TABLE 2

Maximum tolerated dose in guinea pig cerebrospinal fluid\*

Toxin	Concentration
diphtheria toxin	$3.2 \times 10^{-11}$ M to $3.2 \times 10^{-12}$ M
CRM 107	$3.2 \times 10^{-10}$ M
Tf-CRM 107	$2 \times 10^{-9}$ M

\* Toxin alone or transferrin (Tf)-CRM 107 conjugate was injected percutaneously into the cisterna magna of Strain 2 guinea pigs. The maximum dose consistent with survival is shown. No significant weight loss was observed in animals treated at these doses when compared with control animals.

is 1/10,000 that of DT.<sup>9</sup> Furthermore, conjugation of CRM 107 to Tf reduced the toxicity approximately 10-fold more ( $2 \times 10^{-9}$  M). Inactivation of toxicity due to conjugation was previously observed with other immunotoxins.<sup>32</sup>

**Rhesus Monkeys.** Tf-CRM 107 was injected intrathecally into the cisterna magna of two adult rhesus monkeys. Assuming a volume of distribution of 6 cc,<sup>17</sup> doses were administered to produce a CSF concentration of  $3.3 \times 10^{-10}$  M and  $2 \times 10^{-9}$  M. Neither dose of Tf-CRM 107 caused apparent neurological illness, and both animals were alive 2 months after treatment. Weight loss was limited to less than 10% of baseline. At both dose levels, prominent fevers ( $> 39.5^\circ\text{C}$ ) occurred on Days 1 and 2 following treatment. Serum chemical levels, liver enzyme concentrations, renal function, and hematological parameters did not change. A CSF inflammatory response with pleocytosis, elevated protein levels, and normal glucose content was apparent for 48 hours but had largely resolved by 14 days after treatment.

The concentration of  $2 \times 10^{-9}$  M, which was reached safely *in vivo*, was 20 to 5000 times greater than the  $\text{IC}_{50}$  of Tf-CRM 107 to all the medulloblastoma, glioblastoma, and breast cells assayed in culture.

### Inhibiting Effects of Circulating Anti-DT Antibodies

A critical factor in the efficacy of any CRM 107 immunotoxin in man is the level of inactivating anti-DT immunoglobulin produced by intentional immunization with diphtheria toxoid. Since CRM 107 differs from DT in only two amino acids, it is expected that the majority of circulating antibodies would be cross-reactive with CRM 107. We therefore investigated the effect on DT toxicity of circulating levels of antibody in the serum and CSF.

As shown in Fig. 4A, most human sera contain significant titers of inactivating antibody. Further titration of the sera with higher levels of DT revealed approximately a 10,000-fold block by sera (data not shown). Serum samples from two donors, reportedly not intentionally immunized against DT, exhibited dose-response curves that closely paralleled the control DT curve; these samples serve as controls showing that human sera have no other effects on DT toxicity.

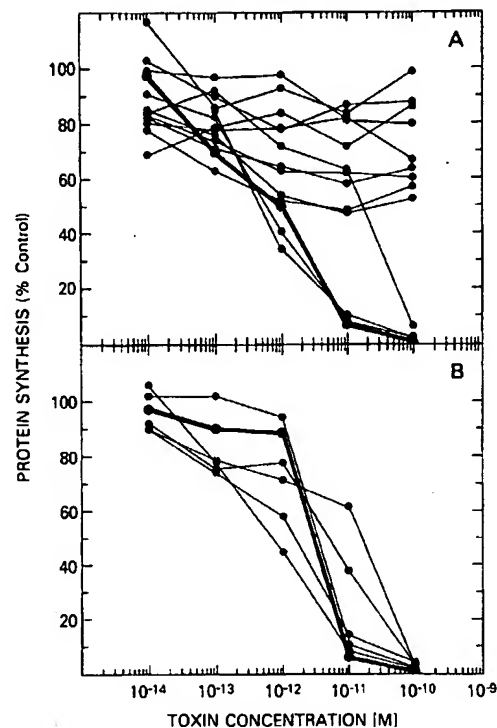


FIG. 4. Inhibition of diphtheria toxin (DT) cytotoxicity by human serum or cerebrospinal fluid (CSF). Vero cells, derived from green-monkey kidney, have high levels of DT receptors ( $1.6 \times 10^5$  sites/cell)<sup>19</sup> and as a result are extremely sensitive to DT toxicity. Vero cells were therefore used to determine the presence of anti-DT antibodies in the serum and CSF of normal volunteers. Dilutions of DT were preincubated at room temperature with an equal volume of undiluted serum or CSF for 30 minutes, then  $22 \mu\text{l}$  of the preincubated DT mixture was added to the Vero cells and incubated for 14 hours. Cytotoxicity assays were performed and results expressed as described in Fig. 1 and in the Materials and Methods section. Results with a DT control sample (preincubated with phosphate-buffered saline rather than serum or CSF) are plotted as a bold line. The effect of preincubation of DT with serum (A) or CSF (B) from normal volunteers is shown by the thin lines.

Low levels or total absence of inactivating antibody was found in the CSF (Fig. 4B) of normal volunteers. The CSF from a glioblastoma patient, a patient with breast cancer-related leptomeningeal carcinomatosis, and a patient with lymphomatous leptomeningitis was also tested (data not shown) and showed no inhibition of DT toxicity. The fact that CSF has only 0.2% to 0.4% of the immunoglobulin G levels found in serum<sup>22</sup> is consistent with our results which also substantiate the belief that the CSF compartment is an immunologically privileged site.

### Inhibition of Tf-CRM 107 and 454A12-CRM 107 by Free Tf

It is reported that CSF has  $14 \mu\text{g/ml}$  of circulating Tf.<sup>6</sup> Therefore, the effect of this concentration of free

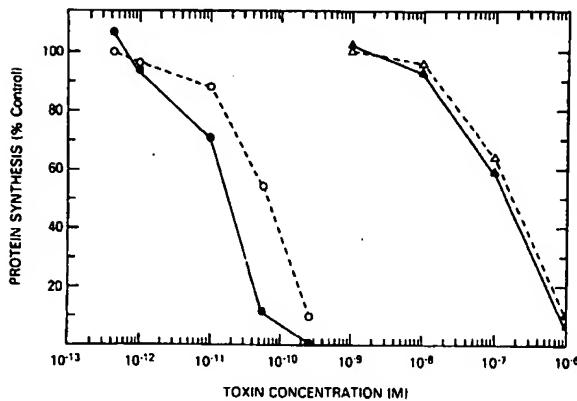


FIG. 5. Inhibition of cytotoxicity by free transferrin (Tf). The human erythroblastoma-derived cell line, K562, has been shown to express high levels of Tf receptor ( $1.5 \times 10^5$  sites/cell)<sup>20</sup> and as a result is extremely sensitive to the Tf-CRM 107 conjugate. The effect of Tf at 14 µg/ml, reported to be the level found in human cerebrospinal fluid, on the cytotoxicity of diphtheria toxin (DT) and Tf-CRM 107 was therefore evaluated using K562 cells. Cells in leucine-free media without fetal calf serum were incubated with diferric Tf, producing a final concentration of 14 µg/ml. Phosphate-buffered saline was added to the control wells. Dilutions of Tf-CRM 107 or native DT were added to the cell solution and the mixture was incubated for 5 hours at 37°C. Cytotoxicity assays were performed and results expressed as described in Fig. 1 and the Materials and Methods section. Closed circles: Tf-CRM 107; open circles: Tf-CRM 107 + 14 µg/ml Tf; closed triangles: DT; open triangles: DT + 14 µg/ml Tf.

Tf on the toxicity produced by Tf-CRM 107 was tested. The human erythroblastoma-derived cell line, K562, has been shown to express high levels of TfR ( $1.5 \times 10^5$  sites/cell),<sup>25</sup> and as a result is extremely sensitive to the Tf-CRM 107 conjugate. Therefore, using K562 cells, free Tf (14 µg/ml) was found to inhibit the Tf-CRM 107 conjugate fourfold and to have no effect on native DT (Fig. 5). Therefore, circulating levels of Tf in the CSF are sufficient to cause only a very minor block of toxicity.

Binding of 454A12-RTA and 454A12-CRM 107 was mediated by the antibody portion of the conjugate as shown by the fact that free antibody could block toxicity (data not shown). Binding of 454A12 to the TfR is not inhibited by free Tf; Tf found in the CSF at 14-µg/ml concentrations had no effect on the toxicity of either 454A12 immunotoxin.

### Discussion

In this report, the potential of a new type of toxin, CRM 107, has been demonstrated for use in the construction of highly potent immunotoxins directed specifically at tumors of the CNS. Immunotoxins may be most effective for the regional treatment of disease confined to an anatomic compartment where transvascular delivery is not a problem and where relatively high local concentrations, and therefore greater therapeutic effect, may be achieved. It was previously shown

that an anti-idiotypic monoclonal antibody-ricin immunotoxin, delivered intrathecally, significantly extended survival in a guinea pig model of leptomeningeal neoplasia. The increased survival time, which corresponded to a median 2- to 3-log kill of tumor cells, occurred without detectable toxicity related to the immunotoxin.<sup>34</sup>

CRM 107 represents a significant advance in the design of toxins for use in immunotoxin therapy. This is a genetically modified form of DT, differing from native DT at amino acid positions 390 and 525.<sup>9</sup> The toxin molecule consists of an A and B subunit. The A subunit enzymatically inactivates protein synthesis by transferring ADP-ribose to EF-2, thereby stopping the addition of amino acids to the growing polypeptide chain and thus killing the cell. The B subunit has two functions, facilitating both the binding of the toxin to the cell surface and the entry or translocation of the A subunit across the cell membrane into the cytosol where it functions. The advantage of CRM 107 is that the two amino acid changes in the toxin B chain inactivate toxin binding 8000-fold yet have no effect on the translocation function.<sup>9</sup> Therefore, by linking CRM 107 to a specific binding moiety such as a tumor-specific monoclonal antibody, it is possible to target the full toxicity of the native toxin and still avoid the problems of nonspecific toxicity caused by toxin binding.

The advantages of CRM 107-based immunotoxins become apparent when compared with immunotoxins made with DT A chains alone. Colombatti, et al.,<sup>4</sup> compared the toxicity of native DT conjugated with a monoclonal antibody specific for the T lymphocyte antigen receptor with that of the DT A chain conjugated to the same antibody. It was found that A chain immunotoxins were 10,000-fold less toxic than those made with native DT. This reduction in toxicity reflects the loss of the B chain translocation function. CRM 107 retains the translocation function, and therefore, when linked to a new binding site, maintains the full potency of killing found in the native toxin but with the high cell-type specificity of A chain conjugates.<sup>9,12</sup>

Cell lines derived from medulloblastoma, glioblastoma, and breast carcinoma were chosen to assess the *in vitro* efficacy of CRM 107-based immunotoxins for treatment of tumors of the CSF compartment. These three types of tumors were selected because they represent examples of CNS tumors which are often difficult to treat with conventional forms of therapy and, in the case of breast carcinoma and medulloblastoma, frequently cause morbidity and mortality from leptomeningeal involvement. These *in vitro* results indicate that immunotoxin therapy offers certain advantages over existing treatments for these tumors.

Medulloblastoma comprises 20% of all brain tumors in children.<sup>3</sup> Because of this tumor's high incidence of recurrence and propensity for dissemination through the CSF, these children require postoperative radiation therapy which usually impairs their intellectual and physical development.<sup>3,21</sup> A more targeted treatment

such as  
ful for  
treatment  
of free  
ing the  
the tumor

Glioblastoma  
brain tumor  
currently a  
temic ch  
of less  
failures  
immunoto  
tumor te  
brain ba  
and enh  
glioblast

A vari  
volving  
The inc  
cancer i  
the man  
ary inve  
parench  
These p  
notoxin  
etration  
be mini

This  
made w  
lines de  
breast c  
454A12  
toxicity  
protein  
cell line  
10<sup>-11</sup> M  
notoxin  
munoto  
the *in v*  
In these  
more to  
gates di  
ative of  
by CRM  
with R  
rived fr

It is  
rate wa  
slower  
strated  
found  
killing  
lympho  
cacy of  
RTA in  
CRM  
over R  
munize

cin im-  
tly ex-  
ningeal  
1 corre-  
or cells,  
the im-

in the  
y. This  
g from  
5.<sup>9</sup> The  
The A  
esis by  
ing the  
peptide  
as two  
oxin to  
f the A  
l where  
the two  
activate  
e trans-  
107 to  
c mon-  
toxicity  
ems of

toxins  
toxins  
et al.,<sup>4</sup>  
with a  
hocyte  
jugated  
in im-  
those  
reflects  
CRM  
efore,  
he full  
it with  
es.<sup>9,12</sup>  
ioblas-  
ess the  
ins for  
These  
y rep-  
ifficult  
in the  
a, fre-  
omen-  
te that  
s over

umors  
nce of  
rough  
liation  
l and  
tment

such as immunotoxin therapy would be especially useful for this type of tumor. Intrathecal immunotoxin treatment of medulloblastoma has the added advantage of free access to the tumor cells within the CSF, avoiding the potential problem of limited penetration into the tumor mass.

Glioblastoma, the most common primary malignant brain tumor, is rapidly fatal. The best treatment currently available (surgery, radiation therapy, and systemic chemotherapy) results in median survival times of less than 1 year.<sup>27,28</sup> Inasmuch as most treatment failures occur because of local recurrence of glioma,<sup>10</sup> immunotoxins administered directly into the CSF or tumor to avoid delivery problems caused by the blood-brain barrier may provide additional tumor response and enhance log kill required for effective treatment of glioblastoma.

A variety of carcinomas, most commonly those involving the breast, metastasize to the leptomeninges. The incidence of meningeal carcinomatosis in breast cancer is believed to be rising due to improvements in the management of systemic disease.<sup>30</sup> Diffuse secondary involvement of the leptomeninges without focal parenchymal involvement is occasionally reported.<sup>26,30</sup> These patients would be particularly suited for immunotoxin therapy since the problems involved with penetration of a solid tumor mass by immunotoxins would be minimal.

This study compared the efficacy of immunotoxins made with CRM 107 and RTA on representative cell lines derived from medulloblastoma, glioblastoma, and breast carcinoma. A monoclonal antibody to the TIR, 454A12, was linked to each toxin and the *in vitro* toxicity was examined. Using a 24-hour inhibition of protein synthesis assay, both immunotoxins killed these cell lines at concentrations ranging between  $10^{-10}$  and  $10^{-11}$  M. However, it was found that CRM 107 immunotoxins kill at a much faster rate than the RTA immunotoxins. A shorter incubation time (3 hours) for the *in vitro* assay demonstrates these kinetic differences. In these assays, 454A12-CRM 107 is 10- to 1000-fold more toxic than 454A12-RTA. The CRM 107 conjugates displayed steep dose-response curves, again indicative of rapid killing. This increase in the rate of killing by CRM 107 immunotoxins compared to those made with RTA probably reflects the potentiating effect derived from the B chain entry function.

It is logical that an immunotoxin that kills at a faster rate will ultimately produce greater log kill than a slower-acting immunotoxin. Laurent, *et al.*,<sup>15</sup> demonstrated this principle using a clonogenic assay. They found that immunotoxins with the fastest kinetics of killing also exhibited the greatest log kill of human lymphocytes. Our results predict that the *in vivo* efficacy of CRM 107 immunotoxins may surpass that of RTA immunotoxins.

CRM 107 immunotoxins offer another advantage over RTA immunotoxins. Most individuals are immunized against DT, as evidenced here by high titers

of circulating neutralizing antibody. Therefore, any "leakage" of the CRM 107 immunotoxin from the CSF into the systemic vasculature would be quickly neutralized by circulating anti-DT immunoglobulin.

Unlike many monoclonal antibodies, Tf cross-reacts among species.<sup>1</sup> Therefore, by linking Tf with CRM 107 we were able to evaluate the toxicity of the conjugate administered intrathecally in both guinea pigs and rhesus monkeys. Problems encountered in the periphery which would limit the efficacy of Tf-CRM 107 (that is, high levels of Tf and circulating anti-DT antibodies) do not appear to occur in the CSF. In rhesus monkeys, the highest dose of Tf-CRM 107 tested ( $2 \times 10^{-9}$  M) was without toxicity. Continuing toxicity trials to test higher concentrations will determine the maximum tolerated dose. In guinea pigs, the maximum tolerated dose in the CSF was  $2 \times 10^{-9}$  M. The concentration of Tf-CRM 107 required to kill 50% of the cells derived from medulloblastoma, glioblastoma, or breast carcinoma *in vitro* ranged from  $1 \times 10^{-10}$  M to  $4 \times 10^{-13}$  M. We were therefore able to achieve a concentration of the conjugate in the CSF that was from 20- to 5000-fold higher than the level effective *in vitro* without detectable animal toxicity.

CRM 107 conjugates represent a significant advance in immunotoxin efficacy. They combine a high degree of tumor specificity, the ultimate degree of potency (1 molecule/cell is sufficient to kill), with extremely rapid killing to produce a therapeutic window of up to 5000-fold. These factors, together with the advantages offered by compartmentalized treatment, demonstrate that CRM 107 immunotoxins have considerable potential for the treatment of leptomeningeal neoplasia.

#### Acknowledgments

We thank Lynn Schofield for typing the manuscript. We also thank R. Ferris and the Cetus Corporation process development group for supplying the 454A12, RTA, and 454A12-RTA immunotoxin.

#### References

1. Aisen P, Listowsky I: Iron transport and storage proteins. *Annu Rev Biochem* 49:357-393, 1980
2. Bjorn MJ, Ring D, Frankel A: Evaluation of monoclonal antibodies for the development of breast cancer immunotoxins. *Cancer Res* 45:1214-1221, 1985
3. Caputy AJ, McCullough DC, Manz HJ, et al: A review of the factors influencing the prognosis of medulloblastoma. The importance of cell differentiation. *J Neurosurg* 66:80-87, 1987
4. Colombatti M, Greenfield L, Youle RJ: Cloned fragment of diphtheria toxin linked to T cell-specific antibody identifies regions of B chain active in cell entry. *J Biol Chem* 261:3030-3035, 1986
5. Engel LW, Young NA, Tralka TS, et al: Establishment and characterization of three new continuous cell lines derived from human breast carcinomas. *Cancer Res* 38:3352-3364, 1978
6. Felgenhauer K: Protein size and cerebrospinal fluid composition. *Klin Wochenschr* 52:1158-1164, 1974

7. Flor WJ, Stevenson JS, Ghaed N, et al: Cisternograms in the primate *Macaca mulatta*. *Neurology* 24:266-270, 1974
8. Frankel AE, Ring DB, Tringale F, et al: Tissue distribution of breast cancer-associated antigens defined by monoclonal antibodies. *J Biol Response Mod* 4:273-286, 1985
9. Greenfield L, Johnson VG, Youle RJ: Mutations in diphtheria toxin separate binding from entry and amplify immunotoxin selectivity. *Science* 238:536-539, 1987
10. Hochberg FH, Pruitt A: Assumptions in the radiotherapy of glioblastoma. *Neurology* 30:907-911, 1980
11. Jacobsen PF, Jenkyn DJ, Papadimitriou JM: Establishment of a human medulloblastoma cell line and its heterotransplantation into nude mice. *J Neuropathol Exp Neurol* 44:472-485, 1985
12. Johnson VG, Wilson D, Greenfield L, et al: The role of the diphtheria toxin receptor in cytosol translocation. *J Biol Chem* 263:1295-1300, 1988
13. Keydar I, Chen L, Karby S, et al: Establishment and characterization of a cell line of human breast carcinoma origin. *Eur J Cancer* 15:659-670, 1979
14. Laird W, Groman N: Isolation and characterization of *tox* mutants of corynebacteriophage beta. *J Virol* 19:220-227, 1976
15. Laurent G, Kuhlein E, Casellas P, et al: Determination of sensitivity of fresh leukemia cells to immunotoxins. *Cancer Res* 46:2289-2294, 1986
16. Lowry OH, Rosebrough NJ, Farr AL, et al: Protein measurement with the Folin phenol reagent. *J Biol Chem* 193:265-275, 1951
17. Lux WE, Fenstermacher JD: Cerebrospinal fluid formation in the ventricles and spinal subarachnoid space of the rhesus monkey. *J Neurosurg* 42:674-678, 1975
18. McAllister RM, Isaacs H, Rongey R, et al: Establishment of a human medulloblastoma cell line. *Int J Cancer* 20:206-212, 1977
19. Middlebrook JL, Dorland RB, Leppla SH: Association of diphtheria toxin with Vero cells. Demonstration of a receptor. *J Biol Chem* 253:7325-7330, 1978
20. Pontén J, Macintyre EH: Long term culture of normal and neoplastic human glia. *Acta Pathol Microbiol Immunol Scand* 74:465-486, 1968
21. Probert JC, Parker BR, Kaplan HS: Growth retardation in children after megavoltage irradiation of the spine. *Cancer* 32:634-639, 1973
22. Rapoport SI: *Blood-Brain Barrier In Physiology and Medicine*. New York: Raven Press, 1976, p 98
23. Shindelman JE, Ortmeyer AE, Sussman HH: Demonstration of the transferrin receptor in human breast cancer tissue. Potential marker for identifying dividing cells. *Int J Cancer* 27:329-334, 1981
24. Soule HD, Vazquez J, Long A, et al: A human cell line from a pleural effusion derived from a breast carcinoma. *JNCI* 51:1409-1416, 1973
25. Van Renswoude J, Bridges KR, Harford JB, et al: Receptor-mediated endocytosis of transferrin and the uptake of Fe in K562 cells: identification of a nonlysosomal acidic compartment. *Proc Natl Acad Sci USA* 79:6186-6190, 1982
26. Vieth RG, Odom GL: Intracranial metastases and their neurosurgical treatment. *J Neurosurg* 23:375-383, 1965
27. Walker MD, Alexander E, Hunt WE, et al: Evaluation of BCNU and/or radiotherapy in the treatment of anaplastic gliomas. A cooperative clinical trial. *J Neurosurg* 49:333-343, 1978
28. Walker MD, Green SB, Byar DP, et al: Randomized comparisons of radiotherapy and nitrosoureas for the treatment of malignant glioma after surgery. *N Engl J Med* 303:1323-1329, 1980
29. Westermarck B, Pontén J, Hugosson R: Determinants for the establishment of permanent tissue culture lines from human gliomas. *Acta Pathol Microbiol Immunol Scand (A)* 81:791-805, 1973
30. Yap HY, Yap BS, Tashima CK, et al: Meningeal carcinomatosis in breast cancer. *Cancer* 42:283-286, 1978
31. Youle RJ, Neville DM: Receptor-mediated transport of the hybrid protein ricin-diphtheria toxin fragment A with subsequent ADP-ribosylation of intracellular elongation factor II. *J Biol Chem* 254:11089-11096, 1979
32. Youle RJ, Uckun FM, Vallera DA, et al: Immunotoxins show rapid entry of diphtheria toxin but not ricin via the T<sub>3</sub> antigen. *J Immunol* 136:93-98, 1986
33. Zovickian J, Johnson VG, Youle RJ: Potent and specific killing of human malignant brain tumor cells by an anti-transferrin receptor antibody-ricin immunotoxin. *J Neurosurg* 66:850-861, 1987
34. Zovickian J, Youle RJ: Efficacy of intrathecal immunotoxin therapy in an animal model of leptomeningeal neoplasia. *J Neurosurg* 68:767-774, 1988

Manuscript received February 20, 1988.

Accepted in final form August 16, 1988.

Address reprint requests to: Virginia G. Johnson, Ph.D., Surgical Neurology Branch, NINCDS, National Institutes of Health, 9000 Rockville Pike, Bethesda, Maryland 20892.

A  
by surg  
charac  
minum  
cause o  
ever, t  
tissue.  
coagula  
geous.  
shifted  
proper  
Photo  
ily ther  
sue hea  
the inc  
which  
absorpt  
(β). The  
distribu  
α and

where

J. Neur

STIC-ILL

11/2/14

From: Canella, Karen  
Sent: Thursday, February 13, 2003 7:37 PM  
To: STIC-ILL  
Subject: ill order 10/033,577

432356

Art Unit 1642 Location 8E12(mail)

Telephone Number 308-8362

Application Number 10/033,577

1. Cancer Treatment and Research, 1988, Vol. 37, pp. 113-122
2. J of Neurosurgery, 1989 Feb, 70(2):240-248
3. Journal of Biological Chemistry:  
1988 Jan 25, 263(3):1295-1300  
1986 Mar 5, 261(7):3030-3035
4. Science, 1987 Oct 23, 238(4826):536-539
5. Journal of the National Cancer Institute, 2002 Apr 17, 94(8):597-606

COMPLETED

Scientific and Technical  
Information Center

FEB 20 RECD

PAT. & T.M. OFFICE

9054657

## 8. Genetic engineering of immunotoxins

R.J. Youle, L. Greenfield, and V.G. Johnson

### Introduction

Genetic engineering offers many advantages for the 1) production of immunotoxins (ITs) and 2) design of more effective reagents. In Chapter 9, J.R. Murphy discusses the use of genetic engineering to link genes together resulting in chimeric proteins. This review covers the first applications of genetic engineering technology to improve ITs by studying and altering toxin B chains and broadening the therapeutic index between target and nontarget cells.

### Ricin

Ricin is an extremely potent toxin isolated from castor bean seeds [1]. Ricin is synthesized during seed development and packaged into protein bodies within endosperm cells [2, 3]. The ricin gene has been cloned into *E. coli* by several groups [4, 5], and the nucleotide sequence closely corresponds with the protein sequence [6, 7]. The cDNA and genomic sequences show that ricin has a leader sequence that presumably initiates contranlational compartmentalization of ricin into the endoplasmic reticulum and that the two polypeptide chains, A and B, are initially synthesized as one polypeptide precursor joined by 12 amino acids [4, 5]. The precursor is proteolytically cleaved to yield two disulfide-linked subunits within the seed [8]. The toxin is post-translationally glycosylated on both A and B chains with high mannose branched chains containing some xylose and fucose [9, 10].

Two isomers of ricin, called ricin D and E, have only 12 amino acid differences in sequence [11] and differ in the structure of the binding site [12]. Therefore, the differences in sequence between ricins D and E indicate amino acids and domains involved in the galactose binding site. The variation of ricin sequence between varieties and species of castor bean is unknown; different investigators use different strains of seed. Halling et al. [4] showed that the ricin sequence is one of a family closely related genes.

Frankel, A.E., (ed.), Immunotoxins.  
© 1988 Kluwer Academic Publishers. ISBN 0-89838-984-4.  
All rights reserved.



A hemagglutinin closely related to ricin also exists in the protein bodies of castor bean seeds [13]. This protein is homologous to ricin and has been cloned and sequenced [14]. The agglutinin is 120,000 kilodaltons and is comprised of two A chains and two B chains. The ricin E, B chain appears to have arisen from a recombination of the ricin D, B chain and the agglutinin B chain [14]. The function of ricin and the agglutinin in the plant is unknown.

### Production

M. Piatak and colleagues at Cetus have obtained high yield expression of ricin A chain in *E. coli* [15]. The recombinant ricin A chain (rRTA) is absolutely free of ricin B chain and has no carbohydrate residues covalently attached. The LD<sub>50</sub> of rRTA in mice is 25 mg/kg, compared to .003 mg/kg of native ricin. The concentration of rRTA needed to inhibit protein synthesis in cell-free translation assays is 3 ng/ml, which compares favorably with the native ricin A chain activity [1]. The recombinant ricin A chain has been crystallized [16].

Recombinant ricin A chain has been linked to monoclonal antibodies and assayed for toxicity in vitro and in vivo. A monoclonal antibody specific for the human transferrin receptor (454A12) was linked to ricin A chain and recombinant ricin A chain [17]. Both ITs had the same potency to target cells in vivo and in vitro. In a nude mouse model of ovarian cancer, the rRTA IT could more than double the length of survival of intraperitoneal tumor-bearing animals.

In another study, recombinant ricin A ITs were compared to intact ricin ITs [18]. Cloned ricin A chain was linked to a monoclonal antibody (M6) specific for the idiotype domain on L<sub>2</sub>C guinea pig leukemia cells. In vitro, the conjugate (M6-rRTA) inhibited protein synthesis of L<sub>2</sub>C cells 40% at  $5 \times 10^{-5}$  M after five hours. The toxicity was potentiated by monensin. The same monoclonal antibody (M6) linked to ricin (M6-ricin) inhibited protein synthesis 98% under the same assay conditions. The intact ricin conjugate was more potent in vitro than the ricin A chain conjugate. For tumor therapy in vivo, the cloned ricin A chain conjugate was compared with monoclonal antibody alone and with intact ricin conjugate. Table 1 shows that a hierarchy in potency was found. M6 antibody was significantly better than no treatment and killed about 90% of the tumor cells in vivo at 3000 µg/kg. M6-rRTA killed about 90% of the cells at a 100-fold lower concentration than the M6 antibody alone, 30 µg/kg. At 3000 µg/kg M6-rRTA was more effective than an equivalent dose of antibody, killing up to 5 logs of 99.999% of the tumor cells. This shows that the recombinant ricin A chain conjugate was extremely effective in vivo and more potent than antibody alone.

Table 1<sup>a</sup>. Tumor therapy of

### Treatment

Buffer
M6 Antibody
3000 µg/kg
M6-rRTA
35 µg/kg
M6-rRTA
3500 µg/kg
M6-Ricin
30 µg/kg

<sup>a</sup> Adapted from Gregg et al

<sup>b</sup> Calculated based on a 18-

### Specificity or therapeutic

Ricin B chain can pot ricin ITs are often m colleagues [18] compar IT in vivo. Table 1 sho dose 100-fold lower th potentiate activity in used at doses within cell kill, the conclusio more potent, both re

The ricin and diph binding cell surface r binding activity of the entry activity increas way to increase the t activity and maintain that this may not be p binding site on ricin Vitetta [24], using a NH<sub>4</sub><sup>+</sup>Cl<sup>-</sup> in the assa found that cells lines s to ricin and had a dec ricin receptors are ne addition, hybridoma c specifically blocked ric A model consistent w activity on the B cha enter the cytosol. Acc



exists in the protein bodies  
ous to ricin and has been  
20,000 kilodaltons and is  
ricin E, B chain appears  
cin D, B chain and the  
the agglutinin in the plant

l high yield expression of  
ricin A chain (rRTA) is  
hydrate residues covalently  
, compared to .003 mg/kg  
ed to inhibit protein syn-  
hich compares favorably  
mbinant ricin A chain has

monoclonal antibodies and  
onal antibody specific for  
ced to ricin A chain and  
me potency to target cells  
rian cancer, the rRTA IT  
of intraperitoneal tumor-

compared to intact ricin  
monoclonal antibody (M6)  
leukemia cells. In vitro,  
s of L<sub>2</sub>C cells 40% at 5 ×  
iated by monensin. The  
6-ricin) inhibited protein  
he intact ricin conjugate  
1 conjugate. For tumor  
ate was compared with  
onjugate. Table 1 shows  
y was significantly better  
nor cells in vivo at 3000  
1 100-fold lower concen-  
t 3000 μg/kg M6-rRTA  
ody, killing up to 5 logs  
he recombinant ricin A  
and more potent than

Table 1<sup>a</sup>. Tumor therapy of guinea pigs injected with L<sub>2</sub>C leukemia cells

Treatment	Mean Survival Time	Log Kill of Tumor Cells <sup>b</sup>
Buffer	15	0
M6 Antibody		
3000 μg/kg	18	1
M6-rRTA		
35 μg/kg	18	1
M6-rRTA		
3500 μg/kg	34	5
M6-Ricin		
30 μg/kg	31	5

<sup>a</sup> Adapted from Gregg et al., 1987

<sup>b</sup> Calculated based on a 18-hour doubling time of L<sub>2</sub>C cells

### Specificity or therapeutic index

Ricin B chain can potentiate ricin A chain ITs [19–21]. Furthermore, intact ricin ITs are often more potent than ricin A chain ITs [22]. Gregg and colleagues [18] compared the activity of an rRTA IT with that of an intact ricin IT in vivo. Table 1 shows that the intact ricin IT killed 5 logs of tumor cells at a dose 100-fold lower than the rRTA IT. The B chain of ricin can therefore potentiate activity in vivo. Since both the rRTA and intact ricin ITs were used at doses within 3–5-fold of lethal levels and effected the same tumor cell kill, the conclusion was made that, though the ricin IT was 100 times more potent, both reagents had the same therapeutic index.

The ricin and diphtheria toxin B subunits have two known functions: 1) binding cell surface receptors, and 2) facilitating entry of the toxin. The binding activity of the B chains causes nontarget cell toxicity of ITs and the entry activity increases the toxicity or potency of ITs to target cells. One way to increase the therapeutic index of ITs would be to block the binding activity and maintain the entry activity of the B chain. Recent work indicates that this may not be possible for ricin. Chemical inactivation of the galactose binding site on ricin was shown to block the toxicity of ITs [23], though Vitetta [24], using a different chemical modification of ricin and including NH<sub>4</sub><sup>+</sup>Cl<sup>−</sup> in the assays, came to the opposite conclusion. Goldmacher [25] found that cells lines selected for resistance to an intact ricin IT were resistant to ricin and had a decreased level of ricin receptors. This result indicates that ricin receptors are needed for the antibody-mediated toxicity of the IT. In addition, hybridoma cells that contain intracellular monoclonal antibody that specifically blocked ricin binding, blocked ricin toxicity from within cells [26]. A model consistent with these results is that ricin uses the galactose binding activity on the B chain within cells, possibly within the Golgi apparatus, to enter the cytosol. According to this model, blockage of the galactose-binding

activity on intact ricin ITs would block the entry to the cytosol. Therefore, site-specific mutagenesis that blocks the nontarget cell killing of ricin would not increase the therapeutic index of ITs. Reversible modification of the galactose-binding site that blocked binding outside target cells and exposed binding within cells may, however, generate reagents with improved therapeutic indices. Recent work with diphtheria toxin discussed later, in contrast to ricin, shows separation of binding and entry is possible, and such modified diphtheria toxin conjugates have a greater therapeutic index.

### Stability

The reticuloendothelial system has at least two clearance mechanisms specific for carbohydrates [27]. Hepatocytes have receptors that bind and internalize carbohydrates and glycoproteins containing terminal galactose, and Kupfer cells have receptors that bind and internalize carbohydrates and glycoproteins containing terminal mannose residues [28, 29]. Native ricin has complex carbohydrate groups that have terminal mannose on both the A and B subunits [9], which result in specific binding and internalization of ricin by Kupfer cells. This causes rapid clearance of ricin and ricin A chain containing ITs in vivo. Efforts have been made to remove the carbohydrate from ricin A chain to prevent the rapid destruction of ITs in vivo [10]. Recombinant ricin A chain lacks carbohydrate and will not be cleared by the mannose-specific uptake pathway of the reticuloendothelial system as rapidly as native ricin A chain.

Recombinant ricin A chain has a number of advantages over native ricin A chain: 1) The production of clinically useful amounts is simplified, 2) the chance of contamination with ricin B chain is eliminated, and 3) the carbohydrate that causes rapid clearance of native ricin A chain is absent. Genetic engineering may also be used to modify toxin B chains and improve IT selectivity, as recently shown for diphtheria toxin [30, 31]. Such improvements in selectivity may or may not be accomplished for ricin or other toxins.

### Diphtheria toxin

Diphtheria toxin, after proteolytic nicking, consists of two disulfide linked polypeptide chains. The A chain enzymatically inactivates EF-2 by ADP-ribosylation and blocks protein synthesis. The B chain has two activities: 1) binding cell surface receptors, and 2) translocation of the A subunit to the cytosol compartment. The mechanism of diphtheria toxin (DT) entry into cells is more clearly understood than the mechanism of ricin entry and appears to be different. Diphtheria toxin B subunit has four extremely hydrophobic regions that are capable of binding lipid [32, 33]. Upon exposure to pH below 5.5, the toxin undergoes a conformational change that exposes

Diphtheria Toxin

CRM 45

MspSA

Figure 1. Domains of diphtheria toxin. The black boxes. Adapted from [32].

hydrophobic domains [35]. That these events occur is the fact that amines [36] and vesicles block DT toxicity, even in the regions of DT though Figure 1 [32].

The receptor for DT on Vero, appears to be a 60 kDa and phytic acid block DT binding (Figure 1). The serine residues lie in the binding site.

Greenfield and colleagues [37] corresponding to the CRM 382 (Figure 1). In addition, at the C-terminus. The thr-gly-ser-gly-pro<sub>6</sub>-ser methodology and insect cell expression site at amino acid number 382 expressed in high yield.

### Specificity or therapeutic index

Colombatti and coworkers [38] specific for the T3 antigen.

to the cytosol. Therefore, cell killing of ricin would be possible modification of the target cells and exposed cells with improved therapeutic index, in contrast to the possible, and such modified therapeutic index.

mechanisms specific that bind and internalize galactose, and Kupfer cells and glycoproteins [9]. Native ricin has comonomers on both the A and B chains and internalization of ricin by the A chain and ricin A chain remove the carbohydrate chains of ITs in vivo [10]. will not be cleared by the reticuloendothelial system as rapidly

advantages over native ricin is simplified, 2) the toxicity is minimized, and 3) the carcinogenicity of the A chain is absent. The B chains and improve the therapeutic index [30, 31]. Such improvements are needed for ricin or other

of two disulfide linked chains activates EF-2 by ADP-ribosylation. Ricin A chain has two activities: 1) inhibition of the A subunit to the entry of a toxin (DT) entry into the cell and 2) inhibition of ricin entry and exit. Ricin A chain has four extremely hydrophobic domains [32, 33]. Upon exposure to the toxin, a conformational change that exposes

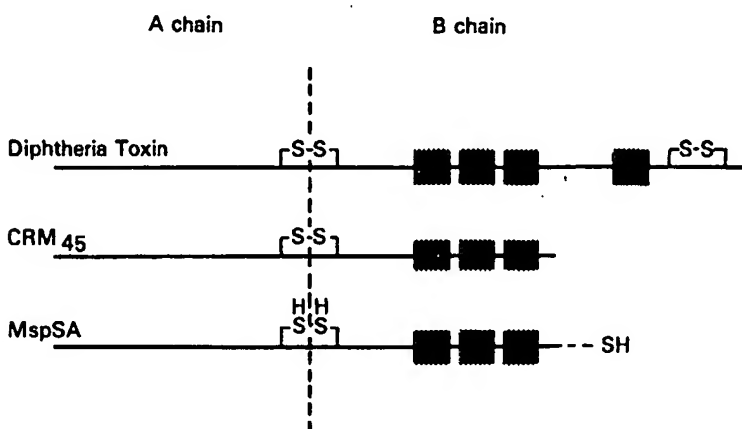


Figure 1. Domains of diphtheria toxin and fragments. Hydrophobic domains are marked by black boxes. Adapted from Colombatti, et al., 1986.

hydrophobic domains [34] and promotes toxin insertion into lipid bilayers [35]. That these events are important in toxin entry into cells is indicated by the fact that amines [36] or ionophores that increase the pH of intracellular vesicles block DT toxicity. Further, pulsing cells at low pH can mediate DT toxicity, even in the presence of ionophores [37, 38]. The hydrophobic regions of DT thought to be involved in membrane transport are shown on Figure 1 [32].

The receptor for DT on certain cells, such as the monkey kidney cell line Vero, appears to be the anion antiporter [39]. Polyphosphates such as ATP and phytic acid block DT binding [40]. The binding site on DT is in the 8 kilodalton C-terminal fragment of the B chain [41] that is missing in CRM45 (Figure 1). The serine at amino acid 508 is involved in DT binding and may lie in the binding site [31].

Greenfield and colleagues at Cetus cloned the fragment of DT corresponding to the CRM45 mutant into *E. coli* extending from amino acid 1 to 382 (Figure 1). In addition, they designed a spacer arm and a crosslinking site at the C-terminus. The nucleotide sequence corresponding to leu-pro-gly-thr-gly-ser-gly-pro<sub>6</sub>-ser-gly-ser-gly-thr-cys was synthesized by solid-phase methodology and inserted adjacent to the C-terminus of the Msp restriction site at amino acid number 382. The new protein, called MspSA, was expressed in high yield and purified.

#### Specificity or therapeutic index

Colombatti and coworkers [30] linked MspSA to a monoclonal antibody specific for the T3 antigen on human T cells and compare its bioactivity to intact

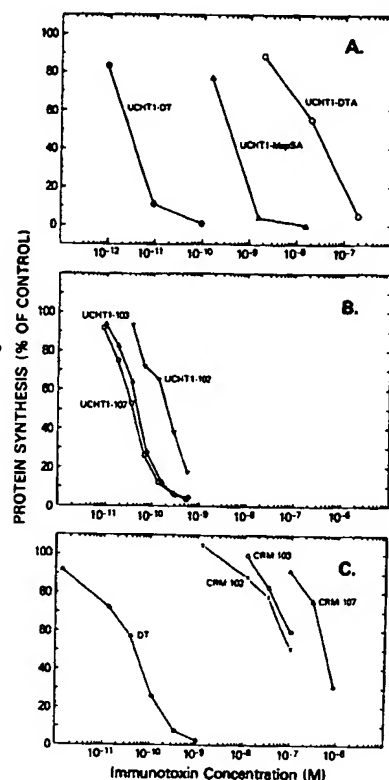


Figure 2. Potency of genetically engineered toxins and immunotoxins. A: Comparison of toxicity of a monoclonal antibody against human T cells (UCHT1) linked to DT A chain (○—○) or intact DT (■—■) or a genetically engineered fragment to DT, called MspSA (△—△), against the human T cell line Jurkat. (Adapted from *J. Biol. Chem.* 261: 3030–3035.) B: Immunotoxins made with diphtheria toxin mutants with point mutations blocking toxin binding assayed for toxicity to Jurkat cells. C: Toxicity of diphtheria toxin and mutants with point mutations blocking bindings assayed on Jurkat cells. Adapted from *Science*.

DT and A chain ITs. The diphtheria toxin A chain IT was 10,000-fold less potent than the intact DT IT (Figure 2A). The MspSA IT, which contained half of the native DT, was intermediate in potency between the A chain and intact toxin reagents (Figure 2A). The N-terminal 17 kilodalton region of DTB which was contained in the MspSA, therefore, potentiated IT activity 100-fold. Since the C-terminal region of DT contains the cell-surface binding site, this 100-fold activation appears to be due to entry activity expressed by MspSA and not expressed by DT A chain. However, the intact DT was 100-fold more potent than the recombinant MspSA IT. Since the MspSA IT lacks the C-terminal binding site as well as one hydrophobic domain, it was

not possible to tell whether binding or not. The model is to use point mutants.

We recently identified a mutation in the A chain [31]. Three point mutations reduced toxicity 10,000-fold (Figure 2C). Sequence analysis showed that the mutations involved in binding, in particular, at position 325 or serine 325.

Upon linking the intact toxin to a monoclonal antibody, full toxicity was restored. DT linked to the same antibody as in CRM107 that reduced toxicity by adding a new binding domain. This domain is not required for the entry activity. The entry activity is increased 10-fold by the DT IT, yielding a 2 × 10<sup>4</sup> fold high potency of intact toxin. The toxicity of A chain ITs is reduced by three separable domains: 1) the monoclonal antibody binding activity, and 2) the entry activity, and 3) the synthesis.

#### Stability

Use of intact toxins gives several advantages over the use of A chain ITs. The stability index can be orders of magnitude higher for a more stable linkage between the A chain and B in the cytosol for more than 43]. Therefore, A chain ITs are more effective [44]. However, the entry activity can be readily broken by linking to antibodies. The A chain is still linked to the B chain, allowing efficient inhibition of the disulfide bond between B chains [48].

A chains have also been used. A chains associated with B chains in intact toxin ITs may be

unotoxins. A: Comparison of (HT1) linked to DT A chain fragment to DT, called MspSA *om J. Biol. Chem.* 261: 3030- with point mutations blocking f diphtheria toxin and mutants adapted from *Science*.

IT was 10,000-fold less IT, which contained half en the A chain and intact lodalton region of DTB entiated IT activity 100- the cell-surface binding try activity expressed by ; the intact DT was 100- . Since the MspSA IT rophobic domain, it was

not possible to tell whether this 100-fold potentiation was distinct from binding or not. The most elegant way to separate binding and entry would be to use point mutagenesis to block the B chain binding site.

We recently identified point mutations in DT that block binding and toxicity [31]. Three point mutants of DT have toxicity decreased 1000- to 10,000-fold (Figure 2C) and have binding activity decreased 100- to 9000-fold. Sequence analysis of the mutants identifies several amino acids involved in binding, including serine at position 508 and either leucine at position 325 or serine at position 525.

Upon linking the inactivated mutants CRM107 and CRM103 to a monoclonal antibody, full toxicity was restored, indistinguishable from the native DT linked to the same antibody (Figure 2B). This shows that the only defect in CRM107 that reduced toxicity 10,000-fold could be fully reversed by adding a new binding domain. This demonstrates that the binding function is not required for the entry activity of the B chain. The selectivity of the new reagent is increased 10,000-fold over the 100-fold selectivity of the native DT IT, yielding a  $2 \times 10^5$ -fold selective toxicity. This new reagent has the high potency of intact toxin ITs to target cells combined with the low toxicity of A chain ITs to nontarget cells. This genetically engineered IT has three separable domains used to mediate cell-type-specific toxicity: 1) a monoclonal antibody binding domain, 2) the B subunit with full translocation activity, and 3) the A chain with enzymatic activity to block protein synthesis.

### Stability

Use of intact toxins genetically altered in their binding sites offers many advantages over the use of A chain ITs. First, as described, the therapeutic index can be orders of magnitude greater than for A chain ITs. In addition, a more stable linkage between intact toxin and antibody can be used than between the A chain and antibody. The A chain must be separated from the B in the cytosol for maximal enzymatic inhibition of protein synthesis [42, 43]. Therefore, A chain ITs linked to monoclonal antibodies by disulfide bonds are more effective than those made with more stable thioether bonds [44]. However, the exogenous disulfide bond between A chains and antibody can be readily broken in the blood [45]. In contrast, intact toxins can be linked to antibodies by nonreducible thioether bonds because the A chain is still linked to the complex by the disulfide bond to the B chain, allowing efficient inhibition of protein synthesis [46, 47], and the endogenous disulfide bond is stabilized in serum by the association of the A and B chains [48].

A chains have also been shown to be more susceptible to proteolysis than A chains associated with B chains [6]. In vivo stability to proteolysis of intact toxin ITs may be greater than that of A chain ITs.

## Conclusion

ITs show promise as a new class of chemotherapeutic reagents. They can be extremely tumor selective in vitro and in vivo in certain body compartments. However, they currently have many limitations that must be overcome to optimize their in vivo efficacy. Genetic engineering has already been used to improve IT production, stability, and selectivity. New ITs engineered at the gene level to overcome the current limitations will undoubtedly have greatly improved pharmacologic activities and clinical value.

## References

1. Olsnes, S., Sandvig, K., Refn s, K., and Pihl, A. (1976) Rates of different steps involved in the inhibition of protein synthesis by the toxic lectins abrin and ricin. *J. Biol. Chem.*, **251**, 3985-3992.
2. Youle, R.J., and Huang, A.H.C. (1976) Protein bodies from the endosperm of castor bean: subfractionation, protein components, lectins, and changes during germination. *Plant Physiol.*, **58**, 703-709.
3. Tully, R.E., and Beevers, H. (1976) Protein bodies of castor bean endosperm isolation fractionation and the characterization of protein components. *Plant Physiol.*, **58**, 710-716.
4. Halling, K.C., Halling, A.C., Murray, E.E., Ladin, B.F., Houston, L.L., and Weaver, R.F. (1985) Genomic cloning and characterization of a ricin gene from *Ricinus communis*. *Nucl. Acids Res.*, **13**, 8019-8033.
5. Lamb, F.I., Roberts, L.M., and Lord, J.M. (1985) Nucleotide sequence of cloned cDNA coding for preprorizin. *Eur. J. Biochem.*, **148**, 265-270.
6. Yoshitake, S., Funatsu, G., and Funatsu, M. (1978) Isolation and sequences of peptic peptides and the complete sequence of isoleucine chain of ricin D. *Agric. Biol. Chem.*, **42**, 1267-1274.
7. Funatsu, G., Kimura, M., and Funatsu, M. (1979) Primary structure of alanine chain of ricin D. *Agric. Biol. Chem.*, **42**, 2221-2224.
8. Lord, J.M. (1985) Precursors of ricin and *Ricinus communis* agglutinin. Glycosylation and processing during synthesis and intracellular transport. *Eur. J. Biochem.*, **146**, 411-416.
9. Nanno, S., Ishiguro, M., Funatsu, G., and Funatsu, M. (1975) The mode of binding of carbohydrate in ricin D. *Agric. Biol. Chem.*, **39**, 1651-1654.
10. Thorpe, P.E., Detre, S.I., Foxwell, B.M., Brown, A.N. F., Skilleter, D.N., Wilson, G., Forrester, J.A., and Stirpe, F. (1985) Modification of the carbohydrate in ricin with metaperiodate-cyanoborohydride mixtures. Effects on toxicity and *in vivo* distribution. *Eur. J. Biochem.*, **147**, 197-206.
11. Araki, T., and Funatsu, G. (1987) The complete amino acid sequence of the B-chain of ricin E isolated from small-grain castor bean seeds. Ricin E is a gene recombination product of ricin D and *Ricinus communis* agglutinin. *Biochim. Biophys. Acta*, **911**, 191-200.
12. Colombatti, M., Johnson, V.G., Skopicki, H.A., Fendley, B., Lewis, M.S., and Youle, R.J. (1987) *J. Immunol.*, **138**, 3339-3344.
13. Nicolson, G.L., and Blaustein, J. (1972) The interaction of *Ricinus communis* agglutinin with normal and tumor cell surfaces. *Biochim. Biophys. Acta*, **266**, 543-547.
14. Roberts, L.M., Lamb, F.I., Pappin, D.J., and Lord, J.M. (1985) The primary sequence of *Ricinus communis* agglutinin. Comparison with ricin. *J. Biol. Chem.*, **260**, 15682-15686.
15. Piatak, M. et al. (1986) Patent Cooperation Treaty, W085-03508.
16. Robertus, J.D., Piatak, M., Ferris, R., and Houston, L.L. (1987) Crystallization of ricin A chain obtained from a 19-20.
17. FitzGerald, D.J., Bjorn, T.C., Ozols, R.F., Will immunotoxin in a nude 1410.
18. Gregg, R.O., Bridges, Stevenson, F.D., and G specific immunotoxins 138, 4502-4508.
19. Youle, R.J., and Nevill ricin-anti-Thy 1.1 mono by reconstitution. *J. Bi*
20. McIntosh, D.P., Edwards and Forrester, J.A. (19 conjugate into a potent
21. Vitetta, E.S., Fulton, R in containing ricin A ch *J. Exp. Med.*, **160**, 341
22. Youle, R.J., and Colom Futura Publishing Comp
23. Youle, R.J., Murray, G site of ricin and the hyl
24. Vitetta, E.S. (1986) Syn and chemically-modified
25. Goldmacher, V.S., And Somatic cell mutants re *Chem.*, **262**, 3205-3209
26. Youle, R.J., and Colom antibodies show ricin me **262**, 4676-4682.
27. Neufeld, E. and Ashwe Lennarz, ed. Plenum Pr
28. Hubbard, A.L., Wilson scope autoradiographic Distribution of 125I-lige
29. Stahl, P.D., Rodman, receptor-mediated bindi alveolar macrophages. *J*
30. Colombatti, M., Green toxin linked to T cell-sp *Biol. Chem.*, **261**, 3030
31. Greenfield, L., Johnson
32. Eisenberg, D., Schwartz and surface protein sec **125-142**.
33. Boquet, P., Silverman, triton X-100 to diphther *Acad. Sci. USA*, **73**, 44
34. Sandvig, K., and Olsne pH. Characterization of *Biol. Chem.*, **256**, 9068
35. Donovan, J.J., Simon, transmembrane channel



atic reagents. They can be  
tain body compartments.  
hat must be overcome to  
; has already been used to  
few ITs engineered at the  
undoubtedly have greatly  
ue.

ates of different steps involved  
brin and ricin. *J. Biol. Chem.*,

the endosperm of castor bean:  
during germination. *Plant Phy-*

stor bean endosperm isolation  
s. *Plant Physiol.*, 58, 710-716.  
Houston, L.L., and Weaver,  
gene from *Ricinus communis*.

tide sequence of cloned cDNA

ation and sequences of peptic  
ricin D. *Agic. Biol. Chem.*, 42,

y structure of alanine chain of

s agglutinin. Glycosylation and  
*J. Biochem.*, 146, 411-416.  
1975) The mode of binding of  
4.

, Skilleter, D.N., Wilson, G.,  
ie carbohydrate in ricin with  
city and *in vivo* distribution.

id sequence of the B-chain of  
a E is a gene recombination  
*im. Biophys. Acta*, 911, 191-

B., Lewis, M.S., and Youle,

*Ricinus communis* agglutinin  
*ta*, 266, 543-547.

1985) The primary sequence of  
*l. Chem.*, 260, 15682-15686.  
03508.

1987) Crystallization of ricin A

chain obtained from a cloned gene expressed in *Escherichia coli*. *J. Biol. Chem.*, 262, 19-20.

17. FitzGerald, D.J., Bjorn, M.J., Ferris, R.J., Winkelhake, J.L., Frankel, A.E., Hamilton, T.C., Ozols, R.F., Willingham, M.C., and Pastan, I. (1987) Antitumor activity of an immunotoxin in a nude mouse model of human ovarian cancer. *Cancer Res.*, 47, 1407-1410.
18. Gregg, R.O., Bridges, S.A., Youle, R.J., Longo, D.L., Houston, L.L., Glennie, M.J., Stevenson, F.D., and Green, I. (1987) Whole ricin and recombinant ricin A chain idiotype-specific immunotoxins for therapy of the guinea pig L<sub>2</sub>C B cell leukemia. *J. Immunol.*, 138, 4502-4508.
19. Youle, R.J., and Neville, D.M., Jr. (1982) Kinetics of protein synthesis inactivation by ricin-anti-Thy 1.1 monoclonal antibody hybrids. Role of the ricin B subunit demonstrated by reconstitution. *J. Biol. Chem.*, 257, 1598-1601.
20. McIntosh, D.P., Edwards, D.C., Cumber, A.J., Parnell, G.D., Dean, C.J., Ross, W.C., and Forrester, J.A. (1983) Ricin B chain converts a non-cytotoxic antibody-ricin A chain conjugate into a potent and specific cytotoxic agent. *FEBS Lett.*, 164, 17-20.
21. Vitetta, E.S., Fulton, R.J., and Uhr, J.W. (1984) Cytotoxicity of a cell-reactive immunotoxin containing ricin A chain is potentiated by an anti-immunotoxin containing ricin B chain. *J. Exp. Med.*, 160, 341-346.
22. Youle, R.J., and Colombatti, M. In: *Monoclonal Antibodies and Cancer*, J. Roth, ed. Futura Publishing Company, pp 173-213.
23. Youle, R.J., Murray, G.J., and Neville, D.M., Jr. (1981) Studies on the galactose-binding site of ricin and the hybrid toxin Man6P-ricin. *Cell*, 23, 551-559.
24. Vitetta, E.S. (1986) Synergy between immunotoxins prepared with native ricin A chains and chemically-modified ricin B chains. *J. Immunol.*, 136, 1880-1887.
25. Goldmacher, V.S., Anderson, J., Schulz, M.L., Blattler, W. A., and Lambert, J.M. (1987) Somatic cell mutants resistant to ricin, diphtheria toxin, and to immunotoxins. *J. Biol. Chem.*, 262, 3205-3209.
26. Youle, R.J., and Colombatti, M. (1987) Hybridoma cells containing intracellular anti-ricin antibodies show ricin mects secretory antibody before entering the cytosol. *J. Biol. Chem.*, 262, 4676-4682.
27. Neufeld, E. and Ashwell, G. In: *Biochemistry of Glycoproteins and Proteoglycans*. W. Lennarz, ed. Plenum Press, New York, pp 241.
28. Hubbard, A.L., Wilson, G., Ashwell, G., and Stukenbrok, H. (1979) An electron microscope autoradiographic study of the carbohydrate recognition systems in rat liver. I. Distribution of 125I-ligands among the liver cell types. *J. Cell. Biol.*, 83, 47.
29. Stahl, P.D., Rodman, J.S., Miller, M.J., and Schlesinger, P.H. (1978) Evidence for receptor-mediated binding of glyco-proteins, glycoconjugates, and lysosomal glycosidases by alveolar macrophages. *Proc. Natl. Acad. Sci. USA*, 75, 1399-1403.
30. Colombatti, M., Greenfield, L., and Youle, R.J. (1986) Cloned fragment of diphtheria toxin linked to T cell-specific antibody identifies regions of B chain active in cell entry. *J. Biol. Chem.*, 261, 3030-3035.
31. Greenfield, L., Johnson, V.G., and Youle, R.J. (1987) *Science*, 238, 536-539.
32. Eisenberg, D., Schwartz, E., Komaromy, M., and Wall, R. (1984) Analysis of membrane and surface protein sequences with the hydrophobic moment plot. *J. Mol. Biol.*, 179, 125-142.
33. Boquet, P., Silverman, M.S., Pappenheimer, A.M., Jr., and Vernon, W. (1976) Binding of triton X-100 to diphtheria toxin, crossreacting material 45, and their fragments. *Proc. Natl. Acad. Sci. USA*, 73, 4449-4453.
34. Sandvig, K., and Olsnes, S. (1986) Rapid entry of nicked diphtheria toxin into cells at low pH. Characterization of the entry process and effects of low pH on the toxin molecule. *J. Biol. Chem.*, 261, 9068-9076.
35. Donovan, J.J., Simon, M.T., Draper, R.K., and Montal, M. (1981) Diphtheria toxin forms transmembrane channels in planar lipid bilayers. *Proc. Natl. Acad. Sci. USA*, 78, 172-176.



36. Kim, K., and Groman, N.B. (1965) Mode of inhibition of diphtheria toxin by ammonium chloride. *J. Bacteriol.*, 90, 1557-1562.
37. Draper, R.K., and Simon, M.I. (1980) The entry of diphtheria toxin into the mammalian cell cytoplasm: Evidence for lysosomal involvement. *J. Cell. Biol.*, 87, 849-854.
38. Sandvig, K., and Olsnes, S. (1980) Diphtheria toxin entry into cells is facilitated by low pH. *J. Cell. Biol.*, 87, 828-832.
39. Olsnes, S., and Sandvig, K. (1986) Interactions between diphtheria toxin entry and anion transport in Vero cells. II. Inhibition of anion antiport by diphtheria toxin. *J. Biol. Chem.*, 261, 1553-1561.
40. Middlebrook, J.L., and Dorland, R.B. (1979) Protection of mammalian cells from diphtheria toxin by exogenous nucleotides. *Can. J. Microbiol.*, 25, 285-290.
41. Proia, R.L., Wray, S.K., Hart, D.A., and Eidels, L. (1980) Characterization and affinity labeling of the cationic phosphate-binding (nucleotide-binding) peptide located in the receptor-binding region of the B-fragment of diphtheria toxin. *J. Biol. Chem.*, 255, 12025-12033.
42. Oda, T., Aizawa, Y., and Funatsu, G. (1976) Binding and cytotoxicity of *Ricinus communis* lectins to HeLa cells, Sarcoma 180 ascites tumor cells and erythrocytes. *J. Biochem.*, 96, 377.
43. Montesano, L., Cawley, D., and Hershman, H.R. (1982) Disuccinyl-midyl suberate cross-linked ricin does not inhibit cell-free protein synthesis. *Biochem. Biophys. Res. Comm.*, 109, 7-13.
44. Mashuo, Y., Kishida, K., Saito, M., Umemoto, N., and Hara, T. (1982) Importance of the antigen-binding valency and the nature of the cross-linking bond in ricin A-chain conjugates with antibody. *J. Biochem.*, 91, 1583-1591.
45. Letvin, N.L., Goldmacher, V.S., Ritz, J., Yetz, J.M., Schlossman, S.F., and Lambert, J.M. (1986) In vivo administration of lymphocyte-specific monoclonal antibodies in nonhuman primates. In vivo stability of disulfide-linked immunotoxin conjugates. *J. Clin. Invest.*, 77, 977-984.
46. Youle, R.J., and Neville, D.M., Jr. (1980) Anti-Thy 1.2 monoclonal antibody linked to ricin is a potent cell-type-specific toxin. *Proc. Natl. Acad. Sci. USA*, 77, 5483-5486.
47. Stong, R.C., Youle, R.J., and Valleria, D.A. (1984) Elimination of clonogenic T-leukemic cells from human bone marrow using anti-M, 65,000 protein immunotoxins. *Cancer Res.*, 44, 3000-3006.
48. Lewis, M.W., and Youle, R.J. (1986) Ricin subunit association. Thermodynamics and the role of the disulfide bond in toxicity. *J. Biol. Chem.*, 261, 11571-11577.

## 9. Diphtheria-toxin A molecular biology development

John R. Murphy

### Introduction

Over the past 15 years, our understanding of the diphtheria toxin molecule (see Chapter 1) has increased. It is now known that diphtheria toxin is a single chain polypeptide of 21,167 daltons, derived from an ordered series of steps in the life cycle of the cell. For example, the following steps: 1) synthesis of the toxin, 2) internalization of the toxin, 3) acidification of the endosome, which leads to 4) the release of the toxin into the cytosol, and 5) dependent adenosine triphosphatase (ATP) factor 2. Modified location of polypeptide ('P') site on the ribosome. This series of steps results in the intact sensitive eukaryotic cell.

The analysis of the diphtheria toxin by polyacrylamide gel electrophoresis (PAGE) shows that diphtheria toxin (58,348 M) is a single chain polypeptide. Mild trypsin digestion of the toxin yields a fragment A (21,167 M) which is the chemical analysis of the toxin. The toxin is contained entirely within the binding domain of the toxin, the 15,000 dalton region of the structural gene that encodes the receptor binding site for intact cells.

Frankel, A.E., (ed.). Immunology  
© 1988 Kluwer Academic Publishers  
All rights reserved.

(FILE 'HOME' ENTERED AT 14:52:11 ON 13 FEB 2003)

FILE 'MEDLINE, BIOSIS, CANCERLIT, LIFESCI, BIOTECHDS, CAPLUS' ENTERED AT  
14:53:56 ON 13 FEB 2003

L1 11029 S DIPHTHERIA(W)TOXIN#  
L2 189 S DIPHTHERIA(W)TOXIN#  
L3 13671 S (UROKINASE OR URINARY) (W) PLASMINOGEN  
L4 11096 S L1 OR L2  
L5 10 S L4 AND L3  
L6 8 S L5 AND PY<2002  
L7 4 DUP REM L6 (4 DUPLICATES REMOVED)

FILE 'PCTFULL, USPAT2, EUROPATFULL' ENTERED AT 15:02:00 ON 13 FEB 2003

=> s (DIPHTHERIA(W)TOXIN# or DIPHTHERIA(W)TOXIN#)  
L8 3764 (DIPHTHERIA(W) TOXIN# OR DIPHTHERIA(W) TOXIN#)  
  
=> s ((UROKINASE OR URINARY) (W) PLASMINOGEN) or uPa  
L9 1556 ((UROKINASE OR URINARY) (W) PLASMINOGEN) OR UPA  
  
=> s 18(s)19  
L10 4 L8(S) L9

(FILE 'HOME' ENTERED AT 19:30:47 ON 13 FEB 2003)

FILE 'MEDLINE, BIOSIS, CANCERLIT, LIFESCI, BIOTECHDS, CAPLUS' ENTERED AT  
19:31:09 ON 13 FEB 2003

L1 972 S VALLERA?/AU  
L2 71 S L1 AND DIPHTHERIA  
L3 6 S L1 AND (UROKINASE(2W) PLASMINOGEN OR UPA)  
L4 6 S L3 AND L2  
L5 0 S L4 AND PY<2002  
L6 2 DUP REM L4 (4 DUPLICATES REMOVED)  
L7 427 S (HALL,W#)/AU OR (HALL W#)/AU OR (HALL, W#)/AU

=> s 17 and (urokinase(2w)plasminogen or upa)  
L8 0 L7 AND (UROKINASE(2W) PLASMINOGEN OR UPA)

=> s 17 and diphtheria  
L9 0 L7 AND DIPHTHERIA

(FILE 'HOME' ENTERED AT 10:17:16 ON 14 FEB 2003)

FILE 'MEDLINE, BIOSIS, CANCERLIT, LIFESCI, CAPLUS, BIOTECHDS' ENTERED AT  
10:17:36 ON 14 FEB 2003

```
L1      8396 S COLICIN
L2      69 S D-ENDOTOXIN
L3      4277 S DELTA(A)ENDOTOXIN
L4      1225 S ANTHRAX(A)TOXIN
L5      8450 S TETANUS(A)TOXIN
L6      180 S (BOTULISM OR BOLTULINUM) (A)TOXIN
L7      3380 S PSEUDOMON?(W) (EXOTOXIN)
L8      69 S (INTERNALI?) (W) DOMAIN#
L9      0 S L8 AND L1
L10     0 S L2 AND L8
L11     0 S L3 AND L8
L12     0 S L4 AND L8
L13     0 S L5 AND L8
L14     0 S L6 AND L8
L15     1 S L7 AND L8
```

=> s (internali? or transloca?) (3a)domain#

```
L16     2555 (INTERNALI? OR TRANSLOCA?) (3A) DOMAIN#
```

=> s l1 and l16

```
L17     87 L1 AND L16
```

=> s l2 and l16

```
L18     0 L2 AND L16
```

=> s l3 and l16

```
L19     1 L3 AND L16
```

=> s l4 and l16

```
L20     14 L4 AND L16
```

=> s l5 and l16

```
L21     18 L5 AND L16
```

=> s l6 and l16

```
L22     0 L6 AND L16
```

=> s l17/ti

```
L23     20 L17/TI
```

=> s l23 or l19 or l20 or l21

```
L24     53 L23 OR L19 OR L20 OR L21
```

=> dup rem l24

PROCESSING COMPLETED FOR L24

```
L25     25 DUP REM L24 (28 DUPLICATES REMOVED)
```

```

=> s diphtheria
L1      33091 DIPHTHERIA

=> s lrp or (ldl(2w)receptor) or ((a2 or alpha2)(w)macroglobulin) or
a2macroglobulin# or (alpha(w)2(w)macroglobulin#)
      6 FILES SEARCHED...
L2      44327 LRP OR (LDL(2W) RECEPTOR) OR ((A2 OR ALPHA2)(W) MACROGLOBULIN)
      OR A2MACROGLOBULIN# OR (ALPHA(W) 2(W) MACROGLOBULIN#)

=> s l1 and l2
L3      56 L1 AND L2

=> s l3 and py<2002
      2 FILES SEARCHED...
      4 FILES SEARCHED...
L4      52 L3 AND PY<2002

=> dup rem l4
PROCESSING COMPLETED FOR L4
L5      29 DUP REM L4 (23 DUPLICATES REMOVED)

=> d ibib abs tot

```

1: CAA25302. diphtheria toxin ...[gi:758186]

BLink, Domains, Links

LOCUS CAA25302 560 aa linear PHG 30-MAR-1995

DEFINITION diphtheria toxin [Corynebacterium diphtheriae virus].

ACCESSION CAA25302

VERSION CAA25302.1 GI:758186

DBSOURCE embl locus NCCDTOX, accession X00703.1

KEYWORDS .

SOURCE Corynebacterium diphtheriae virus

ORGANISM Corynebacterium diphtheriae virus  
Viruses.

REFERENCE 1 (residues 1 to 560)

AUTHORS Collier,R.J. and Kaplan,D.A.

TITLE Immunotoxins

JOURNAL Sci. Am. 251, 44-52 (1984)

COMMENT The hydrophobic region of the B chain is responsible for the toxin's insertion into the endosomal membrane and therefore for the A chain's emergence from an endosome into the cytoplasm. The disulfide bond (S-S) between Cys(186) and Cys(201) links the the two chains.

FEATURES Location/Qualifiers

source	1..560 /organism="Corynebacterium diphtheriae virus" /db_xref="taxon:28368"
Protein	1..560 /product="diphtheria toxin"
sig_peptide	1..25 /note="signal peptide"
CDS	1..560 /coded_by="X00703.1:1..1683" /transl_table=11 /db_xref="SWISS-PROT:P00588"

ORIGIN

```

1 msrklfasil igallgigap psahagaddv vdssksfvme nfssyhgtkp gyvdsiqkgi
61 qkpksqgtqgn ydddwkgyfs tdnkydaagy svdnenplsg kaggvkvkty pgltkvlalk
121 vdnaetikke lglslteplm eqvgteefik rfgdgasrvv lslpfaegss sveynnweq
181 akalsvelei nfetrgrkgq damyeymaqa cagnrvrrsv gsslscinld wdvirdkktk
241 kieslkehgp iknkmsespn ktvseekakq yleefhqtal ehpelsselkt vtgtnpvfag
301 anyaawavnv aqvidsetad nlekttaals ilpgigsvmg iadgavhhnt eeivaqsial
361 sslmvaqaip lvgelvdigf aaynfvesii nlfqvvhnsy nrpayspghk tqpfllhdgya
421 vswntvedsi irtgfqgesg hdikitaent plpiagvllp tipgkldvkn skthisvngr
481 kirmrcraid gdvtfcrpks pvyvgngvha nlhvafhrss sekhsneis sdsigvlgyq
541 ktvdhtkvns klslffeiks

```